# Molecular Design Implications of Esterified Therapeutic Peptides and Their Delivery Vehicles

A

### Dissertation

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When I first joined the lab, I hung this quote up on my desk in my office. It's the first thing I saw every day for the past 5 years, and the last thing I saw before I went home.

"It's supposed to be hard. If it wasn't hard, everyone would do it. The hard is what makes it great." – A League of Their Own

#### Abstract

As naturally potent molecules with low off-site toxicity, peptides have massive therapeutic potential, highlighted by successful drugs like insulin; however, their low cell membrane permeability and short in vivo half-lives prevent their clinical translation. In this thesis, we tailor both therapeutic peptides and relevant carrier systems towards overcoming these drawbacks and realizing the therapeutic potential of peptides. Historically, efforts to overcome these two drawbacks involve increasing the hydrophobicity and net cationic charge of therapeutic peptides through chemical modifications to peptide sequence, which can increase cell membrane permeability and/or enable encapsulation into relevant carriers. Yet, these modifications are often permanent, which comes at the expense of structurally-related activity or release from carriers. To reversibly modify the hydrophobicity and net cationic charge of therapeutic peptides to enable access to intracellular targets or encapsulation and release into relevant carriers, we have developed esterification of therapeutic peptides, where hydrophilic, anionic carboxylic acids are replaced with hydrophobic, but hydrolytically cleavable, ester groups. Hydrolysis of the esters enables restoration of the unesterified form of the therapeutic so as to return any structurally-related activity, a reaction that can be accelerated in the presence of endogenous esterases. In Chapter 2, we show that the number and position of esters installed onto the therapeutic peptide  $\alpha$  carboxyl terminus 11 ( $\alpha$ CT11, RPRPDDLEI) affect peptide hydrophobicity and hydrolytic stability of the ester caps, with the C-terminal ester being the most influential in modifying aCT11 properties. In vitro proof-of-concept experiments showed esterifying aCT11 to increase cell migration into a scratch, indicative

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of increased wound healing activity. In **Chapter 3**, we leverage the reversible increase in net cationic charge afforded by esterification to reversibly encapsulate aCT11 into polyelectrolyte complexes (PECs) through electrostatic interactions with anionic poly(methacrylic acid) (PMAA). We then study αCT11 ester hydrolysis in the presence and absence of PMAA, showing it to not only proceed slower in the presence of PMAA, but also correspond to a decrease in turbidity in mixtures of PMAA and esterified  $\alpha$ CT11, suggesting hydrolysis to cause PEC dissociation and peptide release. In Chapter 4, we design polymer-metal organic framework (MOF) composite gels, materials that combine the high sorptive capacity of MOFs into a processable polymer hydrogel template, as potential carriers for the anti-inflammatory peptide angiotensin 1-7 (Ang 1-7). Through investigating how polymer chemistry influences MOF formation and how MOF formation in turn influences gelation, we find that interactions between weakly metal-binding polymer functional groups (i.e., hydroxyls) and MOF metal nodes as well as polymer entrapment within MOF crystals simultaneously enables the formation of crystalline, selfsupporting composite gels. Further, polymer-MOF composite hydrogels were capable of sorbing Ang 1-7 and showed sustained release of a small molecule dye relative to the individual polymer and MOF constituents. Altogether, this dissertation serves to influence the future design of both therapeutic peptides and their carriers, and in particular showcases the potential of therapeutic peptide esterification to reversibly tailor the properties of therapeutic peptides and expand their clinical implementation.

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#### CHAPTER 1: INTRODUCTION

#### 1.1 Therapeutic peptides: Beneficial therapeutics with some drawbacks

#### 1.1.1 Therapeutic peptides: an overview

Beginning in 1922 with the use of insulin, therapeutic peptides, molecules composed of between 2-100 amino acids (**Figure 1.1**), have been rapidly growing in the drug discovery space due to their potency, selectivity for specific targets, versatility, and low off-site toxicity.<sup>1–3</sup> Insulin set the stage for the discovery and approval of over 80 other peptide therapeutics, including glucagon-like peptide-1 (GLP-1) receptor agonists to treat diabetes (e.g, dulaglutide and semaglutdide), as well as the neuropeptide hormones oxytocin and vasopressin.<sup>1,2,4</sup> Despite their inherent benefits, therapeutic peptides only account for ~5% of the 1.2 trillion global pharmaceutical market as of 2019.<sup>2</sup> While this class of drugs has shown promise, clinical implementation of peptides is limited by their low cell membrane permeability and short circulatory half-lives.<sup>5</sup>



**Figure 1.1.** Chemical structure of a generic peptide. Shows the N-terminus (left end, blue), C-terminus (right end, red), and an individual amino acid (yellow). Examples of common functional side groups (R) naturally found in therapeutic peptides are listed below the general structure.

#### 1.1.2 Therapeutic peptides have low cell membrane permeability

The main function of the cell membrane is to separate the interior organelles and cytoplasm of the cells from extracellular space, which also makes it responsible for regulating what enters the cell.<sup>6</sup> Eukaryotic cell membranes are composed of amphiphilic phospholipids, lipid molecules with a polar headgroup and hydrocarbon tails, packed into

form a bilayer, with cholesterols and transmembrane proteins dispersed between them (**Figure 1.2**). From a transport perspective, diffusion across cell membranes can be described through the homogeneous solubility diffusion model (known as Overton's rule), which deconvolutes them into a 3-phase membrane composed of a hydrophobic hydrocarbon layer flanked by intracellular and extracellular polar phospholipid headgroups, the former of which often contains a high concentration of negatively charged phosphatidylserine (Figure 1.2).<sup>7–10</sup> To this end, cell membrane permeability is specifically dependent on the ability of the therapeutic to partition into the hydrophobic hydrocarbon layer and electrostatically interact with the anionic intracellular leaflet, and, therefore, the size, charge, and hydrophobicity of the solute.<sup>7,9,11–19</sup>



**Figure 1.2.** Eukaryotic cell membranes, which are composed of a hydrophobic phospholipid tail region (orange) flanked by intracellular and extracellular phospholipid headgroup leaflets (blue), the former of which have a relatively higher concentration of anionic charge (red).

Historically, therapeutic peptides are well known for having low cell membrane permeabilities, limiting their clinical implementation.<sup>2,3,5,20</sup> Illustrating this point, less than 10% of therapeutic peptides in active clinical development had intracellular targets (as of 2018).<sup>3</sup> Given the structure of cell membranes, intracellular delivery particularly remains a challenge for therapeutic peptides with low net charge. Therefore, to realize the clinical

implementation of this beneficial class of therapeutics, we need to develop methods to increase the hydrophobicity and net charge of therapeutic peptides and, subsequently, their eukaryotic cell membrane permeabilities.

#### 1.1.3 Therapeutic peptides have low in vivo half-lives

A common metric used to evaluate therapeutics is *in vivo* half-life, which defines the time taken for the concentration of a therapeutic to decrease by 50% inside of the body. The half-life of a therapeutic is dependent on many different factors; however, it is primarily controlled by the rate of clearance, or removal from the body.<sup>5,20,21</sup> The human body has many different mechanisms to clear a drug, including renal clearance and proteolytic degradation. Renal clearance, where molecules are removed from the bloodstream through the kidneys and into the urine, can rapidly remove small molecules (generally under 5 kDa) from the bloodstream, preventing them from reaching their intended target and having therapeutic activity.<sup>20</sup> Additionally, proteases, natural enzymes present in the bloodstream, can recognize and rapidly degrade therapeutic peptides at their peptide bond (amide between individual amino acids), effectively removing therapeutic peptides from circulation prior to them reaching their intended site of action.<sup>21</sup> Both of these processes contribute to the low *in vivo* half-lives of therapeutic peptides. For example, the anti-tumor, cardioprotective, and anti-inflammatory peptide angiotensin 1-7 (Ang 1-7, 899 Da) has a half-life of 30 minutes in blood plasma<sup>22,23</sup> and somostatin (1640 Da), a peptide used to treat gastrointestinal tumors, has a half-life of only 2-3 minutes in blood plasma.<sup>24</sup> To realize the potential of therapeutic peptides, we need to overcome their low in vivo stability.

A common method to extend the *in vivo* half-lives of small molecule or protein therapeutics is to encapsulate them within a biocompatible carrier (**Figure 1.3a**), which

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can affect *in vivo* half-life through multiple mechanisms. First, encapsulating therapeutics into carriers increases their effective molecular weight in the bloodstream, which can prevent renal clearance (**Figure 1.3b**). Encapsulation also shields therapeutics from proteolytic enzymes, preventing their premature degradation prior to reaching their intended site of action (**Figure 1.3c**). Finally, as opposed to bolus injections of an unencapsulated therapeutic, which cause short-burst pharmacokinetic profiles, different therapeutic carriers offer tunable and often extended release profiles, which can subsequently control and/or prolong *in vivo* half-life (**Figure 1.3d**).<sup>25–27</sup> Therapeutic encapsulation into and subsequent release from carriers are dependent upon interactions between the two, which are often hydrophobic or electrostatic in nature. Therefore, tuning the hydrophobicity and/or charge of therapeutic peptides can facilitate their encapsulation into and subsequent release from various carriers, such as lipid vesicles,<sup>28–36</sup> polyelectrolyte complexes,<sup>37–41</sup> or hydrogels,<sup>42–47</sup> to name a few.



Figure 1.3. a) Therapeutic peptide encapsulation, where therapeutic peptides (navy) are stored in the interior of a biocompatible carrier (orange). b) Renal clearance of unencapsulated peptide from the blood

to the urine in the kidneys. Encapsulated drug is retained in the bloodstream, as the biocompatible carrier cannot pass through the glomerular membrane. **c)** Degradation of therapeutic peptides by proteases (red), enzymes capable of cleaving peptide structures at the peptide bond (amide between individual amino acids). Biocompatible carriers block proteases from accessing encapsulated therapeutic peptides. **d)** Tuning interactions between the drug and the biocompatible carriers can tune the release of the drug.

#### 1.1.4 Overcoming therapeutic peptide drawbacks through control over hydrophobicity and net charge

The clinical implementation of therapeutic peptides is limited due to their low cell membrane permeabilities and short *in vivo* half-lives. While these two drawbacks are independent issues, both can be addressed by increasing peptide hydrophobicity and net charge. Therefore, we require strategies to modify the properties of therapeutic peptides and enable their intracellular delivery and/or encapsulation into viable delivery systems.

# 1.2 Strategies to permanently modify therapeutic peptide hydrophobicity and net charge

#### 1.2.1 Supercharging

Supercharging, where charged amino acids are replaced with oppositely charged substitutes, is a common method to alter the net charge of therapeutics. Supercharging has been extensively used to modify the net charge of proteins to enable their complexation with polymers.<sup>48–51</sup> For example, Obermeyer *et al.* used succinic anhydride to convert cationic lysine amines on proteins to anionic carboxylic acids, making the proteins more negatively charged to enable complexation with cationic polymers. However, supercharging can also be used to increase the cationic charge of amino acid-based therapeutics and, subsequently, their cell membrane permeabilities. Notably, cationic supercharged green fluorescent protein (GFP) was able to effectively bypass cell membranes and enter the cytosol.<sup>49</sup>

#### 1.2.2 Appending peptide tags

Adding a peptide sequence with desired charges and/or hydrophobicity, or a "tag", to a therapeutically active sequence is another common method to modify the net charge

and/or hydrophobicity of small molecule, peptide, or protein therapeutics. For example, adding different numbers of repeats of an anionic peptide sequence (DEEEDD) to GFP enabled complex coacervation with different cationic polyelectrolytes.<sup>52</sup> Further, a glutamic acid enabled encapsulation of GFP into arginine-functionalized nanoparticles, which led to higher intracellular delivery than cationic supercharged GFP variants.<sup>53</sup>

While D or E-based peptide tags can make otherwise incompatible peptides/proteins amenable to encapsulation with polymers, cell-penetrating peptides (CPPs), amino acid sequences which are well-known to permeate cell membranes, can be used to directly increase the cell membrane permeability.<sup>54,55</sup> CPPs, such as the Trans-Activator of Transcription (TAT)<sup>56</sup> or antennapedia,<sup>57</sup> often contain an excess of positive charge, usually from protonated lysine and/or arginine residues,<sup>18,58</sup> and hydrophobic amino acids (e.g., arginine, tryptophan, phenylalanine),<sup>59</sup> which are conducive to electrostatic interactions with anionic phospholipid headgroups (both intracellular and extracellular),<sup>60</sup> and partitioning into the hydrocarbon region, respectively (Figure 1.3). CPPs are widely used to facilitate the intracellular delivery of therapeutics, including small molecules, therapeutic proteins, and therapeutic peptides.<sup>61–65</sup>

# **1.2.3** Permanent modifications to sequence can prevent release from carriers or detract from therapeutic activity

While supercharging and appending peptide tags to therapeutics can enable both encapsulation and cell membrane permeability of therapeutics, they involve permanent changes to molecular structure that could affect therapeutic performance. For example, permanent changes to hydrophobicity or net charge could permanently increase the binding strength between the therapeutic and delivery vehicle, which could in turn prevent adequate release from vehicles. Further, permanent changes to the molecular structure of a therapeutic could affect structurally-related therapeutic activity. Relative to supercharging, appending peptide tags is attractive because it does not involve direct modifications to the therapeutically active sequence. For example, supercharging 11 lysine residues on GFP to imbue a charge of -24 resulted in a 60% decrease in fluorescence, but appending 3 DEED tags (16 amino acids) to imbue a matching charge of -24 only caused a 10% decrease in fluorescence.<sup>52</sup> CPPs have also been shown to detract from the activity of the therapeutic in question, as they can affect how the therapeutic is presented to its intracellular target and possibly affect binding, as seen with the therapeutic peptide  $\alpha$  carboxyl terminus 11 ( $\alpha$ CT11, RPRPDDLEI).

#### 1.2.4 α carboxyl terminus 11: A wound healing, cardioprotective peptide

αCT11 is a relatively hydrophilic, mixed charge peptide (56% hydrophilic groups, 3 positive charges, 4 negative charges) (**Figure 1.4**). αCT11 has applications in dermal wound healing and cardioprotection, and its activity relies on its interactions with the intracellular H2 domain of the transmembrane protein ZO-1 and/or the cytoplasmic PDZ-2 domain of the scaffolding protein ZO-1;<sup>66–68</sup> however, it's low hydrophobicity and anionic net charge prevent its cell internalization and subsequent interaction with these target proteins.



**Figure 1.4.** Molecular structure of the therapeutic peptide  $\alpha$  carboxyl terminus 11 ( $\alpha$ CT11, RPRPDDLEI). The structure is reflective of the charge state at physiological pH (7.4), with positively and negatively charged groups are shown in blue and red, respectively.

To enable its intracellular delivery, prior work appended  $\alpha$ CT11 to the CPP antennapedia (RQPKIWFPNRRKPWKK) at the N-terminus (termed  $\alpha$ CT1) enabled improvement in scar healing in a recent phase II clinical trial.<sup>66,69</sup> However, a separate study showed that  $\alpha$ CT11 alone restored 20% more left ventricular function in *ex vivo* ischemic cardiomyocytes than  $\alpha$ CT1, suggesting that attaching the 16 amino acid CPP sequence decreased  $\alpha$ CT11 wound healing activity.<sup>67</sup> Further illustrating this point, the cardioprotective activity of  $\alpha$ CT11 was found to be directly tied to its structure, where replacing the D5, D6, and E8 amino acids with alanine led to decreased interactions with Cx43 H2 and ZO-1 PDZ-2, and subsequent reduced activity in *ex vivo* ischemic mouse hearts. While it is likely that ischemic cardiomyocytes directly internalize  $\alpha$ CT11 through open Cx43 hemichannels, antennapedia was required to enable  $\alpha$ CT11.

# 1.2.5 Reversible modifications to hydrophobicity and net charge of therapeutic peptides are necessary to increase cell membrane permeability and enable encapsulation without sacrificing activity

While the decrease in activity from appending peptide tags is relatively small, even small decreases in activity could require increased therapeutic amounts and/or repeat treatments, which would lead to increased production costs and/or increased costs for patients. Further, as therapeutic encapsulation into carriers is facilitated by interactions between the two, permanent increases in therapeutic hydrophobicity and net charge could prevent release and, subsequently, prevent the therapeutic from interacting with its target at the proposed site of action. As any permanent changes to peptide sequence can detract from either activity or release from carriers, yet modifications to peptide hydrophobicity and net charge remain necessary to overcome their low cell membrane permeabilities and short *in vivo* half-lives, we require reversible strategies that are capable of modifying peptide properties to ultimately deliver the native, active sequence.

#### 1.3 Esterification: A reversible strategy to tailor therapeutic peptides for delivery

Esterification is a simple and widely used reaction where hydrophilic, anionic carboxylic acids (COOHs) are converted to esters (R-(C=O)OR) to simultaneously increase the hydrophobicity and net charge of therapeutics (**Figure 1.5**). What sets esterification apart from methods listed in Section 1.2 is its reversibility, where installed esters can be reverted to carboxylic acids through hydrolysis to return the active structure of the therapeutic, a process that can be catalyzed *in vivo* by intracellular enzymes called esterases.<sup>70–74</sup> Historically, esterification has been used to increase the cell membrane permeability of small molecule, peptide, and protein therapeutics.<sup>75–79</sup> For example, Mix *et al.* showed intracellular delivery of an esterified GFP variant, where the native GFP was not able to cross the cell membrane.<sup>76</sup> Further, while supercharged GFP was able to

pass the cell membrane, it was not distributed throughout the cells like esterified GFP, instead appearing to localize in the endosomes. Esterification has also been shown to increase the cell membrane permeability of the peptide thyrotropin-releasing hormone, as shown by immobilized artificial membrane chromatography.<sup>78</sup>



**Figure 1.5.** Therapeutic peptide esterification. Carboxylic acids on peptides can be reversible esterified to modify hydrophobicity (orange) and cationic net charge (blue). Installed esters can then be hydrolyzed to return any negatively charged (red) carboxylic acids and restore the original, hydrophilic (light blue) peptide structure.

As esterification has been used to increase the cell membrane permeability of therapeutics, it has also been used to enable encapsulation of hydrophilic therapeutics into lipid vesicles such as liposomes<sup>80</sup> and exosomes,<sup>81–83</sup> which also contain lipid bilayer membranes. Notably, Roffler & coworkers used esterification as a strategy to encapsulate therapeutics into liposomes, inside of which the esters could be hydrolyzed to trap the drugs within the liposomes until they reach their intended target.<sup>80</sup> As esterification increases the hydrophobicity and net charge of therapeutics, it is also a potential strategy to encable encapsulation into PECs or even hydrogels. As ester hydrolysis can then return the hydrophilicity or negative charge (at physiological pH) of carboxylic acids, esterification provides an opportunity to not only enable encapsulation but also to dissociate hydrophobic or electrostatic interactions controlling encapsulation, enabling hydrolytically-tunable release. Through its reversible control over the hydrophobicity and

net charge of therapeutics, esterification holds immense potential as a strategy to increase cell membrane permeability and enable encapsulation of therapeutic peptides without sacrificing ultimate therapeutic activity upon delivery.

#### 1.4 Summary of Dissertation

In this dissertation, we investigate the molecular design of therapeutic peptides and their carrier systems. First, we establish multi-site esterification as a strategy to selectively and reversibly increase the hydrophobicity and net charge of the therapeutic peptide αCT11 (Figures 1.4-1.5). Through reversible modification of therapeutic peptide hydrophobicity and net charge, we use esterification as a method to increase its cell membrane permeability and reversible encapsulation polyelectrolyte complexes. Finally, we study the design of polymer-metal organic framework (MOF) composite gels for encapsulation of therapeutic peptides. This dissertation serves to influence the future design of both therapeutic peptides and their relevant drug carriers, specifically showcasing the potential of therapeutic peptide esterification to reversibly tailor the properties of therapeutic peptides and expand their clinical implementation.

#### 1.4.1 Multi-site Fischer esterification: A tunable, reversible strategy to modify the hydrophobicity and net charge of a therapeutic peptide

Chapter 2 focuses on establishing multi-site Fischer esterification of the therapeutic peptide αCT11. As most esterified therapeutics to date contain either a single esterification site or multiple esters randomly incorporated on multiple sites, we were interested in determining how the number and position of esters installed onto peptides affect their properties. Specifically, we examine the role of both the number and position of esters installed onto peptides on their hydrophobicity, reversion to the unesterified formulation, and wound healing activity. We show that both the number and position of

installed esters indeed affect peptide hydrophobicity and reversion, with the C-terminal ester being the most influential in modifying peptide properties. *In vitro* proof-of-concept experiments showed esterifying αCT11 to increase cell migration into a scratch, indicative of increased wound healing activity. Through reversible control over hydrophobicity and charge, we show that peptide esterification these studies provide molecular design insight into the viability of multi-site esterification for therapeutic peptides.

#### 1.4.2 Esterification of therapeutic peptides to enable reversible encapsulation in polyelectrolyte complexes (PECs)

In Chapter 3, we leverage the net charge increase afforded by esterification to reversibly encapsulate therapeutic peptides within PECs. Specifically, we study the effect of fully esterifying  $\alpha$ CT11 on its complexation with anionic poly(methacrylic acid) (PMAA). and subsequent formation of supramolecular structures. We then study the differences in hydrolysis in the presence and absence of PMAA while attempting to correlate ester hydrolysis with the turbidity of peptide and polymer mixtures. We indeed show that fully esterifying  $\alpha$ CT11 enables complexation with PMAA, and that this complexation is electrostatically driven. While the presence of PMAA only resulted in minor changes in  $\alpha$ CT11 ester hydrolysis, we show that ester hydrolysis corresponds with a decrease in turbidity, suggesting it to cause PEC dissociation and peptide release. Esterification provides a compelling strategy to enable reversible encapsulation into PECs, one that could be quite useful in increasing the *in vivo* half-life of therapeutic peptides.

#### 1.4.3 Expanding the design space of polymer-metal organic framework (MOF) gels by understanding polymer-MOF interactions

Chapter 4 will discuss our work developing polymer-MOF composite gels, a novel class of materials that combine the high sorptive capacity of MOFs and processable

template of polymer hydrogels to create a highly beneficial system for sorptive applications. To leverage the full potential of this material, we investigate how polymer chemistry influences MOF formation and vice versa, finding that both interactions between polymer functional groups and MOF metal nodes and polymer entrapment within forming MOF crystals synergistically enable the formation of crystalline, self-supporting composite gels. Further, only polymers toting low densities of carboxylic acids or weak metal-binding hydroxyl groups permit simultaneous MOF and gel formation. We then go on to study the sorptive capacity and release profiles of polymer-MOF composites, finding them to outperform their individual polymer and MOF constitutes for sorbing a small molecule dye while enabling also enabling sustained release profiles (~7 d). Polymer-MOF composite gels were also capable of sorbing the therapeutic peptide angiotensin 1-7 (Ang 1-7). Through studying the effects of both polymer and MOF molecular details on the formation of these composite gels, we hope to expand the design space for these beneficial carriers and develop them as carriers for therapeutics, such as peptides.

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# CHAPTER 2: MULTI-SITE FISCHER ESTERIFICATION: A TUNABLE, REVERSIBLE STRATEGY TO TAILOR A THERAPEUTIC PEPTIDE FOR DELIVERY

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### 2.1 Introduction

In this chapter, we use multi-site esterification to increase the cell membrane permeability of the therapeutic peptide  $\alpha$  carboxyl terminus 11 ( $\alpha$ CT11).  $\alpha$ CT11 is a relatively hydrophilic, mixed charge peptide (56% hydrophilic groups, 3 positive charges, 4 negative charges).<sup>1–4</sup>  $\alpha$ CT11 has applications in dermal wound healing and cardioprotection, and its activity relies on its interactions with the intracellular H2 domain of the transmembrane protein ZO-1 and/or the cytoplasmic PDZ-2 domain of the scaffolding protein ZO-1; however, it's low hydrophobicity and anionic net charge prevent its cell internalization and subsequent interaction with these target proteins.

To temporarily modify the molecular structure of  $\alpha$ CT11 while retaining activity, we can esterify the anionic, hydrophilic carboxylic acids (COOHs) to simultaneously increase the hydrophobicity and reduce the net charge of therapeutics (**Scheme 2.1**).<sup>5–8</sup> After installation, esters can be hydrolyzed to restore the active, unesterified form of  $\alpha$ CT11, a reaction that can be accelerated in the presence of endogenous esterases.<sup>9–12</sup> While esterification has been widely used as a strategy to increase the cell membrane permeability of small molecules,<sup>13–15</sup> proteins,<sup>16</sup> and even peptide<sup>17</sup> therapeutics, most esterified therapeutics contain either a single esterification site or multiple esters randomly incorporated on multiple sites.

As  $\alpha$ CT11 has 4 possible esterification sites at the D5, D6, E8, and C-terminal I9 residues, there are 15 possible esterified formulations that can be synthesized, each likely having different properties. Therefore, understanding how the number and position of installed esters affects hydrophobicity and hydrolysis/reversion into the therapeutically active form is critical to leveraging esterification as a reversible strategy to realize the therapeutic potential of peptides. In this work, we install methyl esters onto  $\alpha$ CT11 and assess how the number and position of installed esters affect the hydrophobicity and hydrolysis of the esterified peptide formulations. Then, we test the viability of multi-site esterification with *in vitro* experiments designed to gauge peptide activity by promoting cell migration into a scratch.

#### 2.2 Results and discussion

#### 2.2.1 Esterification of aCT11

To install methyl esters onto  $\alpha$ CT11, we stirred the peptide in excess MeOH containing 5% (v/v) HCl. After 24 h, RP-HPLC revealed a mixture of peaks, each eluting later than unmodified  $\alpha$ CT11, consistent with the expected increase in hydrophobicity upon esterification (**Figure 2.1a**, **Figure A.1-A.2**, **Table A.2**). The product mixture was quite reproducible, as 3 reactions set up using independently prepared solutions led to nearly identical RP-HPLC chromatograms (Figure A.1). With 4 esterification sites on  $\alpha$ CT11(D5, D6, E8, I9), there are 15 possible esterified products; therefore, we next sought to separate the product mixture and for the isolatable products, identify the number and position of the installed methyl esters. Then, to determine the effects of methyl ester number and position on peptide hydrophobicity, we could compare the RP-HPLC retention times of each isolated product to gauge their relative hydrophobicity. For a more precise comparison of retention times for samples run in different batches of RP-HPLC

mobile phase, we normalized each sample to an  $\alpha$ CT11 and a fully esterified  $\alpha$ CT11 sample set to normalized retention times  $\tau = 0$  and 1, respectively.



**Figure 2.1.** Identifying the numbers and positions of methyl esters installed onto  $\alpha$ CT11 through Fischer esterification. **a**) RP-HPLC of  $\alpha$ CT11 (peak is blue),  $\alpha$ CT11 with 2 methyl esters (peak is yellow), 4 different conformations of  $\alpha$ CT11 with 3 esterified esters in either the D5, D6, E8, and/or I9 residues ( $\alpha$ CT11-3OMe(D5,D6,E8, or I9), peaks are orange), and fully esterified  $\alpha$ CT11, with 4 methyl esters ( $\alpha$ CT11-4OMe, peaks are red). Retention time, and thus hydrophobic character, increased with the number of esters installed onto  $\alpha$ CT11. The mobile phase consisted of water and acetonitrile (ACN), each with 0.1% trifluoroacetic acid, and each sample eluted between 23 and 26% ACN. Retention times were normalized to those of the  $\alpha$ CT11 and  $\alpha$ CT11-4OMe samples. <sup>1</sup>H NMR (800 MHz, DMSO-d6) spectra of **b**)  $\alpha$ CT11 (blue), **c-f)** each of the 4  $\alpha$ CT11-3OMe samples (orange), and **g**)  $\alpha$ CT11-4OMe (red) methyl ester proton singlets (3.56 – 3.63 ppm) show which amino acids are esterified in which formulations. Integrals for each peak in are plotted in gray on the spectra. Selective heteronuclear multiple bond correlation (selHMBC) of **h**)  $\alpha$ CT11-3OMe(D5/D6/E8) (orange) and **i**)  $\alpha$ CT11-4OMe (red) shows the most abundant -3OMe peak (-3OMe(A)) has esters installed on the D5, D6, and E8 positions, but not the C-terminal I9.

We used preparative scale RP-HPLC to separate the product mixture, first focusing our attention on the most abundant (74% peak area) and latest eluting peak ( $\tau = 1$ ) (Figure 1a, Table S2). Matrix-assisted laser desorption/ionization-time of flight (MALDI-TOF) mass spectrometry (MS) revealed this product to have a molecular weight of 1166.3 g/mol, coinciding to aCT11 with all 4 sites esterified (aCT11-4OMe) (Figure A.3, Table A.3). That the fully esterified peptide is the most abundant product is consistent with the 400x molar excess of MeOH used in the reaction relative to  $\alpha$ CT11 COOHs. The next most abundant peak (19% peak area) eluted at  $\tau = 0.35$  and had a molecular weight of 1151.6 g/mol, corresponding to  $\alpha$ CT11 with 3 methyl esters ( $\alpha$ CT11-3OMe(A)) (Figure 2.1a, A.4, Tables A.2-A.3). Though the 3 peaks eluting at  $\tau = 0.69$ ,  $\tau = 0.70$ , and  $\tau = 0.75$ accounted for only 4% of the total peak area, we were able to isolate them and determine that each of them also had 3 methyl esters ( $\alpha$ CT11-3OMe(B-D)) (Figures 1.1a, A.5-A.7, Tables A.2-A.3). Finally, we collected the product that eluted at  $\tau$  = 0.22 and accounted for just 1% of the peak area, identifying it as  $\alpha$ CT11 with 2 methyl esters ( $\alpha$ CT11-2OMe) (Figure 2.1a, A.8, Tables A.2-A.3). As the aCT11-2OMe and -3OMe products eluted before aCT11-4OMe, it is evident that hydrophobic character increases with the number of installed methyl esters. Yet, the 3 methyl ester products had a large range of retention times, spanning  $\tau = 0.35 - 0.74$ , pointing to the substantial effect of ester position on aCT11 hydrophobicity.

To determine the positions of esters installed onto  $\alpha$ CT11 in each isolated product, we used NMR spectroscopy. The <sup>1</sup>H NMR spectrum of  $\alpha$ CT11-4OMe (**Figure 2.1g**, **A.9-A.11**, **Table A.4**) showed 4 distinct singlets at ~3.6 ppm, each integrating to 3 protons, that were not present in the spectrum of unesterified  $\alpha$ CT11 (**Figure 2.1b**, **A.12**). Ascribing

these singlets to the methyl ester protons, we next used 2D NMR spectroscopy to assign these singlets to the D5, D6, E8, and I9 esterification sites. To do so, we first assigned the relevant carbonyl carbon resonances (i.e., the D5 and D6  $\gamma$  carbons, the E8  $\delta$  carbon, and the C-terminal I9 carbonyl carbon) using a combination of 2D techniques, described in detail in Section A2 of Appendix A (**Figures A.9-A.24**, Table A.4). Since the methyl ester protons are 3 bonds from these carbonyl carbons, we used H-C selective heteronuclear multiple bond correlation (selHMBC) spectroscopy, which shows correlations between protons and carbons separated by 2-4 bonds, to assign each methyl ester to a residue. We observed 4 clear correlations between the D5 and D6  $\gamma$  carbons, the E8  $\delta$  carbon, and the C-terminal I9 carbonyl carbon and the 4 methyl esters (**Figure 2.1i**). These correlations allowed us to assign the most downfield methyl ester singlet (3.61 ppm) as that on the C-terminal I9, followed by the D5 (3.59 ppm), E8 (3.58 ppm), and D6 (3.57 ppm) methyl ester singlets.

Similar analysis of the most abundant  $\alpha$ CT11-3OMe(A) product ( $\tau = 0.35$ ), showed esters installed on the D5, D6, and E8 positions ( $\alpha$ CT11-3OMe(D5,D6,E8)) (**Figures 2.1c, 2.1h**, A.12, **A.25-A.28**). Since the chemical shift order of the methyl ester proton singlets was conserved in both the  $\alpha$ CT11-3OMe(D5,D6,E8) and -4OMe <sup>1</sup>H NMR spectra, we assumed that this order persisted across all esterified  $\alpha$ CT11 formulations. With this assumption, we then used <sup>1</sup>H NMR spectroscopy to identify the ester positions in the remaining -3OMe products.  $\alpha$ CT11-3OMe(D5,D6,I9)), -3OMe(C) ( $\tau = 0.69$ ) had esters on the D6, E8, and C-terminal I9 positions ( $\alpha$ CT11-3OMe(D6,E8,I9)), and -3OMe(D) ( $\tau = 0.65$ ) and -3OMe(D) ( $\tau =$ 

0.74) had esters on the D5, E8, and C-terminal I9 positions (αCT11-3OMe(D5,E8,I9)) (**Figure 2.1d-f**, A.12).

Having determined the positions of methyl esters installed onto the 4 aCT11-3OMe products, we compared their normalized RP-HPLC retention times to establish relationships between hydrophobicity and installed ester position (Figure 1a). We note that the different pKas of the D5, D6, E8, and C-terminal I9 COOHs will result in different protonation states in physiological conditions, which will affect their relative hydrophobicities. However, as RP-HPLC comparisons were made in acidic conditions (0.1% TFA), peptides should be fully protonated, allowing us to determine the relative increases in hydrophobicity upon ester installation at constant protonation state. aCT11-3OMe(D5,D6,E8), the most abundant of the -3OMe products, lacked an ester on the Cterminal 19 site and eluted earliest ( $\tau = 0.35$ ). In contrast, the remaining 3 methyl ester products, all with the C-terminal I9 position esterified, eluted later than aCT11-3OMe(D5,D6,E8) and close together, with  $\alpha$ CT11-3OMe(D5,D6,I9) at  $\tau$  = 0.65, -3OMe(D6,E8,I9) at  $\tau$  = 0.69, and -3OMe(D5,E8,I9) at  $\tau$  = 0.74. Together, these data suggest that esterifying the C-terminal I9 position imparts the largest increase in hydrophobic character.

### 2.2.2 Hydrolysis of esterified aCT11 formulations

After identifying the number and positions of methyl esters installed onto the various  $\alpha$ CT11 products, we sought to determine the lability of the installed esters and subsequent recovery of the therapeutically active unesterified form of  $\alpha$ CT11. We incubated the most abundant products  $\alpha$ CT11-3OMe(D5,D6,E8) and -4OMe in 1X PBS (pH 7.4) at 37 °C and monitored ester hydrolysis as a function of time using RP-HPLC. Using these chromatograms, we then determined the recovery of unesterified  $\alpha$ CT11 (%)

as the integration of the  $\alpha$ CT11 peak relative to the total integration between 5 and 15 min (Table A.1, Equation 2.2). After 3 d, we only observed 6 +/- 2% recovery of unesterified  $\alpha$ CT11 from  $\alpha$ CT11-3OMe(D5,D6,E8), and no unesterified  $\alpha$ CT11 was recovered from -4OMe (**Figure 2.2, Figures A.29-A.31, Tables A.5-A.6**). After 7 d, we observed 10.5 +/- 2.3% recovery of unesterified  $\alpha$ CT11 from  $\alpha$ CT11-3OMe(D5,D6,E8), but only 1.1 +/- 0.3% recovery from -4OMe was observed after 8 d.



**Figure 2.2.** Recovery of unesterified  $\alpha$ CT11 from esterified formulations in aqueous conditions.  $\alpha$ CT11-3OMe(D5,D6,E8) (orange) and -4OMe (red) were incubated in 1X PBS (pH 7.4, squares) or 100 mM carbonate buffer (pH 10, triangles) and the amount of unmodified  $\alpha$ CT11 present over ~1 week was quantified using RP-HPLC. Basic conditions were required to fully hydrolyze installed esters, where  $\alpha$ CT11-3OMe(D5,D6,E8) and -4OMe were fully reverted to unesterified  $\alpha$ CT11 after 3 d and 5 d in carbonate buffer (pH 10), respectively (denoted on the plot with an 'x'). Full recovery of unesterified  $\alpha$ CT11 was not achieved in 1X PBS.

As 37 °C 1X PBS was insufficient to fully hydrolyze esterified  $\alpha$ CT11 formulations, we attempted to catalyze ester hydrolysis with porcine liver esterase (PLE), which is often used to mimic native esterases.<sup>23,24</sup> At high concentrations of PLE (10:1 and 100:1 PLE enzyme units:peptide µmol), we observed a loss of  $\alpha$ CT11-related signal in both RP-HPLC and MALDI-TOF MS (**Figures A.32-A.37, Tables A.7-A.9**). Given that RP-HPLC and MALDI-TOF confirmed that unmodified  $\alpha$ CT11 was stable for 7 d in 1X PBS (Figure A.31, Table A.5), we suspected that PLE was non-selectively catalyzing methyl ester and

backbone amide hydrolysis, consistent with prior findings.<sup>25</sup> Decreasing the PLE concentration to 1:1 PLE enzyme units:peptide μmol minimized loss of αCT11 signal but did not provide ester hydrolysis beyond that achieved in 1X PBS. Therefore, in our hands, PLE did not offer a viable route to selectively cleave these esters. A full discussion of the PLE hydrolysis experiments can be found in Section A3 of Appendix A (Figures A.32-A.37, Tables A.7-A.9).

To compare the time required to fully hydrolyze  $\alpha$ CT11-3OMe(D5,D6,E8) and -4OMe, we used basic aqueous conditions (i.e., 100 mM carbonate buffer, pH 10) to accelerate ester hydrolysis. After confirming that  $\alpha$ CT11 backbone amides were stable in 37 °C pH 10 carbonate buffer for 5 d, we monitored hydrolysis of the esterified products under these conditions (**Figure A.38**). After only 6 h, we observed 54.7 +/- 2.4% and 15.2 +/- 0.4% recovery of unesterified  $\alpha$ CT11 from  $\alpha$ CT11-3OMe(D5,D6,E8) and -4OMe, respectively (Figure 2, Table S6, **Figures A.39-A.40**). We observed complete recovery of unesterified  $\alpha$ CT11-3OMe(D5,D6,E8) and -4OMe, respectively (acT11 from  $\alpha$ CT11-3OMe(D5,D6,E8) and -4OMe, respectively) (Figure 2, Table S6, **Figures A.39-A.40**). We observed complete recovery of unesterified  $\alpha$ CT11 from  $\alpha$ CT11-3OMe(D5,D6,E8) and -4OMe after 3 d and 5 d, respectively, with liquid chromatography-mass spectrometry (LC-MS) showing both formulations to contain > 95%  $\alpha$ CT11 at this time (**Figure A.41-A.42, Tables A.10-A.11**).

# 2.2.3 Intermediates identified during esterified aCT11 hydrolysis

As early as 2 h after incubation, RP-HPLC chromatograms revealed the presence of several peaks eluting between the starting esterified product and unesterified  $\alpha$ CT11 peaks in both physiological and basic conditions (Figure A.29-A.30). MALDI-TOF MS showed both  $\alpha$ CT11-3OMe(D5,D6,E8) and -4OMe samples to contain  $\alpha$ CT11 with 1 – 3 esters after only 2 h (**Figures A.43-A.44**). Further, we observed corresponding esterified products minus either 1 or 2 H<sub>2</sub>O molecules, suggesting intramolecular amide or imide formation. As a prior report involving peptides with side-chain esters and primary N-

terminal amines showed that the primary amine was only involved in intramolecular ester cleavage when the ester was on the same N-terminal amino acid, we suspect that it is unlikely that the  $\alpha$ CT11 N-terminal amine reacts with installed esters, and that this water loss is the result of intramolecular imide formation.<sup>26</sup>

To identify the relative abundances of the different  $\alpha$ CT11 hydrolysis intermediates, we used LC-MS. Specifically, we incubated aCT11-3OMe(D5,D6,E8) and -4OMe in 37 °C 1X PBS for 7 d. For each timepoint, we obtained a total ion chromatogram, which included all ions collected over the same m/z range (525 - 590 m/z region containing the most abundant +2 ions for all potential αCT11 species) inclusive of all expected hydrolysis intermediates identified by MALDI-TOF MS. We then collected selective ion chromatograms (SICs) specific to each intermediate and calculated the abundance (%) of each intermediate by dividing the integrated SIC signal by that of the total ion chromatogram (Figures A.45-A.58, Tables A.12-A.13). Since after only 2 h, LC-MS revealed both the  $\alpha$ CT11-3OMe(D5,D6,E8) and -4OMe samples to contain just 6% and 4% of these starting products, respectively, it appears that 1 or more of the esters hydrolyze rapidly after exposure to aqueous solution (Figure 2.3a-b, Table A.12-A13). At this 2 h timepoint, the αCT11-3OMe(D5,D6,E8) formulation contained 40% αCT11 with 1 methyl ester missing 1 H<sub>2</sub>O molecule (i.e., -10Me - 1 H<sub>2</sub>O ), as well as 31% -10Me - 2  $H_2O$  and 14% -2OMe (Figure 3a, Table S12). The  $\alpha$ CT11-4OMe formulation hydrolyzed into -3OMe (16% abundance), 3OMe – 1 H<sub>2</sub>O (4% abundance), -2OMe (9% abundance),  $-20Me - 1 H_2O$  (47% abundance), and  $-20Me - 2 H_2O$  (19% abundance) (Figure 2.3b, Table A.13). In all, more than 50% of each formulation contained imides after 2 h of hydrolysis, and these intermediates had higher LC-MS retention times than those with the

same number of esters without imides, suggesting that these intramolecular reactions increase peptide hydrophobicity more than ester installation alone (Table A.12-A.13). However, intermediates containing imides were transient, as less than 25% imide containing products remained after 6 h, less than 5% remained after 24 h, and less than 2% remained after 7 d in both esterified formulations. The transient nature of this water loss further supports that these intermediates are not intramolecular amides, but imides that are known to hydrolyze.<sup>27</sup>



**Figure 2.3.** Relative abundance of intermediates observed during hydrolysis of esterified αCT11 formulations in 37 °C 1X PBS. LC-MS of **a)** αCT11-3OMe(D5,D6,E8) and **b)** -4OMe samples showed the

presence of multiple esterified intermediates, including those containing intramolecular imides ( -  $H_2O$ , - 2  $H_2O$ ), during hydrolysis.

After 7 d, the  $\alpha$ CT11-3OMe(D5,D6,E8) sample consisted of 79% -1OMe and 20% unmodified  $\alpha$ CT11.  $\alpha$ CT11-4OMe consisted of 56% -2OMe, 41% -1OMe, and 3% unmodified  $\alpha$ CT11. As the  $\alpha$ CT11-3OMe(D5,D6,E8) sample contained only a -1OMe intermediate after 7 d, yet the -4OMe sample contained both -1OMe and -2OMe intermediates, it stood to reason that an extra ester position was remaining on the -4OMe sample relative to -3OMe(D5,D6,E8). As  $\alpha$ CT11-4OMe contained a C-terminal I9 methyl ester, which imbued the esterified formulations with the most hydrophobicity, we suspected it to be responsible for the increased hydrolytic stability of the -4OMe intermediates. Thus, to gauge the hydrolytic stability of different ester positions, we sought to isolate the persistent  $\alpha$ CT11-1OMe intermediates from each and determine which positions were esterified in them.

# 2.2.4 Hydrolytic stability of different ester positions on esterified αCT11 formulations

To determine the position of the most stable esters on  $\alpha$ CT11-3OMe(D5,D6,E8) and -4OMe, we isolated the persistent  $\alpha$ CT11-1OMe hydrolysis intermediates observed immediately prior to complete recovery of unesterified  $\alpha$ CT11 in 37 °C 100 mM carbonate buffer (pH 10) (**Figure 2.4a-b**, Figures A.39-A.40). For these experiments, we used accelerated hydrolysis conditions to more rapidly obtain sufficient amounts of these persistent intermediates for <sup>1</sup>H and <sup>13</sup>C NMR spectroscopy, allowing  $\alpha$ CT11-3OMe(D5,D6,E8) and -4OMe to hydrolyze for 6 and 24 h, respectively. After using preparative scale RP-HPLC to isolate the persistent hydrolysis intermediates and remove buffer salts, we used selHMBC to identify that the persistent hydrolysis intermediate of  $\alpha$ CT11-3OMe(D5,D6,E8) (relative retention time (RRT) = 0.20) was  $\alpha$ CT11 containing a single ester in the E8 position (-10Me(E8)) (Figure 2.4c, Figure A.59). In the αCT11-4OMe formulation, the persistent hydrolysis intermediate (RRT = 0.62) was  $\alpha$ CT11 containing a single ester in the I9 position (-10Me(I9)) (Figure 2.4d, Figure A.60). As αCT11-3OMe(D5,D6,E8), which did not have an esterified C-terminal I9 position, was fully hydrolyzed faster than  $\alpha$ CT11-4OMe (Figure 2.3), we concluded that the ester installed in the C-terminal I9 position was more hydrolytically stable than the methyl ester installed in the E8 position. Additionally, the rapid appearance of the persistent intermediates in both samples under both physiological and basic conditions indicated that the D5 and D6 methyl esters hydrolyze quickly (Figures A.29-A.30, A.39-A.40). Further, as the imide products are most abundant in samples collected at the earliest hydrolysis timepoints, it is likely that they involve the D5 and D6 methyl esters, suggesting that the transient intermediates we observe are aspartimides (Figure 2.3, Tables A.12-A.13). That the aspartic acid (D5, D6) esters seem to hydrolyze fastest, followed by those on glutamic acid (E8) and finally C-terminal I9 also raises questions about the role of esterification site type vs. position in hydrolytic stability, which we plan to pursue in future studies.



**Figure 2.4.** Hydrolytic stability of persistent hydrolysis intermediates of esterified  $\alpha$ CT11. RP-HPLC chromatograms of **a**)  $\alpha$ CT11-3OMe(D5,D6,E8) (orange) and **b**)  $\alpha$ CT11-4OMe (red) in 37 °C 100 mM carbonate buffer (pH 10), showing each formulation after 6 and 24 h of hydrolysis, respectively. Persistent hydrolysis intermediates are highlighted in green, and  $\alpha$ CT11 controls are in blue. Mobile phase composition (% B, gray) is plotted against relative retention time in each RP-HPLC chromatogram. 2D selHMBC of **c**)  $\alpha$ CT11-3OMe(D5,D6,E8) (orange) and **d**)  $\alpha$ CT11-4OMe (red) and their persistent hydrolysis intermediates (green) were used to show -3OMe(D5,D6,E8) hydrolyzes into -1OMe(E8) (green, left) and -4OMe hydrolyzes into -1OMe(I9) (green, right).

## 2.2.5 Activity of esterified aCT11

Despite not observing full recovery of unesterified  $\alpha$ CT11 from  $\alpha$ CT11-3OMe(D5,D6,E8) or -4OMe in aqueous buffer at physiologically relevant conditions, we were interested to gauge the activity of the esterified  $\alpha$ CT11 formulations *in vitro*. In prior work,<sup>2</sup> we probed  $\alpha$ CT11 wound healing activity by tracking cell migration into a scratch. In these experiments, we cultured human dermal fibroblasts (huDFs), with migratory behavior known to organize scar tissue,<sup>28–32</sup> scratched them, and assessed their migration upon the addition of  $\alpha$ CT1, which is  $\alpha$ CT11 attached to the cell penetrating peptide antennapedia (RQPKIWFPNRRKPWKK)<sup>33</sup>. We found that  $\alpha$ CT1 afforded higher cell migration into a scratch than the vehicle control, which was attributed to intracellular Cx43/ZO-1 binding-mediated wound healing. Therefore, we anticipated that installing methyl esters onto  $\alpha$ CT11 would provide an alternative, reversible strategy towards realizing its intracellular localization. Thus, we performed *in vitro* cell culture scratch wound assays on huDFs (ATCC, PCS-201-012) treated with  $\alpha$ CT11,  $\alpha$ CT11-3OMe(D5,D6,E8), or -4OMe.

Prior to their use in the scratch wound assays, each  $\alpha$ CT11 peptide was dialyzed against 30% acetic acid to replace trifluoroacetate (TFA) counterions, known to skew physiological experiments,<sup>34,35</sup> with acetate counterions. MALDI-TOF MS revealed that some hydrolysis occurred during the counterion exchange process, as the counterion switched  $\alpha$ CT11-3OMe(D5,D6,E8) sample contained peaks commensurate with -3OMe, -2OMe, and -2OMe – 1 H<sub>2</sub>O (**Figure A.59**). Similarly, the counterion switched  $\alpha$ CT11-4OMe sample contained -4OMe, 3OMe, -3OMe – 1 H<sub>2</sub>O, -2OMe – 1 H<sub>2</sub>O, -2OMe – 2 H<sub>2</sub>O, and -1OMe (**Figure A.60**). Despite some hydrolysis of the samples and the presence of intramolecular imide products, it was clear that both counterion-switched  $\alpha$ CT11-3OMe(D5,D6,E8) and -4OMe still contained esters. Presumably, esters on the D5 and D6 aspartic acids hydrolyzed and some aspartimide formation occurred. Thus, we proceeded with these experiments to compare cell migration into the scratch resulting

after addition of the esterified peptide formulations containing or lacking a C-terminal I9 methyl ester.

In the scratch assays, huDFs were cultured on polypropylene culture dishes for 24 h, after which the peptides or vehicle control were added, the cultures were scratched with a 200 µL pipette tip, and 10 images were taken at different positions along the scratch immediately and 6 h after scratching. We measured a "migration index" for the cells in each image, which was calculated by dividing the difference in the area of the scratch after 6 h by the initial area. We then divided each migration index by the average of those for cells treated with the vehicle control (DMSO in HEPES buffer) to calculate a "relative migration index" (Equation 2.3) for each image. This was repeated 2 more times for a total of n = 3 scratched cell cultures, and we then calculated an overall average relative migration index for cells treated with each formulation. For cultures treated with  $\alpha$ CT11-3OMe(D5,D6,E8) and -4OMe, we observed significantly higher relative migration indexes (-40Me = 6.2 + / - 2.1; -30Me(D5, D6, E8) = 4.8 + / - 1.5, with p < 0.05 for both formulations)than unmodified  $\alpha$ CT11 (1.4 +/- 0.4), which had a statistically similar relative migration index to the vehicle alone (1.0, p = 0.11) (Figure 2.5, Table A.14-A.15). Interestingly, despite the relative differences in hydrophobicity and hydrolytic stability observed between αCT11-3OMe(D5,D6,E8) and -4OMe, cultures treated with the 2 formulations did not have statistically significant relative migration indices (p = 0.19). Regardless, the higher relative migration indices observed in the cell cultures treated with esterified aCT11 suggest that esters offer benefit by facilitating cell internalization and/or increasing activity.



**Figure 2.5.** Multi-site esterification of  $\alpha$ CT11 increases cell migration into a scratch. **a)** Representative images of the initial scratch wounds (top) and the same wounds after 6 h (bottom) of cell culture. Prior to the scratch, samples were treated with either the vehicle control (DMSO in HEPES, pH 7.4, gray), unmodified  $\alpha$ CT11 (blue),  $\alpha$ CT11-3OMe(D5,D6,E8) (orange), or  $\alpha$ CT11-4OMe (red). Dashed lines are added to guide the eye along the edges of the scratch. **b)** Relative migration indexes of scratched cells when exposed to the vehicle (gray),  $\alpha$ CT11 (blue), -3OMe(D5,D6,E8) (orange), and -4OMe (red). Scratch assays were performed with a human adult dermal fibroblast line (ATCC, PCS-201-012). Plotted circular markers represent the average between n = 10 individual images of a single scratch, and their respected standard deviations are noted by the gray error bars. Plotted bars represent the average relative migration indexes of the n = 3 cell cultures using a one-tailed paired two-sample t-test assuming unequal variances, where # (gray) and \* (blue) denote statistical significance relative to the vehicle and  $\alpha$ CT11, respectively (p < 0.05) and "n.s." represents no statistical significance (p > 0.05).

#### 2.3 Conclusions

Through these studies, we showed that varying the number of methyl esters installed onto the therapeutic peptide  $\alpha$ CT11 is an effective way to reversibly modify the hydrophobicity and number of anionic COOHs; however, controlling the position of esters on the peptide is just as important. 2D NMR spectroscopy revealed which residues were esterified, a key development in establishing structure property relationships for esterified αCT11 formulations. Of the 4 sites on αCT11, the C-terminal I9 was the most influential in modifying aCT11 properties, as methyl esters on the C-terminal I9 imparted the highest increase in hydrophobicity and were the most hydrolytically stable. Additionally, observed intramolecular imides seemed to offer a further increase in hydrophobicity relative to products with just esters, suggesting imides as an alternative method to reversible affect therapeutic peptide properties.; however, further experiments will be required to confirm imidization as a viable strategy, as aspartimide formation has been shown to lead to esterified peptide racemization.<sup>57</sup> Considering that the D5/D6, E8, and C-terminal I9 methyl esters each affected  $\alpha$ CT11 hydrophobicity and hydrolytic stability differently, these experiments raise important future questions about the relative roles of ester position (i.e., 5, 6, 8, C-terminus) vs. amino acid type (i.e., D, E, I) on modifying therapeutic peptide properties. As  $\alpha$ CT11 therapeutic activity is thought to rely on its interaction with the H2 domain of the transmembrane protein Cx43 and/or the PDZ2 domain of the scaffolding protein ZO-1,<sup>4</sup> we were encouraged by the increased migration observed in *in vitro* scratch wound assays treated with esterified aCT11 relative to those treated with unmodified  $\alpha$ CT11. These results show that esterification provides a clear benefit to αCT11-mediated wound healing and raises exciting future questions about whether installed esters serve mainly to increase cell internalization of therapeutic

peptides and/or to provide inherently higher activity themselves. Through reversible control over hydrophobicity and charge, multi-site esterification offers a compelling strategy to modify the hydrophobicity and net charge of hydrophilic peptides with multiple COOHs and tailor them for delivery, an imperative step towards transitioning intracellularly targeting therapeutic peptides into the clinic.

#### 2.4 Future Directions

#### 2.4.1 Elucidating the mechanism of esterified αCT11 activity

This study showed esterified  $\alpha$ CT11 to be beneficial to treat wounded cells *in vitro*; however, we are still not yet sure the exact mechanism by which esterified aCT11 promotes increased wound healing relative to the unesterified formulation. While it is possible that installing esters onto  $\alpha$ CT11 increased its overall activity through increasing cell membrane permeability, intracellular ester cleavage, and then binding of unesterified  $\alpha$ CT11 to either Cx43 and/or ZO-1, it is also possible that esterified  $\alpha$ CT11 yields wound healing through alternative mechanisms than those already established for  $\alpha$ CT11. Therefore, future work will focus on directly testing the cell membrane permeability of  $\alpha$ CT11 with different numbers of ester groups in different positions within the sequence, and determining if esterified aCT11 binds to Cx43 and/or ZO-1. To study cell membrane permeability, suggested future directions include developing methods to synthetically attach biotin to αCT11, which can be used to detect molecules *in celluo* through specific binding to streptavidin. Finally, similar to prior work by Gourdie & coworkers,<sup>4</sup> we plan to compare the ability of esterified aCT11 to bind Cx43 and ZO-1 relative to unesterified  $\alpha$ CT11 to determine if esterification indeed increases activity. Taken together, these proposed experiments should assist in elucidating the mechanism by which esterified

αCT11 shows improved *in vitro* wound healing through the scratch assays presented in **Figure 2.5**.

#### 2.4.2 Installed ester position vs. amino acid type

Our investigation into the effect of ester position on  $\alpha$ CT11 hydrophobicity and hydrolytic stability raised an important distinction between how the relative roles of the amino acid position within the sequence type affect the hydrophobicity and hydrolytic stability of an installed ester. As esterifying the C-terminal I9 methyl ester induced the most significant observed changes to aCT11 properties, we could envision two phenomena at play. First, we hypothesized that amino acid type (i.e., D, E, C-terminal I) determined how installed esters controlled hydrophobicity and hydrolytic stability. Here, we hypothesized that because isoleucine (I) is more hydrophobic than either the aspartic acid (D) or glutamic acid (E) residues within the  $\alpha$ CT11 sequence, esterifying the isoleucine residue would yield the highest increase in hydrophobicity and hydrolytic stability. To gauge this, we have synthesized a library of esterified  $\alpha$ CT11-based peptides with either glycine ( $\alpha$ CT11-G), alanine ( $\alpha$ CT11-A), or isoleucine ( $\alpha$ CT11) C-termini and fully esterified them (Figure 2.6, Figures A.61-A.64, Tables A.16-A.19). As fully esterifying each of the  $\alpha$ CT11 variants led to a 4.8 – 4.9 min increase in retention time, this suggests that esterifying  $\alpha$ CT11 has the same exact effects on hydrophobicity regardless of the C-terminal amino acid type. However, we also plan to run hydrolysis experiments and determine the most hydrolytically stable amino acid, similar to our studies in Figures 2.3-2.4.



**Figure 2.6.** RP-HPLC of  $\alpha$ CT11 with either C-terminal isoleucine ( $\alpha$ CT11, bottom), alanine ( $\alpha$ CT11-A, middle), or glycine ( $\alpha$ CT11-G, top). Retention times of each peaks are plotted relative to  $\alpha$ CT11, which was set to a retention time of 0 min. Absorbance was normalized to the highest peak between -6 and 6 min. Both unesterified  $\alpha$ CT11 variants (blue) and fully esterified  $\alpha$ CT11 variants (red) are plotted with relative retention times reported on the plots next to the peaks. % composition of B (ACN + 0.1% TFA) in the mobile phase gradient (gray) is also plotted.

Alternative to amino acid type determining hydrophobicity, we reasoned that the position in the sequence where the ester is installed controls hydrophobicity. In this scenario, we envisioned esterifying the C-terminus of the peptide would enable greater changes in conformation, where hydrophobic interactions could form between the hydrophobic N-terminal arginine residues and the C-terminal I9 to cause a cyclic ring-like cyclization is often associated with structure. As peptide an increase in hydrophobicity,<sup>36,37</sup> this would cause much larger variations in hydrophobicity than esterifying the aspartic acid or glutamic acid residues in the middle of the sequence. In the future, we aim to synthesize and esterify RPRPEELEE-NH<sub>2</sub> to determine the effect of amino acid position within the sequence (i.e., 5, 6, 8, C-terminus) on ester hydrophobicity

and hydrolytic stability when amino acid type is held constant. To do so, we hope simultaneously develop a site-selective esterification method to install only one ester onto different positions within  $\alpha$ CT11, which we will discuss in **Section 2.5.4**. Additionally, esterification and subsequent hydrolysis of the RPRPEELEE-NH<sub>2</sub> peptide would also tell us if the imide formation observed during  $\alpha$ CT11 hydrolysis is indeed a product of aspartic acid (D) hydrolysis alone. These proposed studies will build upon the design rules for multi-site esterification of therapeutic peptides established in this chapter, further enabling its implementation into the toolbox of researchers aiming to tailor therapeutic peptides for *in vivo* delivery.

#### 2.4.3 Alternatives to Fischer esterification: Amide esterification

While not shown in Figure 2.6, we had also studied the effect of esterifying an  $\alpha$ CT11 variant with a C-terminal glutamine (Q), which contains an amide on its side chain, instead of an isoleucine ( $\alpha$ CT11-Q) (**Figures 2.6a**, **A.65**, **Table A.20**). Upon Fischer esterification and purification of this  $\alpha$ CT11-Q variant, we observed the production of a peak that corresponded to  $\alpha$ CT11 with 5 installed methyl ester groups (Figures 2.6a, **A.66**, **Table A.21**). Interestingly, this suggests that the conditions used for Fischer esterification facilitated the replacement of the glutamine side chain amide with a methyl ester. While this was not intended, this result implied that primary amides within the  $\alpha$ CT11 sequences could be esterified using the same conditions as Fischer esterification, opening new synthetic possibilities for esterifying peptides.



**Figure 2.7.** Esterification of amides within the  $\alpha$ CT11 sequence. **a)** Rp-HPLC chromatograms showing esterification of  $\alpha$ CT11-Q (RPRPDDLEQ), which results in 2 major products:  $\alpha$ CT11-Q with 4 methyl esters ( $\alpha$ CT11-Q-40Me, red) and with 5 methyl esters ( $\alpha$ CT11-Q-50Me, dark red). Esterification was performed at 5000:1 MeOH: $\alpha$ CT11 with 5% HCI (v/v) at 40 °C for 24 h. Molecular weight was determined by MALDI-TOF MS. **b)** RP-HPLC chromatograms depicting esterification of  $\alpha$ CT11 with an amidated C-terminus ( $\alpha$ CT11-1NH<sub>2</sub>(I9), blue). Esterification was performed using various conditions, which are listed on each chromatogram. Samples are shown relative to  $\alpha$ CT11 alone (blue, bottom), and  $\alpha$ CT11-40Me to determine the amount of fully esterified peptide in each reaction mixture%B in the mobile phase gradient (gray) is superimposed on each chromatogram according to the x-axis.

Naturally, peptide sequences contain an N-terminal amine and a C-terminal carboxylic acid (Figure 1.1). Synthetically, this requires the use of 2-chlorotrityl chloride resin, which is connected to growing peptide chains by a heat-labile ester bond. While efficient, this synthesis is relatively time intensive, as the ester bond prevents the use of microwave-assisted methods; therefore, synthesizing peptides with a C-terminal carboxylic acid, such as  $\alpha$ CT11, prior to esterification requires an hour per amino acid attachment, and is usually on the order of days. Alternatively, Rink amide resin is attached to the growing peptide chain by an amide bond, which is stable at 90 °C and, thus, requires only a few minutes per amino acid attachment, and on the order of hours for

synthesis; however, as this resin synthesizes peptides with a C-terminal amide instead of a C-terminal carboxylic acid, we had originally opted to use 2-chlorotrityl chloride resin to enable the Fischer esterification of naturally occurring therapeutic peptides with Cterminal carboxylic acids. Upon the realization that primary amides could be esterified under the same conditions as Fischer esterification of carboxylic acids on  $\alpha$ CT11, future directions will include the direct esterification of amides to leverage the high-throughput ability of microwave-assisted solid phase peptide synthesis methods.

To assess if aCT11 synthesized using rink amide resin to yield a C-terminal amide ( $\alpha$ CT11-1NH<sub>2</sub>(I9)) could be converted to  $\alpha$ CT11-4OMe, we reacted  $\alpha$ CT11-1NH<sub>2</sub>(I9) using similar conditions to those presented in **Chapter 3** (5000:1 MeOH:αCT11, 5% HCl, 40 °C, 24 h), which were found to increase the yield of fully esterified  $\alpha$ CT11-4OMe to > 95% (Figures 2.7b, A.67-A.68, Tables A.22-A.23). However, we were unable to detect fully esterified αCT11-4OMe from the reaction products by RP-HPLC or MALDI-TOF MS, instead only observing the addition of 3 methyl esters to the original amidated aCT11 variant (aCT11-1NH<sub>2</sub>/3OMe). Therefore, we increased the ratio of MeOH to aCT11 to 10000:1 (mol/mol) and allowed the reaction to proceed for 68 h. We also tested the effect of doubling the concentration of acid catalyst in the reaction (5% to 10% HCl) and the effect of using DMF as a 50:50 (v/v) cosolvent with MeOH to improve solubility of the peptides in solution (Figures 2.7b, A.69-A.72, Tables A.24-A.27). After measuring the samples on RP-HPLC, we only saw the appearance of  $\alpha$ CT11-4OMe peaks (confirmed by MALDI-TOF MS) in the samples without the DMF cosolvent. Interestingly, doubling the HCl content did appear to slightly increase the overall conversion of aCT11-4OMe by a small amount. These preliminary results show that we can decrease synthesis timescales

through N-terminal amides without sacrificing the ability to fully esterify  $\alpha$ CT11, an exciting result that could lead to higher-throughput synthesis that require an order of magnitude less hours to complete; however, more experiments need to be done to find conditions where the yield of fully esterified  $\alpha$ CT11-1NH<sub>2</sub>(I9) from Fischer esterification conditions approach those from  $\alpha$ CT11 reported in **Chapter 3** (> 95%) to actually make use of this strategy. Interestingly, these results also show that glutamine side chain amides were more susceptible to esterification than the  $\alpha$ CT11 C-terminus, which raises similar questions involving the role of amino acid position and type in amide esterification to those proposed in Section 2.5.1.

#### 2.4.4 Alternatives to Fischer esterification: Allyl ester installation

Fischer esterification is a relatively cost-effective reaction, only requiring excess methanol and a catalytic amount of HCI, offering yields of above 70-80%, and provides the ability to simultaneously esterify multiple carboxylic acid sites on a single therapeutic peptide; however, it lacks the ability for site-selective placement of esters onto specific residues. While purification of Fischer esterified peptide mixtures with preparative RP-HPLC can provide isolated fractions of one esterified variant, this process is time-consuming and substantially decreases the overall peptide yield. Peptides containing D or E residues are commonly synthesized using tert-butyl ester protecting groups, which are acid-labile and easily removed through the trifluoroacetic acid-mediated deprotection step used to remove the synthesized peptide from the resin scaffold, a process that yields carboxylic acid groups that need to be converted to esters by Fischer esterification post-synthesis. Alternatively, allyl esters, which are stable in acid and will remain on the peptide through deprotection, can be used as protecting groups for D and E residues during peptide synthesis (**Figure 2.8a**). Despite being stable in acid, allyl esters are still labile to

base- or esterase- catalyzed hydrolytic activation,<sup>38</sup> and are thus still viable options for esterification of therapeutic peptides. Thus, one future direction will be to use allyl ester protecting groups to directly place different numbers of esters onto specific positions in the  $\alpha$ CT11 sequence, allowing more control over the ester installation process than Fischer esterification.



**Figure 2.8.** Allyl ester installation onto  $\alpha$ CT11. A) Schematic depicting aspartic and glutamic acid residues with allyl ester protecting groups, with solid phase peptide synthesis directly yielding esterified  $\alpha$ CT11. **b**) RP-HPLC chromatogram of  $\alpha$ CT11-1OAII(E8),  $\alpha$ CT11-1OAII(D6),  $\alpha$ CT11-1OAII(D5) (all in green), as well as  $\alpha$ CT11-3OAII(D5,D6,E8) (orange). Chromatograms are shown in reference to  $\alpha$ CT11 (blue) and  $\alpha$ CT11-4OMe (red) as a reference for hydrophobicity. %B in the mobile phase (gray) is superimposed onto the chromatograms. A \* in the chromatogram label denotes that the sample contained the presence of intramolecular or piperidine aspartimides, and that the plotted peaks are not necessarily representative of the purified peptide as suggested in the label.

To selectively place allyl esters on specific amino acids on  $\alpha$ CT11, we synthesized the peptide with allyl esters on the D5, D6, or E8 sites, as well as with allyl esters on all 3 sites (**Figure 2.8b**). While we were able to synthesize  $\alpha$ CT11 with an allyl ester installed on the E8 ( $\alpha$ CT11-1OAII(E8)) residue, MALDI-TOF MS revealed the presence of imides, either by the loss of H<sub>2</sub>O or the addition of piperidine, which can form an imide with carboxylic-acid toting amino acids during peptide synthesis, in all samples containing either a D5 or D6 allyl ester (**Figures A.73-A.76, Tables A.27-A.31**). As imides were not present in  $\alpha$ CT11-1OAII(E8), we attributed these imides to be aspartimides, agreeing with our results presented in **Section 2.3.3**. Interestingly, while we could detect imides in the  $\alpha$ CT11-1OAII(D6) sample, the RP-HPLC was relatively pure, with only one major product appearing in the RP-HPLC. This suggests that the D5 ester was primarily involved in aspartimide formation. While the use of allyl esters provide significant potential for site-selective esterification of therapeutic peptides, like  $\alpha$ CT11, syntheses involving aspartic acids need to be optimized to prevent the formation of aspartimides for this potential to be realized.

#### 2.5 Materials and Methods

#### 2.5.1 Materials

Fluorenylmethoxycarbonyl(Fmoc)-protected amino acids and 2-chlorotrityl chloride resin (0.6 mmol/g) were purchased from Advanced ChemTech (Louisville, Kentucky). Diisopropyl carbodiimide (DIC, 99.8%), N,N'-dimethylformamide (DMF, 99%), Oxyma Pure (99%), piperidine (99%), N,N-Diisopropylethylamine (DIPEA, 99%), trifluoroacetic acid (TFA. 99%). triisopropyl silane (TIPS. 98%). 2.2'-(ethylenedioxy)diethanethiol (DODT, 95%), diethyl ether (99%), methanol (MeOH, 99.8%), acetonitrile (ACN, HPLC-grade, 99.9%), hydrochloric acid (HCl, 37% in H2O), deuterated dimethyl sulfoxide (DMSO-d6, 99.5%), sodium bicarbonate (99.7%), sodium carbonate (99.5%), phosphate buffered saline tablets (PBS, 1 tablet/200mL for 1X concentration, pH 7.2-7.6), SpectraPor6 dialysis membranes (0.5 – 1 kDa), esterase from porcine liver (PLE, E3019, 19 units/mg solid), and Amicon ultra centrifugal filters (3 kDa MWCO) were purchased from Sigma Aldrich. Glacial acetic acid (99.7 -100.5%) and potassium iodide (KI) were purchased from VWR. All chemicals were used as received.

All water was purified by in-house reverse osmosis (RO). Ultrapure water refers to water purified by a Thermo Scientific Barnstead Smart2Pure water purification system (18.2 m $\Omega$ xcm).

#### 2.5.2 αCT11 synthesis

aCT11 was prepared using Fmoc-solid phase peptide synthesis with a CEM Liberty Blue automated microwave-assisted peptide synthesizer. To prepare the peptide with a COOH C-terminus, 2-chlorotrityl chloride resin (0.6 mmol/g) was used, and the entire synthesis was conducted at 25 °C to prevent premature cleavage of the ester bond connecting the peptide to the resin, which was observed above 50 °C. DIC (1 M in DMF) and Oxyma Pure (1 M in DMF) were used to mediate amino acid coupling, except for the first amino acid, which was coupled to the resin using KI (0.125 M in DMF) and DIPEA (1 M in DMF). Piperidine (20% v/v in DMF) was used to deprotect Fmoc groups preceding amino acid additions. After synthesis, the peptide was cleaved from the resin using a deprotection cocktail composed of TFA, water, TIPS and DODT (92.5/2.5/2.5/2.5 v/v) for 3 h at room temperature under constant stirring. Following deprotection, the peptide solution was separated from the resin by filtration, and the peptide was isolated by precipitation into diethyl ether and centrifugation (5 min, 2420 xg, 4 °C). The supernatant was decanted and the peptide was washed again with diethyl ether and isolated by centrifugation under the same conditions. The peptide pellet was dried under vacuum for 1 h, dissolved in 5% ACN in H<sub>2</sub>O (both with 0.1% TFA) and frozen in liquid N<sub>2</sub> immediately prior to lyophilization for 48 h to produce a fluffy cake that was easy to manipulate. 60-70% yield was achieved for each synthesis.

#### 2.5.3 Fischer Esterification of aCT11 with MeOH

For each esterification, between 20 – 150 mg of  $\alpha$ CT11 was mixed at a 1600:1 molar ratio of MeOH: $\alpha$ CT11 (400:1 MeOH:COOH) containing 5% HCI (v/v). The solution was stirred for 24 h, after which it was precipitated into diethyl ether and the peptide was isolated by centrifugation (5 min, 2420 xg, 4 °C). The supernatant was decanted, and the peptide was washed with diethyl ether, isolated by centrifugation under the same conditions, and dried under vacuum for 1 h. The peptide was dissolved in 5% ACN in H2O (both with 0.1% TFA) and frozen with liquid N<sub>2</sub> immediately prior to lyophilization for 48 h to produce a fluffy cake that was easy to manipulate. 50 – 70% yield was achieved for each esterification, assuming all 4 COOHs were esterified and peptides all contained trifluoroacetic acid counterions.





# 2.5.4 Analytical reverse-phase high-performance liquid chromatography (RP-HPLC)

Analytical RP-HPLC was performed at 35 °C with a flow rate of 1 mL/min on a Waters e2695 Alliance Separations Module, equipped with a XBridge® C18 chromatographic separation column (4.6 mm x 50 mm, 3.5 µm beads) and a photodiode array detector (Waters 2489 UV/Visible). The mobile phase consisted of ultrapurified water containing 0.1% TFA (A) and ACN containing 0.1% TFA (B), where TFA was included to maintain pH. For a discussion of mobile phase gradient composition, see

**Table A.1.** UV absorbance was monitored at 214 nm. For all chromatograms shown in **Figure 2.1**, the retention time of each sample was normalized to the maximum absorbances of the unesterified  $\alpha$ CT11 and fully esterified  $\alpha$ CT11-4OMe peaks, which were set to normalized retention times ( $\tau$ ) of 0 and 1, respectively. Absorbance was also normalized to the minimum and maximum absorbances of each chromatogram, between  $\tau = 0$  and  $\tau = 1$ . For the hydrolysis experiments, the retention time of each sample was reported relative to an  $\alpha$ CT11 sample, which was set to a retention time of 0, and absorbance was not normalized. Peak area (%) for a given  $\alpha$ CT11-related peak ( $\alpha$ CT11<sub>i</sub>) was calculated as the integration of the chromatogram within the respective bounds of that peak ( $t_{i,start}$  and  $t_{i,end}$ ) relative to the sum of all integrals in the elution phase (between 5 and 15 min, Table S1) of the chromatogram (**Equation 2.1**). Since the solvent blanks (5% ACN in H<sub>2</sub>O (both with 0.1% TFA), 1X PBS, or 100 mM carbonate buffer, when applicable) did not produce any features in the elution phase, we neglected solvent influence in the peak area calculations.

Equation 2.1) Peak Area<sub>i</sub> (%)= 
$$\frac{\int_{t_{i, \text{ start}}}^{t_{i, \text{ end}}} \alpha \text{CT11}_{i}}{\sum_{i} (\int_{t_{i, \text{ start}}}^{t_{i, \text{ start}}} \alpha \text{CT11}_{i})}$$

#### 2.5.5 Preparative RP-HPLC

To purify crude αCT11 variants and collect the isolated products, preparative RP-HPLC was performed at 25.52 mL/min at room temperature on a Waters Empower system, equipped with a XBridge® Prep C18 optimum bed density chromatographic separation column (30 mm x 150 mm, 5 µm beads) and a photodiode array detector (Waters 2489 UV/Visible). UV absorbance was monitored at 214 nm. The mobile phase consisted of ultrapurified water containing 0.1% TFA (A) and ACN containing 0.1% TFA (B), where TFA was included to maintain pH. Mobile phase gradients can be found in Table S1. For each purification, the eluent was collected at desired times and re-analyzed on analytical RP-HPLC using analogously scaled gradients to ensure purity, which was determined as the peak area fraction (Equation 1) in the relevant retention time range (between  $\tau = 0$  and  $\tau = 1$ ). Like fractions were then combined and lyophilized to obtain solid, purified peptide. Between 30 - 80 mg of peptide were routinely injected, with 20 - 60% recovery achieved for each injection.

# 2.5.6 Matrix-assisted laser desorption/ionization-time of flight (MALDI-TOF) mass spectrometry (MS)

Molecular weights of each purified peptide sample were measured using a Shimadzu MALDI-8030 mass spectrometer with a 200 Hz solid-state laser (355 nm). The instrument was calibrated with a standard MALDI calibration kit (TOFMix and CHCA matrix, Shimadzu), for which samples were dissolved at 670 femtomoles/ $\mu$ L in 70% v/v ACN with 0.1% TFA. All samples were dissolved in 5% ACN in ultrapure H<sub>2</sub>O, each with 0.1% TFA (except for the acetate counterion-switched samples, which were dissolved in 5% ACN in ultrapure H<sub>2</sub>O without any TFA), at approximately 1 mg/mL and co-crystallized in a 1:1 ratio (v/v) with a  $\alpha$ -cyano-4-hydroxycinnamic acid (CHCA) matrix solution (5 mg/mL in 70% v/v ACN with 0.1% TFA). Peaks with less than 2% intensity or those that could be attributed to a CHCA blank were not reported.

#### 2.5.7 Nuclear Magnetic Resonance (NMR) Spectroscopy

A Bruker Avance III 800 MHz spectrometer equipped with a 25 K cryoprobe was used to obtain NMR spectra.  $\alpha$ CT11 formulations were dissolved in DMSO-d6 at 5 mg/mL when possible (i.e.,  $\alpha$ CT11,  $\alpha$ CT11-3OMe(D5/D6/E8),  $\alpha$ CT11-4OMe); however, as we were unable to obtain more than 1 mg of the minor  $\alpha$ CT11-3OMe products (-30Me(D5/D6/I9, D6/E8/I9, D5/E8/I9)), they were dissolved at less than 1 mg/mL in

DMSO-d6. Chemical shifts were referenced to the DMSO-d6 residual peaks at 2.5 ppm or 39.5 ppm for <sup>1</sup>H and <sup>13</sup>C spectra, respectively. All integrals were referenced to the R1/R3 Hδ peak (3.11 ppm), which was set to 2 protons. 1D <sup>1</sup>H and <sup>13</sup>C, 2D H-H clean inphase correlation spectroscopy (CLIPCOSY),<sup>18</sup> H-H total correlation spectroscopy (TOCSY),<sup>19</sup> H-H nuclear Overhauser spectroscopy (NOESY),<sup>20</sup> H-C heteronuclear multiple bond correlation (HMBC),<sup>21</sup> and selective H-C HMBC (selHMBC)<sup>22</sup> spectra were obtained by using standard Bruker pulse programs. The TOCSY and NOESY mixing times were 100 ms and 250 ms, respectively. The center of the <sup>13</sup>C dimension of the selective HMBC was set to 170.4 ppm and the <sup>13</sup>C sweep width was 7.2 ppm; the selective <sup>13</sup>C pulse had a Q3\_surbop.1 shape and a 1470 Hz bandwidth. 50% nonuniform sampling was used for all 2D spectra except selHMBC, for which uniform sampling was used. Spectra were processed and analyzed by using Mestrenova 14 and Topspin 3.

#### 2.5.8 Hydrolysis experiments

Stock solutions of  $\alpha$ CT11,  $\alpha$ CT11-3OMe(D5/D6/E8), and  $\alpha$ CT11-4OMe in 5% ACN in ultrapure H2O (0.1% TFA) were prepared at 1 mg/mL using >10 mg peptide, for accuracy, and aliquots (0.6 mL) of each solution were lyophilized to minimize hydrolysis during storage at -20 °C. Each lyophilized sample was incubated in 2 mL of either 1X phosphate buffered saline (PBS, pH 7.4) or carbonate buffer (100mM, pH 10), creating a final concentration of 0.1 mM for each sample. 1X PBS was prepared by dissolving a PBS tablet into 200 mL of distilled H<sub>2</sub>O. Carbonate buffer (100 mM) was prepared by combining 775 mg (9.23 mmol) of sodium bicarbonate and 1142 mg (10.77 mmol) of sodium carbonate in distilled H2O (200 mL). Carbonate and PBS buffer concentrations were selected to exceed those of the protonatable/deprotonatable groups on the peptide samples (0.6 mM for  $\alpha$ CT11, 0.4 mM for  $\alpha$ CT11-3OMe(D5/D6/E8), and 0.3 mM for  $\alpha$ CT11-4OMe) to provide adequate buffering. The pH of each buffer was measured using a Mettler Toledo Benchtop pH meter and was adjusted using 100 mM solutions of NaOH and HCI until the desired pH was achieved within a tenth of a unit. Solutions were stirred continuously at 37°C and hydrolysis was monitored using RP-HPLC and MALDI-TOF MS. The percent of unesterified  $\alpha$ CT11 recovered from hydrolysis was determined by integrating the chromatogram within the respective bounds (ti,start and ti,end) of the unmodified  $\alpha$ CT11 peak ( $\alpha$ CT11) at each timepoint relative to the sum of  $\alpha$ CT11 and all esterified  $\alpha$ CT11 ( $\alpha$ CT11-OMe<sub>i</sub>) peak integrals visible in the relevant retention time range (the unmodified and most hydrophobic peaks  $\alpha$ CT11) of the RP-HPLC run (Equation **2.2**). As the buffered solvent alone produced no significant peaks within the elution phase (Table S1) in their respective chromatograms, they were not considered in the recovery of unesterified  $\alpha$ CT11 (%) calculations. Hydrolysis experiments for all  $\alpha$ CT11-xOMe samples were repeated in triplicate.

Equation 2.2) Recovery of unesterified  $\alpha$ CT11 (%)=  $\frac{\int_{t_{\alpha}CT11,end}^{t_{\alpha}CT11,end}\alpha}{\int_{t_{\alpha}CT11,start}^{t_{\alpha}CT11,end}\alpha}CT11 + \sum_{i}(\int_{t_{i},start}^{t_{i},end}\alpha}CT11-OMe_{i})$ 

### 2.5.9 Porcine Liver Esterase (PLE) Hydrolysis Experiments

Lyophilized peptide samples were prepared as described for the hydrolysis experiments. A PLE (19 units/mg) stock solution (1 mg/mL) was prepared in 1X PBS (pH 7.4) and was diluted to create 3 separate solutions: 0.526 mg/mL (20 units/mL), 0.053 mg/mL (2 units/mL), and 0.005 mg/mL (0.2 units/mL). 2 mL of each solution was added to αCT11, αCT11-3OMe(D5,D6,E8), and αCT11-4OMe aliquots (final peptide concentration of 0.1 mM) to vary the PLE concentration between 1:1, 10:1, and 100:1 enzyme units:µmol peptide. Solutions were stirred continuously at 37 °C for 24 h and the peptide was isolated through centrifugal filtration (3 kDa membranes, 5 min, 2420 xg, 4

°C) prior to analysis. Isolated peptide samples were then filtered through a 0.45 μm filter and hydrolysis was monitored by RP-HPLC and MALDI-TOF MS.

#### 2.5.10 Liquid Chromatography-Mass Spectrometry (LC-MS)

LC-MS measurements were performed with a Thermo nanoEASY-LC 1200 coupled to a Thermo Orbitrap Exploris 480 mass spectrometer with an Easy Spray ion source. Hydrolysis of aCT11, aCT11-3OMe(D5,D6,E8) and -4OMe was halted by of TFA (0.1% v/v), which lowered the pH to under 2.0, for less than 24 h prior to analysis. Samples (50 μL) desalted using C-18 (Protocol: were tips dx.doi.org/10.17504/protocols.io.36wgqjzmyvk5/v1), suspended with 50 µL of 0.1% formic acid (FA) and diluted 10x for LC-MS injection. Samples were injected into the mass spectrometer through an analytical PepMAP RSLC C-18 Easy Spray column (Thermo Scientific – 3 µm particle size, 100 Å pore size, 150 mm column length, 75 µm internal diameter) with a pre-column Acclaim PepMap 100 C-18 (Thermo Scientific – 3 µm particle size, 100 Å pore size, 20 mm column length, 75 µm internal diameter). The mobile phase consisted of water with 0.1% FA (v/v) (A) and 20/80 water/ACN with 0.1% FA (v/v) (B). Peptides were eluted from the column using an a ACN gradient in 0.1% FA (5 – 60 % B in 20 min, 60 – 95 %B in 4 min, and hold at 95% B for 6 min). The mass spectrometer was operated in positive, data-dependent mode, in which one full MS scan as acquired in the m/z range of 375-1500 (I  $\mu$ scan, resolution = 120 K, RF Lens 40%, AGC = 300%, Max Inject = 60 ms) followed by MS/MS acquisition using higher energy collisional dissociation (HCD) of the 10 most intense ions (1  $\mu$ scan, repeat count = 3, exclusion duration = 20 s, intensity threshold = 1E+06, 10 ppm tolerance, resolution = 30 K, AGC = 100%, NCE = 30) from the MS scan using window width of 2.0 m/z. For each timepoint, a total ion chromatogram (m/z = 525.0 - 590.0 for +2 ions related to any  $\alpha$ CT11 products)
and a selective ion chromatogram (monoisotopic +2 m/z, 10 ppm window) for each  $\alpha$ CT11 species was measured, and the area of the selective ion chromatograms were corrected to account for different isotopes of each product by multiplying by a correction factor of 1.9 (Figures S41-S42, S45-S58). Abundance (%) of different  $\alpha$ CT11 species was calculated by integrating the area under the curve for each selective ion chromatogram, applying the correction factor, and dividing it by the area of the total ion chromatogram.

#### 2.5.11 Trifluoroacetic acid counterion switch

To replace TFA counterions with acetate counterions, we dissolved >10 mg of  $\alpha$ CT11,  $\alpha$ CT11-3OMe(D5/D6/E8), or  $\alpha$ CT11-4OMe into distilled H<sub>2</sub>O (5 – 10 mL). We then placed the solution into a 0.5 – 1 kDa dialysis membrane. We first dialyzed each peptide sample against 30% acetic acid in distilled H2O for 4 h to replace the counterions, followed by 3 additional dialysis solution changes (greater than 4 h each) against 0.1% acetic acid in distilled H<sub>2</sub>O to lower the overall concentration of acetic acid in the solution prior to lyophilization. After lyophilization, counterion-switched samples were weighed and dissolved in 5% ACN in ultrapurified H<sub>2</sub>O (1 mg/mL) and 1 mL aliquots were prepared and lyophilized, yielding 1 mg lyophilized samples of each  $\alpha$ CT11 formulation.

#### 2.5.12 Cell-cultured scratch wound assays

Scratch wound assays were repeated as descripted in a prior publication.<sup>2</sup> Cells used in this experiment were human dermal fibroblasts (huDF; ATCC, PCS-201-012). Medium used for huDF was Dulbecco's Modified Eagle Medium – High Glucose (DMEM HG, 4.5 g/L Glucose) with 2% Normal Calf Serum (NCS; Thermo Fisher/Gibco, 16010-159, Lot 2490415) and 4% Fetal Bovine Serum (FBS; Thermo Fisher/Gibco, 26140-079). Cells were expanded and stored in liquid N<sub>2</sub> until plating on polypropylene culture dishes in culture medium (20 mL media per expansion). Cells were expanded to confluency, then

passaged into 12-well plates and allowed to adhere and grow prior to removing serum from media to eliminate proliferation. Lyophilized counterion-switched peptide samples (aCT11, aCT11-3OMe(D5,D6,E8), aCT11-4OMe) were solubilized in the vehicle control (DMSO in HEPES buffer) at 100 mM (100X). 10 µL of the 100X solution was then added to 1 mL of DMEM HG to dilute the concentration to ~ 100  $\mu$ M (1X). When serum was removed, either the 1X peptide solutions or the vehicle control were administered to the cells. The scratch wound assay was performed 24 h after the treatment, using a 200 µL sterile pipette tip to scratch the surface of the well, then cells were rinsed 1X in Dulbecco's phosphate buffered saline (dPBS) and provided fresh culture (1 mL per well) medium post-treatment. Cells were then imaged along the length of each scratch, and images were analyzed to determine initial scratch areas. After 6 h, cultures were rinsed in 1X Dulbecco's PBS (dPBS), fixed in 2% paraformaldehyde, then rinsed 4 times in dPBS and stained in 1:20000 (v/v) Hoechst prior to imaging on a Leica SP8 laser scanning confocal microscope. The initial area (Area<sub>x,0h</sub>) and final area after 6 h (Area<sub>x,6h</sub>) and initial scratch areas were determined for a sample (x) using automated ImageJ Area Analysis software, then a "migration index" was calculated by dividing the difference between the initial area and the final area of the scratch by the initial area, with a smaller number indicating greater movement towards a final area of 0. Each migration index was reported relative to the average of that of the vehicle (v), creating a relative migration index (Equation 2.3). The calculated relative migration indexes from 10 images different of 3 different cell cultures for each tested formulation were then averaged. Standard error was calculated for each formulation by dividing the standard deviation of the average by the square root of the 3, as there were 3 separate experiments; however, these values were all less than 0.05, and were therefore unable to be displayed on the plot. Each formulation was statistically compared using a one-tailed paired 2 sample t-test assuming unequal variances.

Equation 2.3) Relative Migration Index = 
$$\frac{\frac{\text{Area}_{x,0h} - \text{Area}_{x,6h}}{\text{Area}_{x,0h}}}{\frac{\text{Area}_{x,0h} - \text{Area}_{x,6h}}{\text{Area}_{y,0h}}}$$

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# CHAPTER 3: ESTERIFICATION TO ENABLE REVERSIBLE ENCAPSULATION OF THERAPEUTIC PEPTIDES INTO POLYECTROLYTE COMPLEXES

#### **3.1 Introduction**

Therapeutic peptides combine the advantages offered by small molecules and biologics, making them a unique class of therapeutics. However, their susceptibility to proteolytic degradation and renal filtration leads to rapid clearance, resulting in short *in vivo* half-lives and hampering their clinical implementation.<sup>1–4</sup> For example, the wound healing and cardioprotective peptide  $\alpha$  carboxyl terminus 11 ( $\alpha$ CT11, RPRPDDLEI)<sup>5,6</sup> has a *in vivo* half-life of only 10 minutes in rat blood plasma.<sup>7</sup> To realize the therapeutic potential of peptides, we must develop methods to protect them from proteolysis and renal filtration to extend their *in vivo* half-lives.

One method to increase the *in-vivo* half-lives of therapeutics is to encapsulate them into polyelectrolyte complexes (PECs), complexes formed by non-covalent interactions between two oppositely charged electrolyte chains in aqueous solution.<sup>8–10</sup> While most commonly used to encapsulate anionic DNA or RNA for gene delivery,<sup>11–14</sup> multiple studies have developed polyelectrolyte complexes to encapsulate proteins<sup>15–19</sup> or antimicrobial peptides,<sup>20–22</sup> a specific class of therapeutic peptides used to treat bacterial infections. As antimicrobial peptides commonly contain a high net cationic charge to interact and disrupt anionic membranes,<sup>23</sup> they are particularly amenable to encapsulation in PECs; however, this is specific to antimicrobial peptides, as general therapeutic peptides can contain multiple anionic and cationic charges. For example, the therapeutic peptide  $\alpha$  carboxyl terminus 11 ( $\alpha$ CT11, RPRPDDLEI) contains 3 cationic charges (N-terminus, R1, R3) and 4 anionic COOHs (D5, D6, E8, C-terminus) at physiological pH, which could cause charge repulsion with either anionic or cationic charged polymers and prevent its encapsulation into PECs. As prior studies have established that assembly between mixed charge proteins and charged polymers only occur above a protein net charge ratio threshold,<sup>17,19,24</sup> which varies from protein to protein, methods to modify the net charge of therapeutic peptides, such as  $\alpha$ CT11, could enable their encapsulation into PECs.

There exist a few different methods to modify the net charge of amino-acid based therapeutics (i.e., peptides and proteins) to enable PEC formation. For example, Obermeyer *et al.* "supercharged" therapeutic proteins, where succinic anhydride was reacted with the cationic amines of lysines in the protein sequence, converting their charge to from positive to negative.<sup>17</sup> Alternatively, adding highly anionic peptide tags to GFP increased its negative charge to enable complexation with cationic polymers.<sup>19</sup> While both of these strategies are effective towards modifying the net charge of therapeutic peptides above the necessary charge ratio threshold to complex with an oppositely charged polymer, they both induced permanent changes in amino acid structure, which could induce undesired loss of biological function. For example, supercharging GFP caused a loss of protein fluorescence.<sup>19</sup> Additionally, the increased binding strength caused by modifications to therapeutic charge of therapeutic peptides to enable reversible PEC formation without sacrificing therapeutic activity or subsequent release.

To temporarily increase the net cationic charge of therapeutic peptides, we can esterify them, converting anionic carboxylic acids to ester groups and enabling PEC formation between now-cationic esterified peptides and anionic polymers. Further, ester

hydrolysis would not only return the native, active sequence, but also reveal anionic carboxylic acids and enable the release of the therapeutic in question through charge repulsion between the peptide and polymer. In this study, we esterify the therapeutic peptide  $\alpha$ CT11 to reversibly increase its cationic charge and enable PEC formation with poly(methacrylic acid) (pMAA), an anionic polymer. Specifically, we track PEC formation and stability in aqueous solution over time. Additionally, we determine the effect of buffer concentration and solution pH on PEC formation. Finally, we correlate observed ester hydrolysis with PEC solution turbidity while simultaneously assessing how the presence of anionic polymers affects the hydrolysis of esters installed onto  $\alpha$ CT11.

#### 3.2 Results & Discussion

#### 3.2.1 Esterifying aCT11 enables complexation with anionic PMAA

We first sought to determine if replacing the 4 anionic carboxylic acids on the wound healing peptide  $\alpha$ CT11 with neutral ester groups would enable interactions with anionic poly(methacrylic acid) (PMAA) polymer (2.3 kDa, 23 repeat units, **Figure B.1**) to form PECs.  $\alpha$ CT11-4OMe was prepared as described in Chapter 2, incubating  $\alpha$ CT11 in excess methanol (5000:1 mol MeOH: mol  $\alpha$ CT11) containing 5% v/v HCl for 24 h, followed by isolation using preparative scale RP-HPLC (**Scheme 3.1**). We note that we increased the MeOH: $\alpha$ CT11 ratio from 1600:1 to 5000:1 and increased the temperature from room temperature to 40 °C to increase our yield of  $\alpha$ CT11-4OMe from 77% (Figure 2.1, Table A.2) to >95% (Figure B.2) after 24 h.

To determine the effect of esterification on PEC formation, we mixed PMAA with either esterified  $\alpha$ CT11-4OMe (PMAA/4OMe) or unesterified  $\alpha$ CT11 (**Figure B.3, Table B.2**) (PMAA/ $\alpha$ CT11) in aqueous solution and used turbidimetry to assess the presence of supramolecular structures large enough to scatter light. As we were also interested in the

stability of any formed supramolecular structures over time, we performed these measurements over 22 h. We opted to combine PMAA with peptide at a 1:1 charge ratio (negative PMAA charges:positive αCT11 charges) to ensure every charge on either the peptide on the polymer was matched with an opposite charge on the other material with which to complex. We performed these studies in phosphate buffer (pH 7.4), where the αCT11 N-terminus and R1/R2 guanidinium groups should be protonated and the PMAA COOHs should be deprotonated to allow for electrostatic interactions to occur. To find a suitable concentration to conduct our experiments, we measured the turbidimetry of mixtures containing 0.25, 0.50, 1.00, and 1.50 mg PMAA/mL and the corresponding amount of either esterified or unesterified aCT11 over 22 h. For each concentration of PMAA, we varied buffer concentration to ensure 2x the amount of buffer necessary to control pH based on the concentration of charged groups on the polymer and peptide. For all concentrations tested, we were encouraged to see that PMAA/4OMe had increased turbidity relative PMAA/ $\alpha$ CT11; however, the turbidity curves of PMAA/4OMe mixtures at 1.50 and 1.00 mg PMAA/mL (Figure B.4a-b) were also dynamic, featuring decreases and increases in turbidity at various times that were not as pronounced at the lower concentrations (0.50 and 0.25 mg PMAA/mL, Figure B.4c-d). Therefore, to gauge the statistical significance of the difference between the turbidity vs. time data collected for PMAA/4OMe and PMAA/αCT11, we repeated the experiments at 1 mg PMAA/mL solution in triplicate, a concentration at which we were able to conserve peptide without sacrificing resolution in turbidity.

After repeating our 1 mg PMAA/mL experiments in triplicate with independently prepared solutions over 22 h, we observed that PMAA/4OMe turbidity was significantly

higher than PMAA/ $\alpha$ CT11 turbidity over the first 19 h in solution using a one-tailed t-test assuming unequal variances. As control solutions of  $\alpha$ CT11-4OMe, unesterified  $\alpha$ CT11, and PMAA alone all produced negligible turbidity relative to PMAA/4OMe, this suggested that esterifying aCT11 was indeed necessary to induce the formation of supramolecular structures, and that αCT11-4OMe was indeed being encapsulated within PMAA-based PECs (Figure 3.1). While there were slight differences between PMAA/aCT11, aCT11-4OMe, and PMAA, they were all less than 0.02 absorbance units, which we considered to be within error of the instrument, and, thus, we did not make conclusions from these differences. Interestingly, there was a relatively high amount of variation between the 3 separate PMAA/4OMe mixtures, with their maximum absorbances ranging from 0.08 to 0.17. This variation was reasonable, as minor changes in either PMAA or  $\alpha$ CT11-4OMe concentration, solution pH, buffer concentration, etc. could lead to differences in complexation and subsequent turbidity. Yet, despite this variation, the dynamic features observed in the PMAA/4OMe turbidity curves were quite reproducible, as the 3 replicate mixtures tested all reached a maximum turbidity between 4.5 and 6 h, followed by a gradual decrease until the final measured timepoint (Figure 3.1).

We were particularly interested in the observed decrease in turbidity observed over the latter 16 h, which suggested that the size and/or number of complexes in solution was decreasing and, specifically, that the complexes were dissociating. To this end, there was no statistically significant difference in PMAA/4OMe and PMAA/αCT11 turbidity after 19 h in aqueous solution, which supported the idea that PMAA/4OMe supramolecular structures were indeed dissociating. While the decrease in turbidity from 6 to 22 h is indicative of what we would expect upon dissociation, we would expect the turbidity of PMAA/4OMe and PMAA/ $\alpha$ CT11 to overlap upon full dissociation of the PMAA/4OMe complexes. Yet, the individual PMAA/4OMe mixtures had differences of 0.02, 0.08, and 0.09 absorbance units relative to PMAA/ $\alpha$ CT11 at 20 h after mixing (Figure 3.1). Therefore, as the gradual decrease in turbidimetry over the latter 16 h of the experiment is indicative of dissociation, it is more likely that we are not capturing the full timescale of PEC dissociation in 22 h, and this result was more reflective of the relatively high variance of PMAA/4OMe mixtures.



**Figure 3.1.** Effect of  $\alpha$ CT11 esterification on PEC formation over time. **a)** Turbidity of mixtures of  $\alpha$ CT11-4OMe (blue, diamonds) and  $\alpha$ CT11 (purple, diamonds) with PMAA over ~21 h (n = 3). For mixtures of  $\alpha$ CT11-4OMe and PMAA, the 3 individual turbidimetry plots are also shown under the plotted averages (transparent blue diamonds). Control solutions of only  $\alpha$ CT11 (purple, x),  $\alpha$ CT11-4OMe (blue, x), and PMAA (red, x) are also shown. Error bars represent the standard deviation between 3 separately synthesized samples, with \* indicating a one-tailed two sample t-test assuming unequal variances giving p < 0.05 and while "n.s." representing no statistical significance. Turbidity data were collected by Aditi S. Gourishankar.

## 3.2.2 Complexation between PMAA and αCT11-4OMe is electrostatically driven

After determining that esterifying  $\alpha$ CT11 enabled the formation of light scattering supramolecular structures with PMAA, we sought to determine the driving force behind complexation. While observing an increase in turbidity upon replacing the anionic carboxylic ester groups suggested that complexation was driven by noncovalent electrostatic interactions between positive charges on  $\alpha$ CT11-4OMe and negative charges on PMAA, it was also possible that the increased hydrophobicity of  $\alpha$ CT11-4OMe relative to  $\alpha$ CT11 was enabling non-covalent hydrophobic interactions to drive complexation. Since increasing salt concentration screens electrostatic interactions to form between anionic PMAA carboxylic acids and cationic  $\alpha$ CT11 N-termini/R1 or R2 guanidiniums, and, if complexation was indeed electrostatically driven, result in decreased solution turbidity.<sup>25,26</sup> Therefore, we sought to vary the concentration of buffer salt within our PMAA/4OMe mixtures.

To test if PMAA/4OMe complexation was electrostatically driven, we doubled the phosphate buffer concentration from 38 mM to 77 mM. Upon doing so, we immediately observed a loss in turbidity relative to mixtures in the 38 mM buffer (**Figure 3.2**). While there was still a slight difference in PMAA/4OMe and PMAA/ $\alpha$ CT11 turbidity in 52 mM buffer, they were much less pronounced than those seen in 38 mM buffer. Additionally, the PMAA/4OMe turbidity curves were no longer dynamic, staying relatively constant around 0.04 absorbance units over the 22 h tested. Taken together, these results suggested that doubling the buffer concentration prevented interactions between  $\alpha$ CT11-4OMe and PMAA, and esterifying  $\alpha$ CT11 indeed facilitated electrostatically-driven PEC formation with PMAA in 38 mM buffer.



**Figure 3.2.** Increasing buffer concentration prevents electrostatic interactions between esterified  $\alpha$ CT11-4OMe and PMAA. **a**) A mixture of  $\alpha$ CT11-4OMe and PMAA in 52 mM phosphate buffer (blue, diamonds) is significantly less turbid than the same mixture in 38 mM phosphate buffer (pH 7.4), suggesting that the formation of supramolecular structures was prevented by increased salt concentration and, therefore, interactions between PMAA and  $\alpha$ CT11-4OMe are electrostatic in nature. Turbidity of solutions of only  $\alpha$ CT11-4OMe (blue, x), and PMAA (red, x) are also shown at the same buffer concentration. Turbidity data were collected by Aditi S. Gourishankar.

## 3.2.3 Controlling the pH of PEC solutions without sacrificing complexation

After determining that PMAA/ $\alpha$ CT11-4OMe PEC formation was driven by electrostatic interactions, we sought to more closely control the pH of the solution. As the solution pH controls the protonation state of the negatively charged PMAA carboxylic acids and positively charged  $\alpha$ CT11 R1/R2/N-terminus we required solution pH to be constant for each formulation (i.e., PMAA/ $\alpha$ CT11-4OMe, PMAA/ $\alpha$ CT11,  $\alpha$ CT11-4OMe) over the entire 22 h tested. Further, the rate of  $\alpha$ CT11-4OMe ester hydrolysis is highly dependent on solution pH, leading us to require a constant pH to determine the effect of PMAA on ester hydrolysis. Therefore, we measured the pH of PMAA/4OMe, PMAA/ $\alpha$ CT11, and  $\alpha$ CT11-4OMe solutions over time.

We first measured the pH of each solution prepared in 38 mM phosphate buffer, as presented in Figure 3.1. We found that while 38 mM phosphate buffer was able to control the pH within an individual formulation over time, it was not able to keep the pH constant between groups, as the pHs of PMAA/4OMe, PMAA/ $\alpha$ CT11, and  $\alpha$ CT11-4OMe were held at 6.9, 6.5, and between 7.1-7.3 over the 22 h measured (**Figure 3.3a**). While the  $\alpha$ CT11-4OMe solution, which did not have any negatively charged groups initially, matched the buffer pH, the dissolution of solutes with negatively charged carboxylic acids (i.e., PMAA, unesterified  $\alpha$ CT11) decreased the solution pH. The range of pHs observed in all the tested samples still fell above the pKa of the PMAA carboxylic acids (~4.8) and below the pKa of the  $\alpha$ CT11 R1/R2 guandiniums (~13.8) and/or N-termini (~10.2) (**Figure 3.3d**), the different pHs could have a slight effect on the protonation states between groups, meaning that the actual charge of each solute in solution would not be held constant.



**Figure 3.3.** Controlling the pH of PEC solutions. pH of solutions containing PMAA and  $\alpha$ CT11-4OMe mixtures (blue, diamonds), PMAA and  $\alpha$ CT11 mixtures (purple, diamonds), and  $\alpha$ CT11-4OMe alone (blue, xs) in **a**) 38 mM phosphate buffer (pH 7.4), **b**) 77 mM phosphate buffer (pH 7.2), and **c**) 38 mM phosphate buffer (pH 8.2), corrected to pH 7.2 immediately after adding peptide. While both 38 and 77 mM phosphate buffer was able to control pH over time, only pH correction was able to hold all different samples at the same pH over 22 h. Error bars in (a) represent the standard deviation between 3 independently prepared samples. **d**) Structures of  $\alpha$ CT11-4OMe and PMAA, with estimated pKas of the protonatable groups shown. pKas were determined using Chemdraw. pH data were collected by Aditi S. Gourishankar.

As 38 mM buffer was not able to hold pH constant between the different formulations, we decided to double the phosphate buffer concentration from 38 to 77 mM. We note that while we were not able to detect PEC formation by turbidimetry at this higher buffer concentration (Figure 3.2), we still sought to determine if the 38 mM buffer was not concentrated enough to do so, or if we just needed to adjust pH immediately after dissolution. Like the 38 mM phosphate buffer, 77 mM phosphate buffer controlled pH within an individual formulation over time, as the pHs of each individual solution fell within 0.1 of the initial pH over the 22 h tested (**Figure 3.3b**). While the increased buffer

concentration was able to narrow the ranges of pH seen between the different groups, where the PMAA/4OMe, PMAA/ $\alpha$ CT11, and  $\alpha$ CT11-4OMe solutions had pHs of 7.1 – 7.2, 6.9 – 7.0, and 6.7 – 6.8, respectively, it was still not able to keep the pH constant between groups. As we could not detect PEC formation or control the pH of different solutions in 77 mM buffer, we decided to instead reattempt to control pH in 38 mM buffer, in which we could detect PEC formation using turbidimetry.

As we saw a significant drop in solution pH only upon the addition of solutes with negatively charged carboxylic acids (i.e., PMAA, unesterified  $\alpha$ CT11) in 38 mM pH buffer, yet this buffer concentration was sufficient to control the pH of the solutions/mixtures over time, we sought to raise the pH of the 38 mM buffer to counteract this initial pH drop and maintain a physiological pH (pH 7.0 - 7.4) in the PMAA/4OMe sample without adding more salt, which was shown to prevent PEC formation. Then, we could use small amounts of acid and base to further correct the pH of the PMAA/ $\alpha$ CT11,  $\alpha$ CT11-4OMe,  $\alpha$ CT11, and PMAA controls, whose turbidity was not dependent on salt concentration, to match the pH of the PMAA/4OMe solution. By preparing the phosphate buffer at pH 8.2 and using this method, the PMAA/4OMe, PMAA/ $\alpha$ CT11 and  $\alpha$ CT11-4OMe solutions were all held between pH 7.2 and 7.0 for 22 h, and allowed us to observe the expected increase in turbidity of mixtures of PMAA and aCT11-4OMe relative to those with unesterified  $\alpha$ CT11, as well as the dynamic features of the PMAA/ $\alpha$ CT11-4OMe turbidity curves discussed in 3.1.1 (Figure 3.4a). Further, the decrease in turbidity over the latter timepoints resulted in PMAA/4OMe and PMAA/αCT11 samples almost overlapping after 22 h, only differing by 0.1 absorbance units, which suggested even more dissociation of

PECs. As we were now able to hold pH constant, we next sought to study  $\alpha$ CT11-4OMe ester hydrolysis in the presence of PMAA.

# 3.2.4 Hydrolytic conversion of αCT11 esters to carboxylic acids decreases turbidity of PEC solutions

As methyl esters installed onto a CT11-4OMe can be hydrolytically reverted to anionic carboxylic acids and cause charge repulsion-facilitated PMAA/4OMe PEC dissociation, we hypothesized that the observed decrease in PMAA/4OMe turbidity after 6 h was due to this phenomenon. To correlate ester hydrolysis with observed trends in turbidity, we used RP-HPLC to measure ester hydrolysis at the same timescale that we were measuring turbidimetry (Figure 3.4b). Specifically, we tracked the %  $\alpha$ CT11-4OMe (Equation 3.1) present in RP-HPLC chromatograms of PMAA/4OMe mixtures prepared in pH-corrected 38 mM phosphate buffer at different timepoints over the 22 h measured (Figure 3.4c), and then compared it to the turbidity of the PMAA/4OMe solutions at that same timepoint. After only 1.5 h, we saw a 12% and 26% decrease in % αCT11-4OMe and turbidity in the PMAA/4OMe solution, respectively, initially suggesting ester hydrolysis and turbidity to be directly related. However, despite observing an additional 24% decrease in %  $\alpha$ CT11-4OMe in the PMAA/4OMe solution between 1.5 and 5 h after mixing, we instead observed a 26% increase in turbidity. While these early trends caused changes in turbidity to seem unrelated to ester hydrolysis, we then observed a simultaneous 41% and 79% decrease in % aCT11-4OMe and turbidity in the PMAA/4OMe solution, respectively, between 5 and 22 h. While we could not develop any direct trends between PMAA/4OMe turbidity and % aCT11-4OMe from these data, we hypothesized that there was still a relationship between turbidity and ester hydrolysis that we were not capturing through only monitoring the %  $\alpha$ CT11-4OMe over time.



**Figure 3.4.** Hydrolysis of  $\alpha$ CT11-4OMe drives decreases in turbidity of mixtures with PMAA. **a)** Turbidity of  $\alpha$ CT11-4OMe and PMAA mixtures (blue, diamonds),  $\alpha$ CT11 and PMAA mixtures (purple, diamonds),  $\alpha$ CT11-4OMe alone (blue, xs), and PMAA alone (red, xs) in 38 mM phosphate buffer (pH 8.2), where all samples were corrected to pH 7.2 immediately after addition of the peptide. **b)** Turbidity (bars) and  $\alpha$ CT11-4OMe peak area % (light blue diamonds, xs) of various timepoints depicted in (a) and (c), respectively. Turbidity of PMAA and  $\alpha$ CT11-4OMe (blue bars) mixture is higher than those of PMAA and  $\alpha$ CT11 (purple bars) until 22 h.  $\alpha$ CT11-4OMe peak area decreases over time in the presence and absence of PMAA but decreases slightly faster in the absence of PMAA. **c)** RP-HPLC chromatograms depicting  $\alpha$ CT11 peptide in the mixture of PMAA and  $\alpha$ CT11-4OMe (blue, solid line) mixture of PMAA and unesterified  $\alpha$  (purple, dashed line), and  $\alpha$ Ct11-4OMe solutions alone (blue, dashed line) shown in (a). Retention time was adjusted relative to that of  $\alpha$ CT11 alone at each timepoint. The  $\alpha$ CT11-4OMe peak over various timepoints is highlighted (light blue). Turbidity and hydrolysis data was collected by Aditi S. Gourishankar.

As the correlation between PMAA and  $\alpha$ CT11-4OMe mixture turbidity and ester hydrolysis was unclear from only looking at  $\alpha$ CT11-4OMe peak area % over time, we instead sought to analyze the esterified  $\alpha$ CT11 species produced by hydrolysis (**Figure 3.4c**). As we had previously used LC-MS to perform a detailed analysis of  $\alpha$ CT11-4OMe ester hydrolysis in 1X PBS (pH 7.4) (Figure 2.4), we compared these data to the chromatograms depicting  $\alpha$ CT11-4OMe ester hydrolysis in PMAA/4OMe mixtures. While ~35x higher  $\alpha$ CT11-4OMe concentrations (i.e., lower water:peptide ratios) in the PMAA/4OMe solutions relative to those tested in Figure 2.4 caused a significantly slower hydrolysis rate, we still assumed that ester hydrolysis would persist in a similar fashion, with different hydrolysis products appearing in the same order, and continued our comparison.

We first chose to focus on the first 5 h of mixing, where we observed a temporary decrease in turbidity followed by an increase. Our prior experiments established that  $\alpha$ CT11-4OMe ester hydrolysis and reversion to carboxylic acids was sometimes preceded by the temporary formation of intramolecular imides (likely involving the aspartic acids D5 and D6), which had higher retention times than esterified products. To this end, despite the 37% decrease in %  $\alpha$ CT11-4OMe observed in the PMAA/4OMe solutions 5 h after mixing, we only observed the production of one new peak (retention time relative to  $\alpha$ CT11 = 6.5) that we reasoned to be contain one or more imides due to its higher retention time than  $\alpha$ CT11-4OMe (retention time relative to  $\alpha$ CT11 = 4.7). As we did not observe the production of any other peaks with lower retention times than  $\alpha$ CT11-4OMe over the first 5 h, we presumed that little to no carboxylic acids were being produced in this timescale, and the decreases and increases observed over the first 5 h was likely an effect of varying PEC rate of formation and size over this initial timescale, and not charge repulsion-facilitated PEC dissociation.

After establishing that little-to-no esters were hydrolyzed to carboxylic acids over the first 5 h, we turned our comparison to the latter 17 h, where we observed a permanent decrease in PMAA/4OMe turbidity. After 22 h, 57% of the total peak area corresponded to peaks with a lower retention time than  $\alpha$ CT11-4OMe, suggesting that, at this point, the majority of the esterified peptide now contained negatively charged carboxylic acids

capable of dissociating PECs (Figure 3.4c). As the 79% decrease in PMAA/4OMe turbidity occurred over the same timescale, the appearance of products with lower retention times than  $\alpha$ CT11-4OMe, which likely had anionic carboxylic acids, seemed to cause to a decrease in turbidity, and suggests the dissociation of PMAA/ $\alpha$ CT11-4OMe PECs.

As we were also interested in the ability of PEC formation to shield  $\alpha$ CT11 from external stimuli, we compared the %  $\alpha$ CT11-4OMe in each chromatogram over time in the presence and absence of PMAA. At each measured timepoint after 0 h, PMAA/4OMe mixtures had a slightly higher %  $\alpha$ CT11-4OMe than the solutions of  $\alpha$ CT11-4OMe alone (Figure 3.4c). While these results will have to be repeated to determine the robustness of these slight differences, these data suggests that PMAA may slow the rate of ester hydrolysis from  $\alpha$ CT11-4OMe. This result is particularly exciting, as it suggests that encapsulation of  $\alpha$ CT11-4OMe into PMAA-based PECs limits the ability of external molecules to access the peptide, and, therefore, that PECs could provide protection from proteolytic degradation *in vivo*.

#### 3.3 Conclusions

The studies disclosed within this chapter demonstrate esterification as a viable strategy to make mixed charge therapeutic peptides amenable to reversible interactions with anionic polymers to form PECs. PEC formation not only serves as a method to encapsulate peptides, but the dynamic turbidity profiles of mixtures of PMAA and  $\alpha$ CT11-4OMe suggest the PECs formed to be reversible, providing an opportunity to release the peptide. Further, as PEC formation was shown to be electrostatically-driven, we look forward to varying the ratio of positively charged  $\alpha$ CT11-4OMe to negatively charged PMAA to vary important variable such as binding strength, PEC size and shape, and

release. As the reversion of  $\alpha$ CT11-4OMe esters to carboxylic acids led to a gradual, permanent decrease in PMAA/4OMe turbidity, we expect that controlling the hydrolysis of esters installed onto αCT11 could tune the release of peptides from PECs. As we showed in Chapter 2, both the number and, more importantly, the position of esters installed onto aCT11 significantly affects hydrolysis, and should serve as important parameters to control hydrolytically-dependent  $\alpha$ CT11 release from PECs. Excitingly, the presence of PMAA slowed the hydrolysis rate of  $\alpha$ CT11-4OMe, suggesting that external water molecules were not able to access a CT11-4OMe esters as easily upon formation of PECs. As the motivation of this work was rooted in increasing the in vivo half-life of therapeutic peptides, this result bodes well towards PECs being able to protect aCT11 from proteases capable of degrading therapeutic peptides. In the future, we are excited to not only characterize the encapsulation efficiency of these PECs, but also characterize their shape and size over time through microscopic methods. Additionally, we plan to leverage the increase in peptide hydrophobicity through esterification to study hydrophobically-facilitated encapsulation with different polymers, or a combination of hydrophobically- and electrostatically-facilitated complexation with block copolymers. Esterification provides a compelling strategy to reversibly modify the net charge of therapeutic peptides to enable temporary encapsulation into PECs, facilitating the ability to increase the *in vivo* half-life of peptides without sacrificing their ability to release from the carrier and reach their desired site of action.

#### 3.4 Future Directions

This chapter provided a proof of concept towards leveraging peptide esterification to enable reversible encapsulation into PECs, a process that we aim to continue characterizing. First, we aim to support our turbidity results using imaging, specifically

using either optical or transmission electron microscopy to physically track the formation and dissociation of PMAA/4OMe PECs as well as their shape and size over time. Further, we aim to determine the encapsulation efficiency of PECs for esterified  $\alpha$ CT11. To do so, we plan to use RP-HPLC monitor the concentration of either esterified or unesterified  $\alpha$ CT11 in the supernatant of mixtures of PMAA and esterified  $\alpha$ CT11 to complete a material balance on the system and determine how much peptide is incorporated into the supramolecular structures we detected using turbidimetry. Finally, we plan to gauge the ability of PECs to protect encapsulated peptide from proteases by incubating esterified  $\alpha$ CT11 with Proteinase K in the presence and absence of PMAA. Through these further experiments, we aim to strengthen some of the conclusions presented in this chapter and showcase esterification as a beneficial strategy to enable PEC formation.

Overall, we see esterified peptide/polymer PEC formation as a complex yet tunable system, and plan to study different aspects of it over several future papers. First, we plan to assess how different molecular details of the esterified peptide and polymer affect PEC formation and release. Specifically, we aim to look at the effect of installed ester number, installed ester type, and polymer composition on PEC properties. As we have established esterification of  $\alpha$ CT11 is necessary for supramolecular structures to form with PMAA, and that these structures are driven by electrostatic interactions between the 2, we look forward to probing how decreasing the net overall charge of the peptide affects PEC formation and release, where we imagine a tradeoff between the two as installed ester number increases or decreases. Further, by varying ester type, we can attempt to either vary the hydrophobicity of the esters or attempt to imbue the peptide with higher charge by using alcohol with cationic groups, such as ethanolamine. Finally, while our current

system uses electrostatics to drive complexation, we could use hydrophobic polymers, such as poly(butyl methacrylate) to form hydrophobic complexes, which could have an even more pronounced effect on peptide hydrolysis than those formed from electrostatics. In this case, using more hydrophobic esters with either increased alkyl chain length or aromatic groups could yield varying degrees of encapsulation and release. While we see these experiments as the next logical step after establishing PEC formation with esterified peptides, we also look forward to varying the charge ratio of peptide and polymers used as well as studying the formation of polyelectrolyte complex micelles (PCMs) with block copolymers to form nanoparticles with a hydrated outer shell to add both solubility and an added layer of protection from proteases *in vivo*.

#### 3.5 Materials & Methods

#### 3.5.1 Materials

Fluorenylmethoxycarbonyl(Fmoc)-protected amino acids and 2-chlorotrityl chloride resin (0.6 mmol/g) were purchased from Advanced ChemTech (Louisville, Kentucky). Diisopropyl carbodiimide (DIC, 99.8%), N,N'-dimethylformamide (DMF, 99%), Oxyma Pure (99%), piperidine (99%), N,N-Diisopropylethylamine (DIPEA, 99%), trifluoroacetic 99%), acid (TFA, triisopropyl silane (TIPS, 98%). 2.2'-(ethylenedioxy)diethanethiol (DODT, 95%), diethyl ether (99%), methanol (MeOH, 99.8%), methanol (MeOH, > 99%), acetonitrile (ACN, HPLC-grade, 99.9%), hydrochloric acid (HCI, 37% in H2O), deuterated dimethyl sulfoxide (DMSO-d6, 99.5%), sodium bicarbonate (99.7%), sodium carbonate (99.5%), potassium phosphate monobasic (99%), potassium phosphate dibasic (99%), Methacrylic acid (MAA, stabilized with monomethyl ether hydroquinone (MEHQ), 99%), 4-Cyano-4-> (phenylcarbonothioylthio)pentanoic acid (> 99%), 4-4'-Azobis(4-cyanovaleric acid)

(ACVA,  $\geq$  98.0%) was purchased from Sigma Aldrich. Potassium iodide (KI) were purchased from VWR. MAA was purified by passing the monomer through a MEHQ removal column (Scientific Polymer Products Inc.) to remove inhibitor prior to polymerization. All other chemicals were used as received. All water was purified by in house-reverse osmosis (RO). Ultrapure water refers to water purified by a Thermo Scientific Barnstead Smart2Pure water purification system (18.2 m $\Omega$ xcm).

#### 3.5.2 Synthesis of poly(methacrylic acid) (PMAA)

Poly(methacrylic acid) was synthesized via reversible-addition fragmentationchain transfer (RAFT) polymerization. MAA (1.7 mL, 20 mmol), CTA (279.3 mg, 1 mmol), ACVA (28 mg, 0.1 mmol) and methanol (8.3 mL, monomer concentration of 2.4 M) were added synchronously to a 20 mL scintillation vial. The molar ratio of [MAA]:[CTA]:[ACVA] was held at 20:1:0.1 with the target degree of polymerization at 20. The solution was degassed with nitrogen for 30 min, then added to a silicone oil bath set to 60 °C for 24 h. The solution was purified via dialysis against 40/60 MeOH/water, then against water. Following lyophilization, the polymer molecular weight was determined using <sup>1</sup>H nuclear magnetic resonance (NMR) spectroscopy.

#### 3.5.3 Fischer Esterification of *a*CT11 with Methanol (MeOH)

For each esterification,  $\alpha$ CT11 (20 – 150 mg) was mixed at a 5000:1 molar ratio of MeOH: $\alpha$ CT11 in a 95:5 MeOH:HCl ratio (v/v). The solution was stirred for 24 h at 40 °C, after which it was precipitated into diethyl ether and the peptide was isolated by centrifugation (5 min, 2420 xg, 4 °C). The supernatant was decanted, and the peptide was washed with diethyl ether, isolated by centrifugation under the same conditions, and dried under vacuum for 1 h. The peptide was dissolved in 95/5 H<sub>2</sub>O/ACN, both with 0.1% TFA (v/v), at 5 – 10 mg/mL and frozen with liquid N<sub>2</sub> immediately prior to lyophilization for

at least 48 h to produce a fluffy cake that was easy to manipulate. We achieved 50 – 70% yield for each esterification, assuming all 4 COOHs were esterified and peptides all contained 3 trifluoroacetic acid counterions.



**Scheme 3.1.** Fischer esterification of αCT11 (RPRPDDLEI)

3.5.4 Analytical reverse-phase high-performance liquid chromatography (RP-HPLC)

Analytical RP-HPLC was performed at 35 °C with a flow rate of 1 mL/min on a Waters e2695 Alliance Separations Module, equipped with a XBridge® C18 chromatographic separation column (4.6 mm x 50 mm, 3.5 µm beads) and a photodiode array detector (Waters 2489 UV/Visible). The mobile phase consisted of ultrapure water containing 0.1% TFA (A) and ACN containing 0.1% TFA (B), where TFA was included to maintain pH. The mobile phase gradient was designed such that the peptide eluted between 5 and 15 min (23 – 26% B) (**Table 3.1**). UV absorbance was monitored at 214 nm. For the hydrolysis experiments, the retention time of each sample was reported relative to the  $\alpha$ CT11 peak in the chromatogram measured at the initial timepoint, which was set to a relative retention time of 0, and absorbance was normalized between 0 and 1 for each chromatogram. For a discussion of how the  $\alpha$ CT11-4OMe peak was identified and aligned in the chromatogram of each timepoint, see **Figure B5** in Appendix B. Peak area (%) for  $\alpha$ CT11-4OMe was calculated as the integration of the -4OMe peak relative

to the sum of the integrations of all  $\alpha$ CT11-related peaks ( $\alpha$ CT11<sub>i</sub>) eluting between 5 and 15 min (Table 3.1 and **Equation 3.1**). Since the 38 mM phosphate buffer did not produce any features in the elution phase, we neglected solvent influence in the peak area calculations.

Equation 3.1) % 
$$\alpha$$
CT11-4OMe =  $\frac{\int_{t_{40Me,start}}^{t_{40Me,start}} \alpha$ CT11-4OMe}{\sum\_{i} (\int\_{5}^{15} \alphaCT11<sub>i</sub>)

Run Time (min)		Mobile Phase Composition		
Analytical	Preparative	% Water + 0.1% TFA (A)	% Acetonitrile + 0.1% TFA (B)	
0	0	95	5	
0.5	2.6	95	5	
5	25.2	77	23	
15	50.2	74	26	
15.1	50.7	5	95	
16.1	60.0	5	95	
16.2	60.6	95	5	
22	70.0	95	5	

**Table 3.1.** RP-HPLC mobile phase gradients

## 3.5.5 Preparative RP-HPLC

To purify  $\alpha$ CT11 and  $\alpha$ CT11-4OMe and collect the isolated products, preparative RP-HPLC was performed at 25.52 mL/min at room temperature on a Waters Empower system, equipped with a XBridge® Prep C18 optimum bed density chromatographic separation column (30 mm x 150 mm, 5 µm beads) and a photodiode array detector (Waters 2489 UV/Visible). UV absorbance was monitored at 214 nm. The mobile phase consisted of ultrapure water containing 0.1% TFA (A) and ACN containing 0.1% TFA (B), where TFA was included to maintain pH. Mobile phase gradients can be found in Table 3.1. Like fractions were then combined and lyophilized to obtain solid, purified peptide. Between 30 – 80 mg of peptide were routinely injected, with 20 – 60% recovery achieved for each injection.

# 3.5.6 Matrix-assisted laser desorption/ionization-time of flight (MALDI-TOF) mass spectrometry (MS)

Molecular weights of each purified peptide sample were measured using a Shimadzu MALDI-8030 mass spectrometer with a 200 Hz solid-state laser (355 nm). The instrument was calibrated with a standard MALDI calibration kit (TOFMix and CHCA matrix, Shimadzu), for which samples were dissolved at 670 femtomoles/µL in 70% v/v ACN each with 0.1% v/v TFA. All samples were dissolved in 5% v/v ACN in ultrapure H<sub>2</sub>O, each with 0.1% v/v TFA, at approximately 1 mg/mL and co-crystallized in a 1:1 ratio (v/v) with a  $\alpha$ -cyano-4-hydroxycinnamic acid (CHCA) matrix solution (5 mg/mL in 70% v/v ACN with 0.1% v/v TFA). Peaks with less than 2% intensity or those that could be attributed to a CHCA blank were not reported.

## 3.5.7 Preparation of PMAA and αCT11-4OMe mixtures

PEC solutions and their relevant controls potassium phosphate buffer. First, we dissolved either esterified  $\alpha$ CT11-4OMe or unesterified  $\alpha$ CT11 (> 10 mg to enable accurate measurements) in 95/5 H<sub>2</sub>O + 0.1% TFA/ACN + 0.1% TFA (v/v) at 1 mg/mL, aliquoted proper amounts required for the proposed charge ratio (~5 mg for  $\alpha$ CT11 and  $\alpha$ CT11-4OMe) for each solution, and then lyophilized the aliquots for at least 24 h. Prior to mixing, PMAA (> 10 mg) was weighed and dissolved into phosphate buffer at 1 mg/mL. PMAA solution (1 mL) was added to each of the lyophilized peptide samples, and these solutions were immediately vortexed for ~5 s to insure proper mixing. The same procedure was applied to the control samples with either PMAA or peptide alone, where PMAA solution (1 mL, 1 mg/mL) alone was used as the PMAA control and the phosphate buffer without PMAA (1 mL) was added to peptide aliquots to create the respective  $\alpha$ CT11-4OMe and  $\alpha$ CT11 control solutions.

#### 3.5.8 Turbidimetry

Turbidimetry data was obtained using an Infinite 200 PRO (Tecan Group Ltd.) multimode plate reader. Each prepared solution (0.2 mL) was added to a well in a COR96fc UV transparent) – Corning 96 flat transparent well plate and absorbance within that well was measured through a kinetic cycle at 550 nm (25 flashes) every 30 min for 24 h.

Statistical analysis of turbidity data was performed using a one-tailed t-test assuming unequal variances with a confidence interval of 0.95. For the statistical analysis, we chose to use a one-tailed t-test as we were analyzing whether PMAA/4OMe solutions were specifically higher than PMAA/ $\alpha$ CT11 solutions. We chose to assume unequal variances as differences between the computed variance of the PMAA/4OMe and PMAA/ $\alpha$ CT11 solution turbidity were greater than an order of magnitude.

#### 3.5.9 Phosphate buffer preparation

Phosphate buffer was prepared by dissolving solid potassium phosphate monobasic and potassium phosphate dibasic at various ratios in RO water. Buffer was prepared to contain 2 proton accepting units for the amount of proton donating units in solutions of  $\alpha$ CT11 (4 proton donating units per mole) and PMAA (24 proton donating units per mole). Recipes for phosphate buffer variations with different concentration and pH were calculated using <u>www.aatbio.com</u>, and can be found in **Table 3.2**.

Concentration (mM)	Concentration of proton accepting units (mM)	рН	potassium phosphate dibasic (proton accepting) (g)	potassium phosphate monobasic (g)	RO water (mL)
38	26	7.4	0.92	0.31	200
77	52	7.4	1.87	0.64	200
28	26	8	1.14	0.06	250

**Table 3.2.** Recipes for phosphate buffers with various pH and concentrations

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assisted with experimental design and collected the turbidity, pH, and RP-HPLC data

disclosed in this section. Kelly M. Bukovic synthesized and characterized the PMAA.

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#### CHAPTER 4:

# EXPANDING THE DESIGN SPACE OF POLYMER-METAL ORGANIC FRAMEWORK (MOF) GELS BY UNDERSTANDING POLYMER-MOF INTERACTIONS

This chapter has been adapted from: Verma, P.,<sup>+</sup> Bannon, M.S.,<sup>+</sup> Kuenen, Mara K., Raj, S., Dhakal A., Stone, K., Nichols, A.W., Machan, Charles W., Colón, Y.J., Letteri, R.A., Giri, G., Expanding the design space of polymer-metal organic framework (MOF) gels by understanding polymer-MOF interactions. *Chem. Mater.* In Review.

# 4.1 Introduction

Metal-organic frameworks (MOFs), crystalline coordination networks containing organic linkers bridged by metal ions/clusters,<sup>1</sup> can be integrated with polymers to create polymer-MOF composite gels that enable advanced capabilities in optoelectronics,<sup>2</sup> wound healing,<sup>3–6</sup> and separations.<sup>7–13</sup> The functionality and versatility of these composite materials arise from enhanced sorptive capacity,<sup>14</sup> processability,<sup>15</sup> and mechanical stability<sup>16,17</sup> relative to the individual polymer and MOF constituents. For example, synthesizing MOF-808 within metal-cross-linked alginate gels increased methylene blue sorption by an order of magnitude compared to the alginate gels alone.<sup>12</sup> In another example, forming HKUST-1 MOFs within bentonite clay-cross-linked poly(vinyl alcohol) networks furnished 3D-printable MOF-laden inks.<sup>18</sup> Additionally, the synthesis of ZIF-8 within a gelatin matrix enhanced the storage modulus of the composite gels (5x) compared to the gelatin alone.<sup>19</sup> While interactions between MOFs and polymers that facilitate composite gel formation are quite beneficial in these examples, polymer-MOF interactions can also detract from the intended properties of composite gels.

MOF formation requires the coordination of organic linkers to metal ions/clusters, while gel formation in these systems generally relies on cross-linking of polymer chains by the same metal ions/clusters. Therefore, this competition between the organic linker and polymer for binding the metal ions/clusters can disrupt MOF crystallization in

composite gels. For example, synthesizing MOF-808 within Zr<sup>4+</sup>-cross-linked alginate gels yielded composite gels with lower crystallinity than MOF-808 particles formed in the absence of alginate.<sup>12</sup> It is in turn possible that the linkers outcompete polymers for binding the metal ions/clusters, reducing cross-link density in the composite gels.<sup>13</sup> To gain control over polymer-MOF composite gel properties, we must further understand the competition between linkers and polymers for metal ions/clusters.

To date, many reported polymer-MOF composite gels rely on carboxylic acid (-COOH) containing polymers, such as alginate.<sup>9,11,13,20-22</sup> However, since many MOF linkers contain carboxylic acids, competition will likely occur between the carboxylic acids on the polymer and the linker for binding metal clusters. Using polymers more weakly metal binding functional groups (e.g., amines (-NH<sub>2</sub>) or hydroxyl (-OH)) than carboxylic acid groups can permit crystalline MOF formation without preventing gelation. For example, four crystalline MOFs were formed within chitosan composite gels, suggesting that the chitosan hydroxyl and amine groups did not prevent MOF formation.<sup>8</sup> Encouragingly, this suggests that polymer molecular details can tune the MOF formation properties and the polymer-MOF interactions and, by extension, the properties of the resulting composites. On the other hand, the MIL-100 MOF did not form within the same chitosan networks,<sup>8</sup> highlighting that, in addition to the polymer functional groups, it is important to consider the effects of MOF chemistry on MOF crystallinity and formation within composite gels. Studies so far have mainly highlighted the benefits of polymer-MOF combinations. However, comparisons of composite structure and properties (e.g., MOF crystallinity, gel properties, sorption characteristics) with control materials lacking either polymer (i.e., MOF alone) or linker (i.e., metal-cross-linked polymer gels) are

needed for understanding the role of molecular details on the structure and properties of these composite gels.

Here, we investigate how the molecular details of polymers and MOFs affect the formation, gelation behavior, crystallinity, and sorption characteristics of their composite gels. Specifically, for these studies, we form composite gels using polymers with different types and densities of functional groups (i.e., carboxylic acids, hydroxyl groups, or neither) and a range of Zr-based MOFs. We then compare the ability of the composite gels, Zr-cross-linked polymer gels (control without MOF linker), and MOF (control without polymer) to sorb and release the small molecule dye methylene blue and the therapeutic peptide Angiotensin 1-7. By understanding the role of molecular details of polymers and MOFs on the properties of composite gels prepared by forming MOFs in the presence of polymers, we expand the design space of polymer-MOF composite gels.

#### 4.2 Results and Discussion

We first studied the impact of polymer chemistry on MOF formation, and, in turn, the impact of MOF formation on polymer cross-linking. To probe the role of polymer chemistry in MOF formation, we formed MOFs in the presence and absence of poly(acrylic acid) (PAA), poly(acrylic acid-*co*-acrylamide) (PAAA), poly(vinyl alcohol) (PVA), and poly(ethylene glycol) (PEG), and assessed the crystallinity of the resulting MOFs. We note that aside from PEG, these polymers are all simple hydrocarbon chains with functional groups pendant to every other carbon, allowing us to isolate the effects of these functional groups. In turn, to investigate the extent to which MOF formation plays a role in polymer cross-linking, we compared the gelation behavior of Zr-cross-linked polymers, which were formed with in the absence of the organic MOF linker, to that of the polymer-MOF composites.
To evaluate the role of polymer chemistry, we selected the prototypical MOF UiO-66, featuring zirconium-oxide (Zr-oxo) clusters linked with benzene dicarboxylic acid (H<sub>2</sub>BDC) linker. Previously finding that pre-forming Zr-oxo clusters before adding linker accelerates MOF formation,<sup>23,24</sup> we first synthesized the clusters by dissolving zirconium propoxide (70 wt% in 1-propanol) and acetic acid modulator in DMSO followed by heating at 130 °C for 2 h. Then, we added the H<sub>2</sub>BDC linker as a powder to the Zr-oxo cluster solution, which is transparent (Figure C.1a), and stirred at room temperature (RT) for 24 h to form UiO-66 (Figure 4.1a). As is typical with UiO-66 formation,<sup>25</sup> the solution turned white within 4-6 h (Figure C.1b). We isolated the UiO-66 powder by dialyzing the suspension against DI water, followed by drying the particles at RT in ambient conditions. Scanning electron microscopy (SEM) images of the MOF powder revealed spherical particles ~30 to 80 nm in diameter, typical of this accelerated MOF formation protocol, <sup>24</sup> (Figure C.2). Grazing incidence X-ray diffraction (GIXD) from the powder shows characteristic diffraction peaks corresponding to scattering from the (111) and (200) planes of UiO-66 (Figure 4.1a). From the GIXD pattern, we used the Scherrer equation<sup>26</sup> to calculate the coherence length of UiO-66, reflective of the length scale over which crystalline order persists, as 40 nm.

To form composite gels, we added a MOF linker to the polymer solution before mixing with Zr-oxo clusters. We chose to pre-mix the polymer and linker to promote simultaneous formation of MOF and gel, since pre-mixing linker and Zr-oxo clusters prior to adding polymer might preferentially promote MOF formation and pre-mixing polymer and Zr-oxo clusters prior to adding linker might preferentially promote gelation. We first attempted to form composite gels with a 1:1 molar ratio of linker:metal similar to the MOF

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alone, but obtained no gelation. In contrast, increasing the linker:metal molar ratio to 2:1 yielded gels (**Figure C.3**). A possible explanation is that doubling the linker concentration accelerates UiO-66 crystallization, generating defects (i.e., open metal sites) and availing open Zr-oxo cluster sites for polymers to bind and gel.<sup>27</sup> Thus, all polymer-UiO-66 composites gels were formed at a 2:1 linker:metal molar ratio. We have included a discussion in **Section C1.2** of Appendix C on why using a lower linker:metal ratio was not plausible.



**Figure 4.1.** Polymer gelation and MOF formation within polymer-MOF composite gels, varying in polymer functional group chemistry and density. **(a)** Formation of UiO-66, with the corresponding grazing incidence X-ray diffraction (GIXD) pattern (purple) compared with the simulated pattern (black). In the structure of the Zr-oxo clusters, generated using Mercury,<sup>28</sup> Zr, O, and C atoms are colored cyan, red, and grey respectively. Gelation behavior (center) and GIXD patterns (right) of polymer-UiO-66 composite gels (red) and polymer-Zr-oxo gels (blue) synthesized with **(b)** poly(acrylic acid) (PAA), **(c)** poly(acrylamide-co-acrylic acid) (PAAA), **(d)** poly(vinyl alcohol) (PVA), and **(e)** poly(ethylene glycol) (PEG). For PAA, we form gel, but

not UiO-66. For PAAA and PVA, we form composite gels containing UiO-66, but those with carboxylic acidcontaining PAAA show lower UiO-66 crystallinity, while hydroxyl-containing PVA maintains crystallinity. For PEG, we form composite gels containing UiO-66, but PEG-Zr-oxo mixtures lacking linker do not gel, indicating MOF formation is necessary for gelation. All samples were synthesized in dimethyl sulfoxide (DMSO). Image of Zr-oxo cluster is reproduced or adapted with permission from [28]. Copyright 2022 American Chemical Society. GIXD data and images were collected by Prince Verma.

#### 4.2.1 MOF and gel formation in carboxylic acid-containing polymer solutions:

Anticipating that polymers with carboxylic acid groups would compete most strongly with the carboxylic acid-containing linkers for binding the Zr-oxo metal clusters, we first attempted to form PAA-UiO-66 composite gels. Adding a solution of Zr-oxo clusters to a solution containing H<sub>2</sub>BDC linker and 2 wt% PAA (M<sub>w</sub>~1,033,000 g/mol) in DMSO yielded a self-supporting gel after 24 h at room temperature (Figure 4.1b, center left). Yet, the gel was transparent, and since UiO-66 formation should produce particles that give the gel a white color, we suspected limited-to-no UiO-66 formation within the gel. Corroborating our visual assessment, GIXD patterns contained no peaks characteristic of the (111) or (200) planes of UiO-66 crystals (Figure 4.1b, right). Furthermore, unlike UiO-66 alone, which forms spherical particles (Figure C.2), we observed no spherical particles in SEM images of the gels (Figure C.4). Suspecting that gelation was due to Zr-oxo clusters cross-linking PAA chains, we added a solution of Zroxo clusters to a PAA solution containing no linker, which also produced a self-supporting PAA-Zr-oxo gel (Figure 4.1b, center right). Since UiO-66 did not form in the presence of PAA, presumably due to PAA outcompeting the H<sub>2</sub>BDC linker for binding the Zr-oxo clusters, we next sought to form composites by adding pre-formed UiO-66 to PAA. While we did observe a small amount of gelation of these physical mixtures of MOF and polymer, we did not observe the homogenous gelation necessary to pass an inversion

(**Figure C.5a**), a result we ascribe to the lower accessibility of Zr-oxo clusters needed for polymer cross-linking.

As PAA, with carboxylic acid groups pendent to every other carbon, inhibited UiO-66 formation, we reasoned that lowering the density of carboxylic acids on the polymer would permit MOF formation simultaneously with gelation. We next attempted composite gel formation with PAAA, a copolymer containing 10% acrylic acid groups randomly dispersed among acrylamide units with  $M_w \sim 210,000$  g/mol (Figure 4.1c, left). Despite the lower density of carboxylic acids and the lower molecular weight of this polymer, mixtures of PAAA and Zr-oxo still formed gels in the absence of linker (Figure 4.1c, center right). In the presence of linker, we also observed the formation of a selfsupporting gel (Figure 4.1c, center left). Yet in this case, the opaque white color of this gel was an encouraging indication of UiO-66 particle formation within the gel, which GIXD patterns (Figure 4.1c, right) and SEM confirmed (Figure C.6). While the coherence length of UiO-66 synthesized in the presence of PAAA (26 nm) was slightly lower than that of UiO-66 alone (40 nm), it is apparent that lowering the carboxylic acid density within the polymer permitted simultaneous MOF formation and gelation, suggesting functional group density can modulate MOF crystallinity.

#### 4.2.2 MOF and gel formation in hydroxyl-containing polymer solutions:

Relative to carboxylic acids, hydroxyl groups interact less strongly with Zr-oxo clusters;<sup>29</sup> therefore, we expected that forming UiO-66 in the presence of poly(vinyl alcohol) (PVA, M<sub>w</sub>~146,000-186,000 g/mol, **Figure 4.1d, left**) would also furnish composite gels despite the anticipated lower binding affinity of PVA to Zr-oxo clusters. To this end, adding a Zr-oxo cluster solution to a PVA solution in the absence of H<sub>2</sub>BDC linker yielded a self-supporting PVA-Zr-oxo gel (**Figure 4.1d, center right**). Upon adding

Zr-oxo solution to a mixture of PVA and H<sub>2</sub>BDC linker, the resulting gel exhibited the opaque, white color characteristic of UiO-66 formation (**Figure 4.1d, center left**). SEM (**Figure C.7**) and GIXD (**Figure 4.1d, right**) confirmed UiO-66 formation, showing UiO-66 particles within the composite, that had a similar coherence length (44 nm) to UiO-66 formed in the absence of polymer (40 nm).

Given that PVA yielded more crystalline UiO-66 within composite gels compared to both carboxylic acid-containing polymers, we next sought to determine the effects of PVA molecular weight and concentration on composite gelation behavior. Varying the concentration of PVA (M<sub>w</sub>~146,000-186,000 g/mol) used to form PVA-UiO-66 composites from 0.1 to 3.0 wt%, we obtained self-supporting gels at and above 2.0 wt% (**Figure C.8**). Reducing PVA molecular weight to M<sub>w</sub>~31,000-50,000 g/mol required a higher polymer concentration (3 wt%) for gelation (**Figure C.9**). Further reducing PVA molecular weight to M<sub>w</sub>~9,000-10,000 g/mol did not result in gelation even at 4 wt% PVA (**Figure C.10**). Together, these results suggest that polymer chains must be long enough or at a high enough concentration in solution to bridge multiple MOF particles (or Zr-oxo clusters) for gelation to occur (**Figure C.11**).

#### 4.2.3 Poly(ethylene glycol)-MOF composites

Since hydroxyl-containing PVA facilitated the formation of more crystalline UiO-66 compared to carboxylic acid-containing polymers, we next further reduced the interactions between polymer and Zr-oxo clusters by using poly(ethylene glycol) (PEG, M<sub>v</sub>~100,000 g/mol), which only contains hydroxyls at the chain ends. Unlike the polymers with carboxylic acid and hydroxyl pendant groups, mixing PEG with Zr-oxo clusters did not produce gels (**Figure 4.1e, right center**). However, adding a solution of Zr-oxo clusters to a mixture of PEG and H<sub>2</sub>BDC linker in DMSO did yield a self-supporting gel

with the opaque, white color characteristic of MOF formation (**Figure 4.1e, left center**). SEM (**Figure C.12**) and GIXD (**Figure 4.1e**) revealed the presence of UiO-66 particles with a comparable, yet slightly lower coherence length (32 nm) to UiO-66 synthesized in the absence of polymer (40 nm). Since Zr-oxo does not cross-link PEG, the observation of gelation during MOF formation suggested the exciting possibility that MOFs may cross-link polymers by physically entrapping them as they form. To further probe the possibility that PEG is simply entrapped in the composites, we heated the PVA- and PEG-based composites to 40 °C for 24 hrs. After cooling back down to room temperature, the PEG-UiO-66 composite gel flowed (**Figure C.13a**), while the PVA-UiO-66 composite gel remained intact (**Figure C.13b**), suggesting PVA-based composites to be more thermally stable than PEG-based composites, presumably due to the stronger interactions between PVA and Zr-oxo sites.

# 4.2.4 Extending PVA-MOF composite gel synthesis to other Zr-based MOFs and processibility of the composite gel

To determine the generalizability of this composite gel formation process, we attempted to form composite gels with PVA and different Zr-oxo-based MOFs (NU-901 (**Figure C.14**),<sup>30</sup> UiO-67,<sup>31</sup> and MOF-525<sup>32</sup>). We selected PVA as it facilitated the formation of most crystalline UiO-66 crystals within the composite gels among the polymers we studied. Just as in PVA-UiO-66 composite gel synthesis, we added a solution of Zr-oxo clusters to a solution containing PVA and the corresponding organic linker for each Zr-based MOF (**Table 4.1**).<sup>23,33</sup> For MOFs containing dicarboxylic acid linkers, we used double the linker:Zr ratio used in the previous syntheses.<sup>23</sup> For MOFs containing tetracarboxylic acid linkers, we used the same linker:Zr used in the previous syntheses.<sup>33</sup> All formulations produced self-supporting gels, and SEM images (**Figure** 

**C.15-C.17**) and GIXD patterns confirmed the presence of each MOF within their respective composite gels (**Figure 4.2a-c**). The thermal stability observed in the PVA-UiO-66 composte gels persisted across the PVA-NU-901, PVA-UiO-67, and PVA-MOF-525 composite gels, which all passed the inversion test after being heated to 40 °C (**Figure C.18**). Encouragingly, the examples presented here involving three different MOFs demonstrate the generalizability of this composite gel formation process.

After preparing composites from different MOFs, we sought to demonstrate that this composite gel formation procedure could be extended to preparing conformable gel films from PVA-UiO-66 composites. We heated the composite gel to make it liquid and amenable to spin-coating. Spin-coating the composite onto glass substrates, followed by allowing the sample to set in ambient conditions for 24 h yields a free-standing gel film (**Figure 4.2d**). The thin film contains UiO-66 particles as demonstrated by the diffraction pattern (**Figure 4.2e**) and is capable of conforming to objects (e.g., a pencil as shown in **Figure 4.2f**, showcasing the enhanced processability imparted to MOFs by incorporation into these composites.



**Figure 4.2.** Extension of the composite gel synthesis process to different Zr-based MOFs and processibility of the PVA-UiO-66 composite gels. Formation and properties of PVA-based composite gels formed from **(a)** NU-901, with 4,4',4",4"'-(1,3,6,8-pyrenetetrayl) tetrakis-benzoic acid) (H<sub>4</sub>TBAPy) linker; **(b)** UiO-67, with biphenyl-4,4'-dicarboxylic acid (BPDC) linker; and **(c)** MOF-525, with tetrakis (4-carboxyphenyl porphyrin (TCPP) linker. For each formulation, we show the organic linker used in the synthesis, the crystal structures of each MOF obtained from Mercury software,<sup>28</sup> composite gelation behavior via inversion tests, and grazing incidence X-ray diffraction (GIXD) patterns comparing the crystal structures of the MOFs formed in each composite gel to the simulated patterns for the corresponding MOFs. **(d)** Image showing that the PVA-

UiO-66 composite gel thin film is free-standing. **(e)** Powder X-ray diffraction (PXRD) of the PVA-UiO-66 composite gel thin film. **(f)** Image showing that the PVA-UiO-66 composite gel thin film exhibits conformality. For the PVA-UiO-66 composite gel thin film, the PVA wt% is 2.5. Image of NU-901 crystal structure is reprinted (adapted) with permission from *Chem. Mater.* 2020, *32* (24), 10556–10565.<sup>31</sup> Copyright 2020 American Chemical Society. Image of UiO-67 crystal structure is reprinted (adapted) with permission from *Chem. Mater.* 2017, *27* (7), 3111-3117.<sup>56</sup> Copyright 2017 American Chemical Society. Image of MOF-525 crystal structure is reprinted (adapted) with permission from *Inorg. Chem.* 2012, *51* (12), 6443–6445.<sup>33</sup> Copyright 2012 American Chemical Society. GIXD data and images of the composite gels were collected by Prince Verma. GIXD data and images of the composite gel film were collected by Ankit Dhakal.

#### Sorptive Capacity and Sustained Release of PVA-MOF composite gels

We next sought to examine the sorptive properties of the composite gels relative to Zr-oxo-cross-linked polymer gels and MOF powder. For these experiments, we used composite and Zr-oxo-cross-linked PVA gels containing 3 wt% polymer, as they were easier to cut into uniform samples the gels containing 2 wt% polymer. Rather than performing these studies in DMSO, we opted for aqueous conditions more relevant to drug delivery and environmental remediation applications. We first attempted to synthesize the PVA-UiO-66 composite gels in aqueous conditions, and while the white, opaque color of the solution suggested MOF formation, we did not observe gelation, likely due to the high concentration of water hydroxyl groups saturating the binding sites on the Zr-oxo clusters and outcompeting PVA hydroxyl groups (Figure C.19). Therefore, we synthesized composite gels in DMSO and to transition the gels from DMSO into aqueous solvent, we instead dialyzed the DMSO-swollen PVA-UiO-66 composite gels and controls (i.e., MOF powder and PVA-Zr-oxo gels) against RO water. We confirmed the complete removal of DMSO from the composite gels using <sup>1</sup>H nuclear magnetic resonance spectroscopy (Figure C.20, Table C.1). UiO-66 crystallinity was also maintained after solvent exchange, suggesting no disruption of UiO-66 structure during dialysis (Figure **C.21b**). Since dialysis removes unincorporated organic linker and dissolved Zr-oxo clusters, we used thermal gravimetric analysis (TGA) to compare the compositions (i.e.,

wt% Zr) of the materials before and after solvent exchange (**Figures C.22-C25, Table C.2**). The Zr content in the PVA-Zr-oxo control gels decreased substantially from 7.8 to 1.2 wt% Zr during solvent exchange, indicating that dialysis removes much of the Zr-oxo that is cross-linking polymer chains, and the resulting samples are primarily PVA hydrogels. In contrast, the PVA-UiO-66 composite gels retained more of their Zr during solvent exchange, only decreasing from 7.3 to 4.3 wt% Zr. Since the composite gels retain more Zr than the PVA-Zr-oxo control gels during solvent exchange, it is likely that the Zr-oxo clusters in the composites are primarily incorporated into UiO-66. Though we could not calculate the % Zr in the UiO-66 powder prior to solvent exchange, as it did not precipitate in DMSO, it contained an appreciable amount of Zr (21.3 wt%) after dialysis.

After each formulation was switched into aqueous solvent, we first monitored the sorption of the small molecule dye methylene blue (MB, 320 g/mol) into each by measuring the decrease in MB absorbance (660 nm) in the surrounding solution. We elected to study sorption of MB into these materials to compare their sorptive characteristics in the hydrated state. After 7 days, when sorption plateaued in the gel formulations and each sample was saturated with MB, we compared the amounts sorbed into each formulation (**Figures C.26-C.29**). The PVA-UiO-66 composite hydrogels sorbed significantly more MB (0.16 +/- 0.02 mg MB/mg dry sample) than the PVA-Zr-oxo hydrogels (0.06 +/- 0.01 mg MB/mg dry sample), indicating that the MOF contributes appreciable sorption capacity to the composites (**Figure 4.3a**). The increased MB sorption capacity of the composite gels relative to the PVA-Zr-oxo hydrogels, extended to composites prepared with all other Zr-oxo MOFs described in **Figure 4.3** (PVA-MOF-525, PVA-UiO-67, and PVA-NU-901, all synthesized with 2 wt% PVA) (**Figure C.29**).

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We next determined how the polymer impacts the sorption properties of MOFs by comparing MB sorption into PVA-UiO-66 composite hydrogels relative to UiO-66 MOF powder alone in ultrapure water. On a per mass of sorbent basis, our PVA-UiO-66 composite hydrogels MB sorptive capacities were on par with state-of-the art reported values for UiO-66.<sup>34,35</sup> However, rather than comparing these on a per mass of sorbent basis, we compared the samples on a per mass Zr basis to isolate the role of the polymer. On a per g of Zr basis, the composite hydrogels sorbed substantially more MB (3.71 +/- 0.39 mg MB/mg Zr) than UiO-66 (0.75 +/- 0.03 mg MB/mg Zr) after 7 days (**Figure 4.3b**, **Table C.3**). We suspect that forming UiO-66 in the presence of PVA disperses the UiO-66 particles within the hydrogel composite, leading to a greater UiO-66 surface area in the composite gels and the higher sorption per Zr relative to that of UiO-66 formed in the absence of polymer. Taken together, these sorptive studies highlight how forming MOFs in the presence of polymer boosts the sorption capacity relative to polymer networks without MOF, and also relative to MOF formed in the absence of polymer.



**Figure 4.3.** Sorptive capacity and release behavior of PVA-UiO-66 composite hydrogels (red) relative to that of PVA-Zr-oxo hydrogels (blue), and UiO-66 powder (purple) in ultrapure water. **(a)** Methylene blue (MB) sorption into PVA-UiO-66 composite hydrogels and PVA-Zr-oxo hydrogels after 7 days (mg MB/mg dry sorbent) shows UiO-66 incorporation into polymer increases MB sorption per mass of dry sorbent. **(b)** MB sorption into PVA-UiO-66 composite hydrogels and UiO-66 MOF (mg MB/mg Zr) after 7 days shows that forming UiO-66 in the presence of PVA increases MB sorption capacity on a per Zr basis. **(c)** Mass of MB released from each formulation at any given time (m(t)<sub>MB,rel</sub>) relative to the mass released after 21 d (m(t = 21)<sub>MB,rel</sub>), with the first 12 h (inset, bottom right) highlighted and lines included between points to guide the eye. Yellow and green dashed lines denote release of 50% and 90% MB relative to that released at 21 days, respectively. While the PVA-Zr-oxo hydrogels (blue, circles) and UiO-66 powder (purple, triangles) display burst release behavior, releasing 90% of their cargo within less than 24 h, the PVA-UiO-66 composite hydrogels (red, squares) do not release 90% of the loaded MB until day 7. Error bars

represent the standard deviation between three separately synthesized samples, with \* in (a) and (b) indicating an equal variances two sample t-test giving p < 0.05.

We next studied the release of MB from the composite gels relative to the PVA-Zroxo and UiO-66 control samples in ultrapure water. After allowing MB to load into each sample for 7 days, we replaced the surrounding solution with an equivalent volume of DI water and monitored the release of MB into the surrounding solution. We report the mass of MB released into the outer solution  $(m(t)_{MB,rel})$  relative to the total amount released after 21 d  $(m(t=21)_{MB,rel})$ , specifically focusing on the time taken for each formulation to release 50% and 90% of their respective  $m(t = 21)_{MB,rel}$  (Figure 4.3c). While the PVA-Zr-oxo hydrogel and UiO-66 controls exhibited burst release behavior, releasing 50% and 90% of their respective  $m(t=21)_{MB,rel}$  in less than 3 and 24 h, respectively, the PVA-UiO-66 composite hydrogels showed a sustained MB release profile, releasing 50% and 90% of  $m(=21)_{MB,rel}$  in 1.5 and 7 d, respectively. While there was a particularly large amount of error between the PVA-Zr-oxo samples, we ascribe this to the relatively low amounts of MB released into the solution, with MB concentrations approaching the limit of reliable absorbance detection of the instrument. Comparing the release profiles suggests that the combination of UiO-66 and PVA slows solute diffusion relative to their components.

After demonstrating the sorption and release of MB, we investigated the encapsulation and release of larger, therapeutically relevant cargo (*e.g.*, peptides). Despite their high potency, high selectivity, and low toxicity, therapeutic peptides<sup>36–38</sup> are often rapidly cleared from circulation by proteolytic degradation and renal clearance, leading to poor efficacy.<sup>39</sup> Angiotensin 1-7 (DRVYIHP, Ang 1-7), a therapeutic peptide with anti-tumor and cardioprotective properties, has an *in vivo* half-life of just 30 min.<sup>40,41</sup> Encapsulation and sustained release into a carrier such as polymer-MOF composite

hydrogels could offer a way to extend the half-life of Ang1-7. Because Ang 1-7 (899 g/mol) (**Figure C.30**) is larger than MB (320 g/mol) we first attempted to encapsulate it within UiO-67 and MOF-525, which have larger pores (12 Å and 18 Å, respectively) than UiO-66 (6 Å).<sup>42</sup> Though reverse-phase high-performance liquid chromatography showed little decrease in Ang 1-7 absorbance in the outer solution after incubation with UiO-67 after 27 h, there was no detectable trace of Ang 1-7 in the solution containing MOF-525, suggesting that Ang 1-7 sorbed into MOF-525, but not UiO-67 (**Figure C.31**). Therefore, we performed the Ang 1-7 encapsulation and release experiments using PVA-MOF-525 composite hydrogels. We also confirmed total removal of DMSO and that MOF-525 crystallinity is maintained in the PVA-MOF-525 composite hydrogels after dialysis (Figure C.20-C.21).



**Figure 4.4.** Sorptive capacity and release behavior of PVA-MOF-525 composite hydrogels (red), PVA-Zroxo hydrogels (blue), and MOF-525 powder (purple) for the therapeutic peptide Ang 1-7 in ultrapure water. **(a)** Mass of Ang 1-7 ( $\mu$ g) sorbed into each sample after 7 days, normalized relative to the mass of each sample (mg), showing more Ang 1-7 to sorb into the MOF-525 powder than into the PVA-Zr-oxo and PVA-MOF-525 composite hydrogels on a per mass basis. **(b)** Mass of Ang 1-7 released from each formulation at any given time (m(t)<sub>Ang 1-7,rel</sub>) relative to the mass released after 5 d (m(t = 5)<sub>Ang 1-7,rel</sub>), with the first 6 h (inset, bottom right) highlighted and lines included between points to guide the eye. Yellow and green dashed lines denote release of 50% and 90% MB relative to that released at 5 days, respectively. The PVA-MOF-525 composite hydrogels (red, squares), PVA-Zr-oxo hydrogels (blue, circles), and MOF-525 powder (purple, triangles) all display burst release behavior, releasing 90% of their cargo within less than 24 h.

Error bars represent the standard deviation between three separately synthesized samples, with \* in (a) and (b) indicating an equal variances two sample t-test giving p < 0.05.

To encapsulate Ang 1-7 into PVA-MOF-525 composite hydrogels, PVA-Zr-oxo hydrogels, and MOF-525 powder, we incubated each sample in a solution of Ang 1-7 in ultrapure water. Here, we normalized the sorption data by the overall weight of each sample (mg Ang 1-7 sorbed/mg material. After 7 days, when the samples were found to be saturated (Figure C.32), the MOF-525 powder sorbed significantly more Ang 1-7 (12.2 +/- 0.2 µg Ang 1-7/mg sample) than both the PVA-Zr-oxo (2.2 +/- 0.6 µg Ang 1-7/mg sample) and PVA-MOF-525 composite hydrogels (6.7 +/- 0.9 µg Ang 1-7/mg dry sample) (Figure 4.4a, Table C.5). On a dry weight basis, the PVA-MOF-525 composite hydrogel sorbed significantly more Ang 1-7 (79.7 +/- 10.2 µg Ang 1-7/mg dry sample) than the PVA-Zr-oxo hydrogel (45.3 +/- 12.5 µg Ang 1-7/mg dry sample) and MOF-525 powder (12.2 +/- 0.2 µg Ang 1-7/mg dry sample) (Figure C.33, Table C.5). The release profiles of the 3 formulations in ultrapure water, however, were fast and indistinguishable, with all formulations plateauing in less than 1 day (Figure 4.4b). The burst release observed in the PVA-MOF-525 composite gels could be due to the larger pore size of MOF-525; however, given that the PVA-MOF-525 composite gel only released 28.8% +/- 3.3% of the encapsulated Ang 1-7 (Figure C.34, Table C.6), it is also possible that the Ang 1-7, which has 2 carboxylic acids, is interacting with the open Zr-oxo sites, and these interactions prevent any bound peptide from being released. While future optimization of PVA-MOF composite hydrogels for larger peptide cargo is still needed; however, the enhanced sorption capacity of the composite hydrogels for Ang1-7 relative to the PVA-Zr-oxo hydrogel and MOF-525 controls is certainly encouraging.

#### 4.3 Conclusion

In this work, we found that polymer molecular details dictate the formation and properties of polymer-MOF composite gels prepared by forming MOF in the presence of the polymeric component. While we found that polymers with groups that bind the Zr-oxo nodes of MOFs without outcompeting the organic linkers allowed for concurrent gelation and MOF formation, our experiments with PEG suggest that polymer entrapment within MOFs by alone is sufficient to facilitate gelation within composites, expanding the polymeric options available for future polymer-MOF composite formulations. Through simulations, we found that both chemical interactions between polymers and metal nodes as well as physical entrapment of polymers within MOF pores play a role in polymer-MOF composite gel formation. Composite gel formation with various PVA-Zr-based MOF composite gels demonstrated the generalizability of the composite gel formation technique to other MOFs, and the fabrication of films suggests the ability to extend this composite formation process to conformable gel films. PVA-UiO-66 composite hydrogels demonstrated higher sorption and sustained release of MB relative to UiO-66 powder and/or PVA-Zr-oxo hydrogels, showcasing the composites as beneficial materials for various sorption applications. While forming MOF-525 in the presence of PVA yielded increased sorptive capacity for Ang 1-7 relative to the metal cross-linked PVA hydrogels, the sorptive capacity of the PVA-MOF-525 composite hydrogels (8 wt%) was significantly lower than those reported for insulin, another therapeutic peptide, into either MIL-100, NU-1000, or MOF-545 (34 – 63 wt%).<sup>43,44</sup> Further, unlike the PVA-UiO-66 composites, we observed a burst release of Ang 1-7 from the PVA-MOF-25 composite gels. To that end, we expect both sorptive capacity and release profiles to highly depend on the compatibility of both the solute and the adsorbent being used, and we look forward to

exploring different combinations of MOFs and polymers to optimize these properties for larger peptide cargo. By understanding the role of molecular details of polymers and MOFs on the properties of composite gels prepared by forming MOFs in the presence of polymers, this study expands the design space of polymer-MOF gels, and offers a method to increase the processability and performance of MOFs for applications ranging from separations to medicine.

#### **4.4 Future Directions**

While our studies in this chapter provided much needed context on the individual effects of the polymer and MOF on both formation and sorptive/release performance of polymer-MOF composite gels, future studies will focus on improving their ability to encapsulate and release therapeutic peptides, such as Angiotensin 1-7 (Ang 1-7). One such direction is to incorporate our work with peptide esterification to increase the encapsulation efficiency of these composite gels for therapeutic peptides. One of the drawbacks of our PVA-MOF-525 composite hydrogels was that it only encapsulated less than 10% of the available Ang 1-7. A solution to overcoming this low encapsulation efficiency is to synthesize the composite gels in a solution containing Ang 1-7, which could theoretically lead to a 100% encapsulation efficiency, as we see complete gelation of solutions of polymer, Zr-oxo clusters, and organic linkers. However, as Ang 1-7, like most peptides, has carboxylic acids, we could imagine competition with carboxylic acids on the organic linkers creating either defective MOFs or preventing MOF formation all together, as seen with the PAA-UiO-66 composite gels (Figure 4.1). Additionally, bonds between Ang 1-7 carboxylic acids and the Zr-oxo clusters could prevent release from the composite gels upon encapsulation. Therefore, future studies will focus on the encapsulation and release of esterified peptide into and from polymer-MOF composite

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gel carriers to increase encapsulation efficiency without affecting MOF crystallinity within the hydrogels. Further, we aim to perform systematic studies to determine the sorptive capacity and release behaviors of different polymer-MOF composite gel systems for Ang 1-7. By affording higher drug encapsulation efficiencies, higher MOF crystallinity, higher sorptive capacity, and tunable release profiles, these experiments will allow for the potential of polymer-MOF composite gels for reversible peptide encapsulation to be fully realized.

#### 4.5 Materials & Methods

#### 4.5.1 Materials

Zirconium (IV) proposide solution (70 wt% in 1-propanol, Zr(OnPr)4), N,Ndimethylformamide (DMF,  $\geq$ 99.8%), poly(vinyl alcohol) (PVA:  $M_{W} \sim$  146000 – 186000 g/mol & 99%+ hydrolyzed;  $M_{\rm W} \sim 31000 - 50000$  g/mol & 98-99% hydrolyzed; and  $M_{\rm W} \sim 9000 - 10000$  g/mol & 80% hydrolyzed), poly(ethylene glycol) (PEG,  $M_{\rm V} \sim 100000$ g/mol), poly(acrylic acid) (PAA, M<sub>w</sub> ~ 1033000 g/mol), terephthalic acid (H<sub>2</sub>BDC, 98%), 2aminoterephthalic acid (H<sub>2</sub>ATA, 99%), biphenyl-4,4'-dicarboxylic acid (BPDC, 97%), 4,4',4",4"'-(porphine-5,10,15,20-tetrayl)tetrakis(benzoic acid) (TCPP, dye content 75%), dimethyl sulfoxide-d6 (deuteration 99.8%), acetic acid (≥99.7%), 4- $\geq$ ethoxycarbonylphenylboronic acid (95%), dioxane (99%), 1,3,6,8-tetrabromopyrene (97%), potassium phosphate tribasic (98%), tetrakis-(triphenylphosphine)palladium(0) (99%), potassium hydroxide (90%), chloroform (99%), dichloromethane (99.8%), methylene blue (certified by the Biological Stain Commission, dye content  $\geq$ 82%) diisopropyl carbodiimide (DIC, 99.8%), N,N'-dimethylformamide (DMF, 99%), Oxyma Pure (99%), piperidine (99%), N,N-Diisopropylethylamine (DIPEA, 99%), trifluoroacetic acid (TFA, 99%), triisopropyl silane (TIPS) (98%), 2,2'-(ethylenedioxy)diethanethiol (DODT) (95%), diethyl ether (99%), and acetonitrile (ACN, HPLC grade, 99.8%) were purchased from Sigma Aldrich. Dimethyl sulfoxide (DMSO, ≥99.9%), methanol (99.9%), and hydrochloric acid (HCl, 36.5–38%) were purchased from Fisher Scientific. Potassium iodide (Kl, >99%) was purchased from VWR. Poly (acrylamide-*co*-acrylic acid) (PAAA, AMD: AA = 9:1,  $M_w$  ~ 210000 g/mol) was purchased from Polymer Source Inc. Fluorenylmethoxycarbonyl(Fmoc)-protected amino acids and 2-chlorotrityl chloride resin (0.6 mmol/g) were purchased from Advanced ChemTech (Louisville, Kentucky). 4,4',4'',4'''-(pyrene-1,3,6,8-tetrayl)tetrabenzoic acid (H<sub>4</sub>TBAPy), the organic linker for NU-901, was synthesized following a previously published procedure, and characterized using <sup>1</sup>H nuclear magnetic resonance spectroscopy (**Figure C.14**).<sup>45</sup> All water was purified by in-house reverse osmosis (RO). Ultrapure water refers to water purified by a Thermo Scientific Barnstead Smart2Pure water purification system (18.2 mΩxcm).

#### 4.5.2 Synthesis of Zr-oxo clusters in DMSO

Synthesis conditions were adapted from a previously published procedure.<sup>23</sup> Briefly, in a 20 mL glass vial,  $Zr(OnPr)_4$  (355 µL, 0.792 mmol, stored under N<sub>2</sub>(g) until use) and acetic acid (4.00 mL, 69.9 mmol) were added to solvent (DMSO, 7.00 mL). The solution was sonicated for 10 min, placed in an oven at 130 °C for 2 h, and cooled to room temperature to yield Zr-oxo clusters for use in further synthesis.

#### 4.5.3 Synthesis of Zr-oxo cluster in DI water

Synthesis conditions were adapted from ref. [<sup>24</sup>]. In a 20 mL glass vial, ZrOCl<sub>2</sub>.8H2O (1.288 g) and acetic acid (5.00 mL) were dissolved in DI water (12.00 mL) via bath sonication. Then, the solution was heated at 70 °C for 2 h, and cooled to room temperature to yield Zr-oxo clusters for use in further synthesis.

#### 4.5.4 Synthesis of UiO-66 powder in DMSO

Into a Zr-oxo cluster solution in DMSO (10.0 mL, 0.697 mmol of Zr), H<sub>2</sub>BDC (120.0 mg, 0.722 mmol) was added and dissolved using sonication. The solution was stirred for 24 h at room temperature. The particles produced from this synthesis were not isolatable by centrifugation, and therefore were isolated by dialysis against deionized water in a 3.5 kDa molecular weight cut-off regenerated cellulose dialysis membrane (Spectra/Por). Each water change was allowed to equilibrate for  $\geq$  3h. After dialysis, the UiO-66 particles were allowed to settle in DI water and the sediment was dried at room temperature for 48 h in a fume hood to obtain the dried UiO-66 powder.

#### 4.5.5 Synthesis of UiO-67 powder in DMSO

Into a Zr-oxo cluster solution in DMSO (10.0 mL, 0.697 mmol of Zr), BPDC (175.0 mg, 0.722 mmol) was added and sonicated for 10 min. The solution was heated at 130 °C for 24 h. Then the UiO-67 was separated from the supernatant by centrifugation (10 min, 9000 x g, 4 °C), and washed three times with DMSO and three times with acetone by centrifugation (10 min, 9000 x g, 4 °C). The UiO-67 sample was dried at 80 °C for 24 h to obtain the dried UiO-67 powder.

#### 4.5.6 Synthesis of MOF-525 powder in DMSO

Into a Zr-oxo cluster solution in DMSO (10.0 mL, 0.697 mmol of Zr), TCPP (60.0 mg, 0.076 mmol) was added and sonicated for 10 min. The solution was heated at 130 °C for 24 h. Then the MOF-525 was separated from the supernatant by centrifugation (10 min, 9000 x g, 4 °C), and washed three times with DMSO and three times with acetone by centrifugation (10 min, 9000 x g, 4 °C). The MOF-525 sample was dried at 80 °C for 24 h to obtain the dried MOF-525 powder.

#### 4.5.7 Synthesis of polymer-UiO-66 composite gels

In a 20 mL scintillation vial, 220.0 mg of polymer (PAA, PAAA, PVA, or PEO) was dissolved in DMSO (5.00 mL) by stirring at 120 °C for up to 45 min, then cooling to room temperature, where the polymers remained soluble. H<sub>2</sub>BDC (120.0 mg, 0.722 mmol) was then added to the polymer solution. Next, the Zr-oxo cluster solution (5.00 mL in DMSO, 0.349 mmol of Zr) was added to the polymer-H<sub>2</sub>BDC solution (5.00 mL in DMSO) and the mixture was shaken for 1 min and allowed to stand at room temperature for 24 h. After 24 h, the gelation of the mixture was evaluated using an inversion test (*i.e.*, inverting the vial). The polymer-UiO-66 composite gels with different wt% of polymer were synthesized by varying the polymer amount in a constant volume of DMSO (5.00 mL). The gels were stored at ambient temperature until further use.

#### 4.5.8 Synthesis of polymer-Zr-oxo gels

In a 20 mL scintillation vial, 220.0 mg of polymer (PAA, PAAA, PVA, or PEO) was dissolved in DMSO (5.00 mL) by stirring at 120 °C for up to 45 min, then cooling to room temperature, where it remained soluble. Zr-oxo cluster solution (5.00 mL in DMSO, 0.349 mmol of Zr) was added to the polymer solution (5.00 mL in DMSO) and the mixture was shaken for 1 min and allowed to stand at room temperature for 24 h. After 24 h, the gelation of the mixture was evaluated using an inversion test. The gels were stored at ambient temperature until further use.

#### 4.5.9 Synthesis of polymer-UiO-66 physical mixture

In a 20 mL scintillation vial, 220.0 mg of polymer (PAA, PAAA, PVA, or PEO) was dissolved in DMSO (5.00 mL) by stirring at 120 °C for up to 45 min, then cooling to room temperature, where it remained soluble. UiO-66 powder (95.0 mg, synthesized in DMSO) was added to the polymer solution (5.00 mL in DMSO) and the mixture was shaken for 1 min and allowed to stand at room temperature for 24 h. After 24 h, the gelation of the

mixture was evaluated using an inversion test. These mixtures were stored at ambient temperature until use for characterization.

### 4.5.10 Synthesis of PVA-Zr-MOFs composite gels

In a 20 mL scintillation vial, 220.0 mg of PVA was dissolved in DMSO (5.00 mL) by stirring at 120 °C for up to 45 min, then cooling to room temperature, where it remained soluble. Organic linkers (**Table 4.1**) were then added to the PVA solution. Next, the Zr-oxo clusters solution (5.00 mL in DMSO, 0.349 mmol of Zr) was added to PVA-linker solution (5.00 mL) and the mixture was shaken for 1 min and allowed to stand at room temperature for 24 h, after which the gelation of the mixture was evaluated using an inversion test. The linker amount was determined based on the molar ratio of linker:Zr used in the previously published syntheses to make MOFs (**Table 1**). The composite gels were stored at ambient temperature until further use.

Composite gel	Organic linker used to obtain the composite gel	Amount of organic linker used in composite gel synthesis	Molar ratio of linker:Zr in the composite gel	Molar ratio of linker:Zr in the literature
PVA-UiO-66 <sup>2</sup>	H <sub>2</sub> BDC	120.0 mg	2:1	1:1
PVA-NU-901 <sup>33</sup>	H₄TBAPy	50.0 mg	1:5	1:5
PVA-UiO-67 <sup>23</sup>	BPDC	175.0 mg	2:1	1:1
PVA-MOF-525*	TCPP	60.0 mg	1:5	

Table 4.1. Organic linkers used in the synthesis of PVA-Zr-MOF composite gels

\*Since MOF-525, like NU-901, contains a tetratropic organic linker, we used the same linker:Zr ratio for MOF-525 composites as for the PVA-NU-901 composites.

## 4.5.11 Synthesis of PVA-UiO-66 composite gel film

The PVA-UiO-66 composite gel film synthesis procedure has been illustrated in

Scheme 4.1. First, a PVA-UiO-66 composite gel (2.5 wt%) in a vial was heated in the

oven at 80 °C for 2 h. Due to heating, the gel yielded a viscous liquid which was removed via syringe (0.5 mL) and spin coated on a glass substrate at 150 rpm for 30 s. The spin coated liquid film was then left covered in a petri dish overnight to yield a free-standing gel film. To record the diffraction pattern of the gel film, it was left uncovered to dry at room temperature for 3 d. Afterward, we recorded the diffraction of the dried gel film.

Scheme 4.1. Synthesis procedure to process the PVA-UiO-66 composite gel into a film



## 4.5.12 Synthesis trial of PVA-UiO-66 composite in DI water

In a 20 mL glass vial, 220.0 mg of PVA ( $M_w \sim 146000 - 186000$  g/mol) was dissolved in DI water (5.00 mL) by stirring at 130 °C for up to 120 min, then cooling to room temperature, where the polymer remained soluble. H<sub>2</sub>BDC (181.0 mg) and NaOH (80.0 mg) were added to the PVA solution. Next, Zr-oxo cluster solution (5.00 mL in DI water) was added to the polymer-H<sub>2</sub>BDC solution (5.00 mL in DI water) and the mixture was shaken for 1 min and allowed to stand at room temperature for 24 h. After 24 h, the gelation of the mixture was evaluated using an inversion test (i.e., inverting the vial).

## 4.5.13 Synthesis of Angiotensin 1-7

Angiotensin 1-7 (DRVYIHP) was prepared using Fmoc-solid phase peptide synthesis with a CEM Liberty Blue microwave peptide synthesizer. To prepare the peptide with a carboxylic acid C-terminus, 2-chlorotrityl chloride resin (0.6 mmol/g) was used, and the entire synthesis was conducted at 25 °C to prevent premature cleavage of the ester

bond connecting the peptide to the resin, which was observed above 50 °C. DIC (1 M in DMF) and Oxyma Pure (1 M in DMF) were used to mediate amino acid coupling, except for the first amino acid, which was coupled to the resin using KI (0.125 M in DMF) and DIPEA (1 M in DMF). 20% piperidine in DMF (v/v) was used to deprotect Fmoc groups preceding amino acid additions. After synthesis, the peptide was cleaved from the resin using a deprotection cocktail comprised of 92.5% TFA, 2.5% RO water, 2.5% TIPS and 2.5% DODT by volume for 3 h at room temperature under constant stirring. Following deprotection, the peptide solution was separated from the resin by gravity filtration, and the peptide solution was precipitate into cold diethyl ether (5-8 mL of peptide solution in 30 mL ether), and centrifuged (5 min, 2420 x g, 4 °C) using a Thermo Scientific Haraeus Multifuge X3R. The supernatant was then decanted and the precipitated peptide pellet was washed again with the same volume diethyl ether and isolated by centrifugation under the same conditions and the supernatant decanted. The peptide pellet was dried under vacuum for 1 h, dissolved in 5% ACN in ultrapure water (v/v) and frozen with liquid nitrogen immediately prior to lyophilization for 48 h to produce a fluffy cake that was easy to manipulate. 60-70% yield was achieved for each synthesis.

#### 4.5.14 Reverse-phase high-performance liquid chromatography (RP-HPLC)

Analytical RP-HPLC was performed at 35 °C with a flow rate of 1 mL/min on a Waters e2695 Alliance Separations Module, equipped with a XBridge® C18 chromatographic separation column (4.6 mm x 50 mm, 3.5 µm beads) and a photodiode array detector (Waters 2489 UV/Visible). The mobile phase consisted of ultrapurified water and ACN, both with 0.1% TFA by volume for pH maintenance. Peptide purification was completed using preparative scale RP-HPLC, which was performed at 25.52 mL/min at room temperature on a Waters Empower system, equipped with a XBridge® Prep C18 optimum bed density chromatographic separation column (30 mm x 150 mm, 5 µm beads) and a photodiode array detector (Waters 2489 UV/Visible). UV absorbance was monitored at 214 nm for both systems. Mobile phase gradients for Ang 1-7 on each system are detailed in **Table 4.2**. The preparative scale mobile phase gradients were derived from those used at the analytical scale using the Waters Gradient Chromatography Calculator online tool.

Time (m)		Gradient	
Analytical	Preparative	% Water + 0.1% TFA (A)	% ACN + 0.1% TFA (B)
0	0	95	5
0.5	2.22	82	18
3.5	15.07	80	20
3.7	15.93	5	95
4.2	18.07	5	95
4.8	20.65	95	5
6.0	25.79	95	5

**Table 4.2.** RP-HPLC mobile phase gradients

#### 4.5.15 Electrospray ionization mass spectrometry (ESI)

For mass spectrometry, Angiotensin 1-7 was dissolved at a concentration of 50  $\mu$ g/mL in DI water. The experiment was performed on an Agilent G7104C LC system equipped with an Agilent G1958-65268 Dual AJS electrospray ionization source and Agilent 6545B QTOF mass spectrometer. The sample (0.2  $\mu$ L) was eluted on a linear gradient of 0.1% formic acid in water and 0.1% formic acid in methanol, from 5% methanol

at 0 min to 100% methanol at 3 min. Separation was achieved using an Agilent InfinityLab Poroshell 120 EC-C18 (3.0 mm ID x 100 mm, 2.7  $\mu$ m pore size). Mass spectrometry data was collected in negative ionization mode with the following ESI parameters: capillary voltage = 4000 V; nozzle voltage = 250 V; fragmentor voltage = 90 V; drying gas flow = 5 L/min; drying gas temperature = 325 °C; and nebulizer gas pressure = 60 psig.

#### 4.5.16 Solvent Exchange from DMSO to Water

All samples were placed in 3.5 kDa molecular weight cut-off regenerated cellulose dialysis membranes (Spectra/Por 7) containing 15-20 mL of water and dialyzed against DI H<sub>2</sub>O. After allowing the solution to equilibrate for  $\geq$  3 h, the dialysate was replaced with fresh DI H<sub>2</sub>O. After four total dialysate replacements, the samples were removed and stored in DI H<sub>2</sub>O at room temperature prior to use.

#### 4.5.17 Encapsulating solutes into MOF-based carriers

To measure the mass (*m*, in mg) of a solute, *s* (either methylene blue (MB) or the peptide Angiotensin 1-7 (Ang1-7)), encapsulated into the MOF-based carriers at any given time, *t*, or  $m(t)_{s, carrier}$ , we first prepared an aqueous solution of solute *s* (0.05 mg/mL for MB or 0.57 mg/mL for Ang 1-7). This solution was termed the "outer solution", *os*, and each sample carrier was added to a specific volume,  $V_{os}$  (mL). For consistency, we added 70 – 80 mg of each gel or 10 – 12 mg of UiO-66 powder to 17.5 – 20 mL of MB solution (4 mg gel/mL MB solution, 0.16 mg UiO-66/mL MB solution), and ~100 – 120 mg gels and/or MOF-525 to 10 – 12 mL of Ang 1-7 solution (10 mg carrier/mL Ang 1-7 solution) for the encapsulation experiments. To calculate the sorption of a given solute *s* into each sample at time *t*, we started with a mass balance on the solute in the vial. Specifically, we considered  $m(t)_{s, carrier}$  would be the difference between the total mass of *s* in the vial at time t ( $m(t)_{s, vial}$ ) and that in the outer solution at time t ( $m(t)_{s, visl}$ ) and that in the outer solution at time t ( $m(t)_{s, visl}$ ).

Equation 4.1)  $m(t)_{s, carrier} = m(t)_{s, vial} - m(t)_{s, os}$ 

Rewriting Equation 4.1 in terms of the concentration (*c*, in mM) of *s* in the outer solution gives **Equation 4.2**.

#### Equation 4.2) $m(t)_{s, carrier} = m(t)_{s, vial} - c(t)_{s, os} V_{os}$

In Equation 4.2, we assume  $V_{os}$  to be constant, as the carriers are swollen when they enter the vial and therefore are unlikely to absorb appreciable amounts water from the outer solution upon incubation. As we are measuring the absorbance of the outer solution  $(A(t)_{s,os})$  at the absorbance maximum wavelength ( $\lambda$ ) specific to *s* (660 nm for MB and 277 nm for Ang 1-7), we convert it to a corresponding concentration ( $c(t)_{s,os}$ ) using the Beer-Lambert law (**Equation 4.3**),

#### Equation 4.3) $A(t)_{s,os} = (\varepsilon_s b)c(t)_{s,os}$

where  $\varepsilon_s$  is the molar absorptivity of *s* and *b* is the optical path length in the configuration used (in this case a Biotek Synergy 4 plate reader), which we lump into one term determined from calibration curves for MB (Figure C.26a) and Ang 1-7 (Figure C.26b), fitting the data linearly to determine the ( $\varepsilon_s b$ ) term for each solute *s* (5 – 6 × 10<sup>-3</sup> absorbance units/µM MB and 7 × 10<sup>-4</sup> absorbance units/µM Ang 1-7). To account for absorbance due to the carrier rather than to *s* (*e.g.*, linker that leaches into the outer solution), we placed each sample in two aqueous solutions, one containing *s* and the other containing only RO water. Once we subtracted the absorbance of water alone, which was often negligible relative to the absorbances of *s*, from that measured for each sample, we accounted for any absorbance from the carrier at  $\lambda$  by subtracting the absorbance of the control (*cntrl*) sample outer solution ( $A(t)_{cntrl,os}$ ) from that of the solution containing s ( $A(t)_{s,os}$ ) to calculate a corrected absorbance of s in the outer solution ( $A(t)_{s,os}^{*}$ ) (**Equation 4.4**).

## Equation 4.4) $A(t)^{*}_{s,os} = A(t)_{s,os} - A(t)_{cntrl,os}$

Using our calibration curve for *s*, we then converted  $A(t)^*_{s,os}$  to  $c(t)_{s,os}$  Equation 4.3, and solved for  $m(t)_{s,os}$  (Equation 4.5).

Equation 4.5) 
$$m(t)_{s, os} = \frac{A(t)_{s,os}^*}{(\varepsilon_s b)} V_{os} M W_s$$

where  $MW_s$  is the molecular weight of *s*. To measure  $A(t)^*_{s,os}$ , we removed an aliquot (with a volume  $V_a$ ) of the outer solution at each timepoint ( $V_a = 0.6$  mL for MB and  $V_a = 0.1$  mL for Ang 1-7); however, removing these aliquots also  $m(t)_{s, vial}$ . To accurately calculate  $m(t)_{s, vial}$ , we need to calculate the mass of *s* in each aliquot ( $m(t)_{s, aliquot}$ ) that we are removing from the vial using **Equation 4.6**.

Equation 4.6) 
$$m(t)_{s, aliquot} = \frac{A(t)_{s,os}^{*}}{(\varepsilon_{s}b)} V_{a}MW_{s}$$

After every aliquot, we replaced the volume removed in each aliquot ( $V_a$ ) with the same volume of DI water (not containing *s*) to keep  $V_{os}$  constant. We then calculated  $m(t)_{s, vial}$  by subtracting the sum of the mass of the aliquots removed before the time t  $(\sum_{i=0}^{t-1} m(t)_{s,aliquot})$  from the initial mass of *s* added to the vial ( $m(0)_{s, vial}$ ) (Equation 4.7).

Equation 4.7)  $m(t)_{s, vial} = m(0)_{s, vial} - \sum_{i=0}^{t-1} m(t = i)_{s, aliguot}$ 

As *s* is only present in the outer solution at t = 0,  $m(0)_{s, vial} = m(0)_{s, os}$ , and we can rewrite Equation 4.7 as **Equation 4.8**.

Equation 4.8)  $m(t)_{s, vial} = m(0)_{s, os} - \sum_{i=0}^{t-1} m(t = i)_{s, aliguot}$ 

Rewriting Equation 4.8 in terms of absorbance gives us a mass balance on the vial in terms of measured and/or known variables (**Equation 4.9**).

Equation 4.9) 
$$m(t)_{s, vial} = \left[\frac{A(0)_{s,os}^*}{(\varepsilon_s b)}V_{os} - \sum_{i=0}^{t-1}\frac{A(t-i)_{s,os}^*}{(\varepsilon_s b)}V_{aliquot}\right]MW_s$$

As we now know  $m(t)_{s, os}$  and  $m(t)_{s, vial}$  in terms of measured values, we can rearrange Equation 1 to solve for  $m(t)_{s, carrier}$  using the  $A(t)_{s,os}^*$  in the outer solution (**Equation 4.10**).

Equation 4.10) 
$$m(t)_{s, carrier} = \left[ \left( A(0)_{s,os}^* - A(t)_{s,os}^* \right) V_{os} - \sum_{i=0}^{t-1} \left( A(t=i)_{s,os}^* \right) V_{aliquot} \right] \frac{MW_s}{(\varepsilon_s b)}$$

After calculating  $m(t)_{s, carrier}$ , we normalized these values relative to either the total weight of the carrier, dry weight of the carrier, or the weight of Zr in the carrier, as indicated. To calculate the dry weight of the carriers we weighed one sample of each of the hydrogels and MOF powder used in the encapsulation experiments and dried it at room temperature for 24 h. We then weighed the mass of the dried carriers and calculated a dry/wet weight ratio for each sample (dry weight of hydrogel/wet weight of hydrogel). The dry mass of each hydrogel used in the encapsulation experiment was calculated by multiplying the wet weight of the gel by this ratio, assuming similar swelling ratios across each of the hydrogel samples. As the MOF powder was added into each vial as a dry solid, its dry:wet mass ratio was 1. The Zr weight of each gel was calculated by multiplying the dry weight of each hydrogel or MOF powder used for encapsulation by the Zr wt%, as determined by TGA (Figure C.22, Table C.2).

#### 4.5.18 Release of solutes from MOF-based carriers

After encapsulation was complete, the outer solution of each sample (*s* and *cntrl*) was pipetted out and replaced with an equal amount of RO water. To account for the lower molar absorptivity of Ang 1-7 at 277 nm relative to MB at 660 nm, the outer solution was replaced with half of the volume of RO water (20 mg carrier/mL) in the Ang 1-7 experiments to increase  $A(t)^*_{Ang 1-7,os}$ . In these experiments, we were interested in determining the mass of solute released into the outer solution as a function of time, or  $m(t)_{s, rel}$ . To determine  $c(t)_{s, os}$ , we measured  $A(t)^*_{s,os}$  at each timepoint *t*. We can directly measure  $m(t)_{s,rel}$  through equation 5; however, we still have to account for  $m_{s,aliquot}$ , as we are removing a mass of *s* from our system for each measurement. Taken together, we can use **Equation 4.11** to calculate  $m(t)_{s,rel}$  from a measured  $A(t)^*_{s,os}$ .

Equation 4.11) 
$$m(t)_{s,rel} = \left[ \left( A(t)_{s,os}^* \right) V_{os} + \sum_{i=0}^{t-1} \left( A(t=i)_{s,os}^* \right) V_{aliquot} \right] \frac{MW_s}{(\varepsilon_s b)}$$

Here, we report the mass of *s* released into the outer solution  $(m(t)_{MB,rel})$  relative to the total amount of MB released from the carriers after 21 d  $(m(t = 21)_{MB, rel})$  and the total amount of Ang 1-7 released from the carriers after 5 d  $(m(t = 5)_{Ang 1-7, rel})$  to better visualize and compare differences in the release profiles over time between each sample.

#### 4.5.19 Statistics for encapsulation and release experiments

Each measurement represents an average of three independently synthesized samples, meaning 3 *s* and 3 *cntrl* samples from separate synthetic batches. Error bars represent the standard deviation between these three measurements. Each absorbance measurement represents the average of three individual measurements of one sample, and the standard deviation between these measurements was negligible.

## 4.5.20 Plate reader absorbance measurements

The absorbance of MB and Ang 1-7 were measured at 660 nm and 277 nm, respectively, on a Biotek Synergy 4 plate reader. All measurements were conducted in RO H<sub>2</sub>O. Calibration curves were developed in triplicate through serial dilution of the MB and Ang 1-7 stock solutions.

## 4.5.21 Grazing incidence X-ray Diffraction (GIXD)

GIXD experiments were performed at beamline 11-3 of the Stanford Synchrotron Radiation Lightsource at SLAC National Accelerator Laboratory with a fixed beam energy of 12.7 keV. The two-dimensional (2D) GIXD diffraction patterns were recorded using a Rayonix MX225 CCD area detector. Wet gel samples and dry UiO-66 powder were put on a metal substrate holder to collect the 2D diffraction patterns. The sample-to-detector distance was 316 mm. Fast Azimuthal Integration (pyFAI) using python was used to obtain one-dimensional (1D) diffraction patterns from 2D GIXD diffraction patterns.

## 4.5.22 Coherence length calculation

Coherence length was calculated using the Scherrer equation<sup>46</sup> (Equation 4.12):

## Equation 4.12) $L = \frac{K*2\pi}{\Delta q}$

where L is coherence length,  $\Delta q$  is the full width at half maximum (FWHM) of the most intense peak in the diffraction pattern, and K is a dimensionless shape factor that depends on the shape of the crystallites. For spherical particles, K = 0.93. All calculated coherence lengths of UiO-66 particles synthesized in the presence of polymers are reported in Table 4.3.

**Table 4.3.** Coherence length of UiO-66 particles synthesized in the presence of polymersin DMSO

Sample name	Coherence length (nm)
PVA-UiO-66 gel	44

PEG-UiO-66 gel	32
PAAA-UiO-66 gel	26

#### 4.5.23 Powder X-ray Diffraction (PXRD) for analysis of the gel film

To determine the presence of UiO-66 within the composite gel film spun on a glass substrate, PXRD patterns were collected using an Empyrean multipurpose X-ray diffractometer. Before the PXRD analysis, the gel film was dried at room temperature for 72 h. X-rays were generated using a water-cooled sealed X-ray tube with line focus Cu-anode ( $\lambda = 1.54$  Å) operating at 45 keV and 40 mA. The one-dimensional (1D) PXRD diffraction patterns were recorded using a GaliPIX3D detector.

## 4.5.24 Scanning Electron Microscopy-energy dispersive x-ray spectroscopy (SEM-EDS)

The gels were dried at room temperature on a glass slide prior to characterization. The dry gel was sputter coated with a layer of Au/Pd using a Gatan 682 Precision Etching and Polishing System (PECS). SEM Images of the dry gel were obtained using a FEI quanta 650 field-emission secondary electron microscope. The accelerating voltage of the primary beam was kept between 5 kV and 15 kV, and the spot size was kept below 4. EDS analysis was performed using the same instrument at the voltage between 10 – 15 kV and spot size at 4.

#### 4.5.25 Thermogravimetric analysis (TGA)

TGA was performed using a TA instruments Q50 thermogravimetric analyzer. The DMSO-swollen gels and the hydrogel samples were dried at room temperature, the former in a fume hood, for 48-72 h before analysis. The sample gas was air (flowrate = 60 mL/min) and the balance gas was N<sub>2</sub> (flowrate = 40 mL/min). Samples were heated from room temperature to 1000 °C at a rate of 10 °C/min on a platinum pan.

#### 4.5.26 Calculating Zr content from TGA profiles

The Zr wt% in each sample was calculated from the final wt% of each sample at 1000 °C, as determined by TGA (**Figure C.22-C.25, Table C.2**). Since TGA was run in air, we assumed the inorganic fraction to be ZrO<sub>2</sub>,<sup>47</sup> and calculated the wt% Zr in each sample by multiplying the final wt% (at 1000 °C) by the molecular weight fraction of Zr (91 g/mol) to ZrO<sub>2</sub> (123 g/mol) (**Table C.2**). The Zr wt% of each sample was calculated before and after solvent exchange, to track the loss of Zr throughout the process. As UiO-66 powder did not form large enough particles in DMSO to be separated from the supernatant, we could not analyze it using TGA. So, we could only analyze the UiO-66 powder after the dialysis.

#### 4.5.27<sup>1</sup>H Nuclear Magnetic Resonance Spectroscopy

<sup>1</sup>H NMR spectroscopy was conducted on a 400 MHz Varian NMR spectrometer. To quantify the DMSO content in the composite gels before and after dialysis, we digested the 3 wt% PVA-UiO-66 composite gels in 1 M sodium deuteroxide in deuterium oxide (D<sub>2</sub>O) at 10 mg/mL before and after dialysis. We then spiked the samples with 10  $\mu$ L of methanol and used <sup>1</sup>H NMR spectroscopy to quantify the amount of DMSO, comparing the integration of the DMSO proton resonances to those of methanol to determine their wt% within the composite gels and hydrogels.

#### 4.6 Acknowledgments

I'd like to acknowledge Prince Verma, Mara K. Kuenen, and Ankit Dhakal for their contributions to this section. Specifically, Prince Verma synthesized the polymer-composite gel and collected the GIXD and TGA data and took images of the gels. Ankit Dhakal synthesized the polymer-composite gel films and collected GIXD data and images of them. Mara K. Kuenen assisted served as an intellectual advisor.

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#### CHAPTER 5: CONCLUSIONS AND PERSPECTIVES ON THE FIELD

In this thesis, we establish esterification as a method to increase the cell membrane permeability of therapeutic peptides and enable their encapsulation into relevant delivery vehicles, such as PECs. Additionally, we design polymer-metal organic framework (MOF) composite gels as potential delivery vehicles for therapeutic peptides. Taken together, the work presented in this thesis serves to further the design of therapeutic peptides and their carriers to realize their potential as therapeutics and increase their implementation into the clinic.

In **Chapter 2**, we found that both the number and, more importantly, position of esters installed onto therapeutic peptides affect hydrophobicity and hydrolytic stability. For  $\alpha$ CT11, esters installed on the C-terminus yielded the largest increase in both properties, and we look forward to see if this is more indicative of amino acid position within the sequence (i.e., 5, 6, 8, C-terminus) or type (I,e,. D, E, I, etc.) to establish general design rules for therapeutic peptide esterification. While we did not observe any significant differences in scratched human dermal fibroblasts treated with either  $\alpha$ CT11-3OMe(D5,D6,E8) or  $\alpha$ CT11-4OMe, treatment with both formulations produced a significant difference in scratched fibroblasts relative to those treated with unesterified  $\alpha$ CT11, suggesting that esterifying  $\alpha$ CT11 assists with wound healing, possibly through increased cell internalization and access to intracellular targets.

Building upon the conclusion that esterification can successfully cap anionic carboxylic acids on therapeutic peptides and increase their overall net charge, we next used esterification as a tool to make net-cationic  $\alpha$ CT11-4OMe amenable to complexation with anionic PMAA and subsequent reversible encapsulation within PECs in **Chapter 3**.

In this chapter we found that esterification not only enables PEC formation not observed with unesterified peptide, but ensuing ester hydrolysis also coincided with a decrease in turbidity and assumed PEC dissociation. As we see esterification as a general strategy to reversibly encapsulate a wide range of therapeutic peptides (such as Ang 1-7), we look forward to expanding upon this initial proof of concept to probe esterified peptide complexation with different polymers (i.e., hydrophobic polymers) and use what we learned from Chapter 2 to determine the effect of ester number, position, and even type on PEC formation and subsequent release profiles.

Finally, Chapter 4 develops design rules for polymer-MOF composite gels, leveraging the high sorptive capacity of MOFs within processable polymer gel templates to form beneficial carriers for therapeutic peptides. We learned that polymer chemistry can significantly affect the formation of polymer-MOF composite gels, as interactions between the polymer and MOF metal node are necessary to form robust, stable gels, but these interactions cannot be too strong to outcompete organic linkers and prevent MOF formation. Interestingly, we saw that the sorptive properties and release profiles of polymer-MOF composite gels are highly dependent on MOF chemistry and the solute itself. While PVA-UiO-66 composite hydrogels had increased sorptive capacities and extended release profiles relative to the polymer gel or MOF powder alone for methylene blue, polymer-MOF composite gels with the large-pore MOF MOF-525 enabled only increased sorptive capacity for the peptide angiotensin 1-7 relative to the polymer gels alone, and instead exhibited burst release profiles. This suggests that further engineering and synergistic matching of the MOF carrier and solute are required for optimum performance, which we look forward to studying in the future.

The field of therapeutic peptides has been rapidly growing for the past few decades, with relevant publications growing from less than 100 in 1990 to over 5000 in 2022 (Figure 5.1). While insulin continues to dominate the pharmaceutical market, drugs such as semaglutide are gaining traction due to their potency. As issues with peptide cell membrane permeability and in vivo half-lives are still prevalent and a defining characteristic of most peptides, well known strategies such as peptide cyclization, Nmethylation, and appending either cell penetrating peptide or lipophilic tags remain the gold standard for increasing the bioavailability of peptides. Esterification could very well replace these strategies as a mechanism to increase bioavailability; however, it remains to be seen how esterification itself in vivo half-life. As esterification doesn't significantly change the therapeutic size in solution to counteract renal clearance, and it stands to be seen whether esters can protect peptides from proteolytic degradation, esterified peptides would likely still require a delivery vehicle to avoid rapid clearance and realize their full therapeutic potential *in vivo*. Therefore, we see the primary impact of esterification to likely be its ability to tailor therapeutic peptides for delivery, either through encapsulation into relevant delivery carriers, such as PECs or polymer-MOF composite hydrogels. Additionally, the increase in lipid bilayer membrane permeability could be specifically relevant to encapsulation inside of lipid vesicles, such as liposomes or exosomes. In all, multi-site esterification offers a tunable and reversible strategy to modify therapeutic peptides without a related sacrifice in activity as usually seen with comparable permanent modifications, and provides the potential to enable the widespread implementation of this beneficial class of therapeutics.



**Figure 5.1.** Publications containing the term "therapeutic peptide" since 1990. Plot was generated using data from <u>www.webofscience.com</u>.

#### CHAPTER A: SUPPLEMENTARY INFORMATION FOR CHAPTER 2: MULTI-SITE ESTERIFICATION: A TUNABLE, REVERSIBLE STRATEGY TO TAILOR A THERAPEUTIC PEPTIDE FOR DELIVERY

### LIST OF FIGURES

Figure A.1. RP-HPLC chromatograms of a CT11 Fischer esterification replicates, showing 3 independently set up reaction mixtures. The peptide eluted between 25% and 40% acetonitrile in each different reaction, with the produced peaks overlapping each other. Mobile phase composition (% ACN, gray) is plotted against normalized retention time in each RP-HPLC chromatogram.....A-13 Figure A.2 a) RP-HPLC chromatogram and b) MALDI-TOF MS of  $\alpha$ CT11. In MALDI-TOF, [aCT11+2Na-H]+ indicates that one of the originally protonated carboxylic acids now carries a sodium counterion. .....A-15 Figure A.3. a) RP-HPLC chromatogram and b-c) MALDI-TOF MS of aCT11-4OMe. A-18 Figure A.4. a) RP-HPLC chromatogram and b) MALDI-TOF MS of  $\alpha$ CT11-3OMe(A), with [3OMe+2Na-H]+ indicating that one of the originally protonated carboxylic acids now Figure A.5. a) RP-HPLC chromatogram and b) MALDI-TOF MS of aCT11-3OMe(B)....A-20 Figure A.6. a) RP-HPLC chromatogram (gradient 2) and b) MALDI-TOF MS plot of Figure A.7. a) RP-HPLC chromatogram (gradient 2) and b) MALDI-TOF MS of aCT11-3OMe(D). .....A-22 Figure A.8. a) RP-HPLC chromatogram and b) MALDI-TOF MS of αCT11-2OMe. ... A-23 Figure A.9. Chemical structure of  $\alpha$ CT11-4OMe. Relevant <sup>1</sup>H (pink) and <sup>13</sup>C (yellow) atoms are labeled within the structure, and ester groups (red) and positively charged groups (blue) are also highlighted. .....A-26 Figure A.10. <sup>1</sup>H NMR spectrum of αCT11-4OMe (0.75 – 8.50 ppm). .....A-26 Figure A.11. Expanded select regions of the  $\alpha$ CT11-4OMe <sup>1</sup>H NMR spectrum. The a) amide/amine (HN,  $H\epsilon$ ) (7.6 – 8.4 ppm), b)  $H\alpha$  (4.0 – 4.7 ppm), c) methyl ester H (-OC $H_3$ ) and proline H $\delta$  (3.4 – 3.7 ppm), and d) the H $\beta$ , H $\gamma$ , and H $\delta$  (0.75 – 3.50 ppm) regions are shown.....A-27

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Figure A.13. Carbonyl carbon region of the  $\alpha$ CT11-4OMe <sup>13</sup>C NMR spectrum (169.5 – 173.2 ppm). A-29

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Figure A.18. CLIP COSY of  $\alpha$ CT11-4OMe: D5 & D6 H $\alpha$  resonance region (4.5 – 4.6 ppm) x D5 & D6 H $\beta$  resonance region (2.5 – 2.8 ppm). The D5 and D6 H $\alpha$  protons split the adjacent H $\beta$  resonances, and this CLIP-COSY spectrum showed each of part of the split D5 and D6 H $\beta$  resonances to correlate with the respective H $\alpha$  resonances......A-35

Figure A.20. CLIP COSY of  $\alpha$ CT11-4OMe: E8 H $\gamma$  resonance region (2.30-2.35 ppm) x E8 H $\beta$  resonance region (1.70-1.95 ppm), with the E8 H $\gamma$  - H $\beta$  correlations allowed for the assignment of the E8 H $\gamma$  resonance......A-37

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	Time (min)		Mobile Phase Composition		
Mobile Phase Stages		Preparative	% Water + 0.1%	% Acetonitrile +	
	Analytical		TFA	0.1% TFA	
			(A)	(B)	
Warm up	0	0	95	5	
	0.5	2.6	95	5	
Ramp up			~~	-	
	5	25.2	77	23	
Elution		20.2		20	
	15	50.2	74	26	
	15	50.2	74	20	
Purge	15.1	50.7	5	95	
	16.1	60.0	5	95	
Equilibration	16.2	60.6	95	5	
	22	70.0	95	5	

Table A.1. RP-HPLC mobile phase gradients

In this study, our RP-HPLC mobile phase gradients had five stages. First was the warmup stage, where the system was equilibrated for 30 s with a mobile phase composition of 95% water + 0.1% trifluoroacetic acid (TFA) (mobile phase A) and 5% acetonitrile + 0.1% TFA (mobile phase B). During the ramp-up stage, the % B in the mobile phase was increased linearly to 23% over 4.5 min. In the elution stage, the % B was further increased linearly to 26% over 10 min to elute the sample from the column. To remove any bound solute from the column, we then increased the % B to 95% linearly and held at that composition for 1 min, in the purge phase of the elution. Finally, the equilibration phase was used to prepare the system for the next injection, where the % B was reset to 5% and held there for 5.8 min. The flowrates of mobile phase in the analytical and preparative-scale RP-HPLC systems were 1 mL/min and 25.52 mL/min, respectively.



**Figure A.2 a)** RP-HPLC chromatogram and **b)** MALDI-TOF MS of  $\alpha$ CT11. In MALDI-TOF, [ $\alpha$ CT11+2Na-H]<sup>+</sup> indicates that one of the originally protonated carboxylic acids now carries a sodium counterion.

**Table A.2.** Installed methyl ester number and position, normalized retention times of the products in each gradient, and percent peak area of  $\alpha$ CT11 and its esterified products

αCT11 Product	# of methyl esters installed	Esterified Residues	COOH Residues	Normalized Retention Time ( $T$ )	Percent Peak Area
αCT11	0	N/A	D5, D6, E8, I9	0	N/A
20Me	2	*Not Dete	ermined	0.22	1
3OMe(A)	3	D5, D6, E8	19	0.35	19
3OMe(B)	3	D5, D6, I9	E8	0.69	
3OMe(C)	3	D6, E8, I9	D5	0.70	4
3OMe(D)	3	D5, E8, I9	D6	0.75	
40Me	4	D5, D6, E8, I9	N/A	1.00	74

\*Ester positions were not able to be determined by <sup>1</sup>H NMR spectroscopy (insufficient amounts isolated)

Sample:	αCT11	αCT11- 1OMe	αCT11- 2OMe	αCT11- 3OMe	αCT11- 4OMe
Exact Mass:	1109.6	1123.6	1137.6	1151.6	1165.7
[M + H]+	1110.6	1124.6	1138.6	1152.6	1166.7
[M + Na]+	1132.6	1146.6	1160.6	1174.6	1188.7
[M + K]+	1148.6	1162.6	1176.6	1190.6	1204.7
[M + 2Na - H]+	1154.6	1168.6	1182.6	1196.6	
[M + K + Na - H]+	1170.6	1184.6	1198.6	1212.6	
[M + 1 imide + H]+	1092.6	1106.6	1120.6	1134.6	
[M + 1 imide + Na]+	1114.6	1128.6	1142.6	1156.6	
[M + 1 imide + K]+	1130.6	1144.6	1158.6	1172.6	
[M + 2 imide + H]+	1074.6	1088.6	1102.6		
[M + 2 imide + Na]+	1096.6	1110.6	1124.6		
[M + 2 imide + K]+	1112.6	1126.6	1140.6		
[M + 3 imide + H]+	1056.6	1070.6			
[M + 3 imide + Na]+	1078.6	1092.6			
[M + 3 imide + K]+	1094.6	1108.6			

Table A.3. Calculated molecular mass of  $\alpha$ CT11 with various numbers of esters, charge (z) = 1



Figure A.3. a) RP-HPLC chromatogram and b-c) MALDI-TOF MS of αCT11-4OMe.



**Figure A.4. a)** RP-HPLC chromatogram and **b)** MALDI-TOF MS of αCT11-3OMe(A), with [3OMe+2Na-H]<sup>+</sup> indicating that one of the originally protonated carboxylic acids now carries a sodium counterion.



Figure A.5. a) RP-HPLC chromatogram and b) MALDI-TOF MS of αCT11-3OMe(B).



Figure A.6. a) RP-HPLC chromatogram (gradient 2) and b) MALDI-TOF MS plot of αCT11-3OMe(C).



Figure A.7. a) RP-HPLC chromatogram (gradient 2) and b) MALDI-TOF MS of αCT11-3OMe(D).



Figure A.8. a) RP-HPLC chromatogram and b) MALDI-TOF MS of αCT11-2OMe.

# A.2Establishing ester position with 2D nuclear magnetic resonance (NMR) spectroscopy

To assign the methyl ester  $\alpha$ CT11-4OMe protons and carbons (**Figure A.9**), we used 1D <sup>1</sup>H (Figure A.10-A.12) and <sup>13</sup>C (Figure A.13) spectra together with 2D H-H total correlation spectroscopy (TOCSY, Figures A.14-A.15), H-H nuclear Overhauser effect spectroscopy (NOESY, Figure A.16), H-H clean in-phase correlation spectroscopy (CLIP-COSY, Figures A.17-A.20), and H-C selective heteronuclear multiple bond correlation (selHMBC, Figures A.21-A.24). For reference, the H and C chemical shifts and the H integrations and numbers are listed in **Table A.4**. Towards assigning the D5 and D6 C<sub> $\gamma$ </sub>, E8 C<sub> $\delta$ </sub>, and I9 C carbonyls in the <sup>13</sup>C spectrum for correlation with the methyl ester proton resonances, we first used TOCSY to separate each H resonance into their individual spin system, which contains all the H resonances connected in a continuous chain of protonated nuclei. For example, the D5 C backbone carbonyl is not bound to a proton and therefore serves as a spin system boundary, separating the D5 and D6 spin systems. Conveniently, the H resonances within a given amino acid are in the same spin system. Therefore, we assigned some of the amide/amine proton resonances (HN,  $H_{\epsilon}$ , 7.7 – 8.3 ppm) as belonging to either a R, D, E, or L/I residue spin system by considering the number of backbone-sidechain correlations with H resonances between 0 – 4.7 ppm (Figure A.14). For example, we found the E8 HN (7.91 ppm) to have 4 unique correlations: 1 in the H $\alpha$  region (4.0 – 4.7 ppm), 1 around the expected shift of the E8 H $\gamma$  resonance (2.32 ppm), and 2 around the expected shifts of the E8 H $\delta$ resonances (~1.8 ppm). However, we were unable to distinguish between R1 and R3, D5 and D6, or L7 and I9 resonances due to the similar number and chemical shifts of these correlations in the TOCSY spectrum. We assigned the R1 HN (8.17 ppm) because the amine-water H exchange caused it to appear as a broad singlet instead of a doublet split by the adjacent H $\alpha$ . The TOCSY spectrum also showed HN - H $\epsilon$ correlations for both R1 and R3 in the amide/amine region of the spectrum, allowing us to assign the R1 and R3 HN (8.17 and 8.24 ppm) and H $_{\varepsilon}$  (7.83 and 7.71 ppm) resonances (Figure A.15).

To assign the D5, D6, L7, and I9 Hs, we next used NOESY, which shows correlations between protons that are close to each other in space, suspecting it would reveal interactions between amide/amine protons on adjacent amino acids. We observed a correlation in the amide/amine (HN and R H $\epsilon$ ) region (7.7 – 8.3 ppm) of the NOESY spectrum (**Figure A.16**) between what TOCSY showed to be either the D5 or D6 HN and either the L7 or I9 HN. Because D6 is next to L7 in the peptide sequence, this correlation allowed us to assign the D6 and L7 HN, and by the process of elimination D5 and I9 Hs. In all, NOESY revealed D5-D6, D6-L7, L7-E8, and E8-I9 HN-HN correlations, which allowed us to assign the remaining HN resonances.

With the *H*Ns and H $\epsilon$  resonances assigned, we next moved to COSY, which displays correlations between protons on adjacent nuclei, with the goal of assigning the 19 H $\alpha$  (4.17 ppm) and the D5/D6 Hßs (2.57 – 2.75 ppm), which were each 3 bonds away from their respective methyl ester carbonyl Cs, and could therefore be used to assign

said carbonyl Cs with selHMBC. To enhance the signal intensities, we used CLIP COSY. To assign the H $\alpha$  resonances, we plotted the now assigned *H*N and H $\epsilon$  region (7.7 – 8.3 ppm) against the H $\alpha$  region (4.0 – 4.7 ppm) (Figure A17), where correlations allowed us to assign the D5 H $\alpha$  (4.54 ppm), D6 H $\alpha$  (4.58 ppm), E8 H $\alpha$  (4.32 ppm), and I9 H $\alpha$  (4.17 ppm) resonances. We also used CLIP-COSY correlations to assign the D5/D6 H $\beta$ s (2.57 – 2.75 ppm) through their respective correlations with the now-assigned H $\alpha$  region (4.0 - 4.7 ppm) (Figure A.18). The D5 and D6 H $\alpha$  protons split the adjacent H $\beta$  resonances, and CLIP-COSY showed each of part of the split D5 and D6 H $\beta$  resonances to correlate with the respective H $\alpha$  resonances. After assigning the E8 H $\alpha$  resonance, we were able to assign the E8 H $\beta$  resonance (1.767 and 1.904 ppm) (Figure A.19) and, subsequently, the E8 H $\gamma$  resonance (2.319 ppm) (Figure A20) through their respective correlations.

Finally, to assign the carbonyl Cs in the  $^{13}$ C spectrum of  $\alpha$ CT11-4OMe, we used selHMBC, which measures correlations between protons and carbons separated by 2-4 bonds within a specified region of the spectrum, as HMBC did not offer enough resolution in the <sup>13</sup>C dimension to confidently assign each peak, specifically the D5 and D6  $C_{\gamma}$ resonances (Figure A.21). As a reference for the  $\alpha$ CT11-4OMe selHMBC spectrum, which was collected only in the carbonyl region (165 – 175 ppm), we used the L7 H $\alpha$ carbonyl C correlation (4.25-171.61 ppm) (Figure A.22). As we had already assigned the relevant I9 H $\alpha$  resonance, the D5/D6 H $\beta$  resonances, and the E $_8$  H $\gamma$  resonances, we used observed correlations in selHMBC to assign the respective carbonyl Cs, specifically the D5/D6 C $\gamma$ , E8 C $\delta$ , and I9 Cs. Plotting the H $\alpha$  region of the <sup>1</sup>H spectrum (4.0 – 4.7 ppm) against carbonyl C region of the <sup>13</sup>C spectrum, in which we observed H $\alpha$  - C correlations, allowed us to assign the D5 C (170.23 ppm), D5 C $\gamma$  (170.68 ppm), D6 C (169.75), D6 Cy (170.68 ppm), and I9 C (171.76) resonances (Figure A.23). The observed methyl ester  $-OCH_3 - C\gamma$  correlations (**Figure 2.2**) allowed us to distinguish the D6 backbone and side chain carbonyls, the former of which was 6 bonds away from the methyl ester -OCH<sub>3</sub>, and would not have produced a selHMBC correlation. We then used the E8 Hy - C $\delta$  correlation to assign the E8 C $\delta$  resonance (Figure A.24). After assigning all the relevant carbonyl C resonances, we were then able to use their selHMBC correlations with their respective D5, D6, E8, and I9 methyl ester -OCH<sub>3</sub> resonances to assign each singlet in the  $\alpha$ CT11-4OMe <sup>1</sup>H spectrum (Figure 2.2).



**Figure A.9.** Chemical structure of  $\alpha$ CT11-4OMe. Relevant <sup>1</sup>H (pink) and <sup>13</sup>C (yellow) atoms are labeled within the structure, and ester groups (red) and positively charged groups (blue) are also highlighted.



Figure A.10. <sup>1</sup>H NMR spectrum of  $\alpha$ CT11-4OMe (0.75 – 8.50 ppm).



**Figure A.11.** Expanded select regions of the  $\alpha$ CT11-4OMe <sup>1</sup>H NMR spectrum. The **a**) amide/amine (*H*N, H $\epsilon$ ) (7.6 – 8.4 ppm), **b**) H $\alpha$  (4.0 – 4.7 ppm), **c**) methyl ester H (-OCH<sub>3</sub>) and proline H $\delta$  (3.4 – 3.7 ppm), and **d**) the H $\beta$ , H $\gamma$ , and H $\delta$  (0.75 – 3.50 ppm) regions are shown.



**Figure A.12.** <sup>1</sup>H NMR spectrum of **a**)  $\alpha$ CT11 and **b-g**) esterified variants (2.7 – 3.75 ppm). Only proline H $\delta$  resonances were observed in the region that methyl ester H singlets were observed in the esterified <sup>1</sup>H spectra (3.55 – 3.64 ppm). For each esterified spectrum, both the total methyl ester H region and the individual peaks were integrated to show the presence of the proline H $\delta$  proline, which is overlapped by the methyl ester H singlets. All methyl ester peaks integrate to 3 protons; however, in (d-f) the  $\alpha$ CT11-3OMe samples with an esterified 19 residue, lower concentrations of sample led to higher baseline noise and slightly higher integrals than expected (9 methyl ester Hs + 1 proline H $\delta$  = 10 Hs). The  $\alpha$ CT11-2OMe spectrum contained peaks from all the possible esterified methyl esters, suggesting this product is a mixture of  $\alpha$ CT11 with different combinations of 2 methyl esters esterified.


**Figure A.13.** Carbonyl carbon region of the αCT11-4OMe <sup>13</sup>C NMR spectrum (169.5 – 173.2 ppm).

Amino Acid	Atom	Chemical Shift (ppm)	Integral	# of protons
	HN	8.17	2.6	3
D4	Ηα	4.17	1.0	1
K1	Ηδ	3.11	2	*
	Ηε	7.83	0.9	1
	Ηα	4.41	0.9	1
P2	Ηδ	3.68 - 3.45	Not isolatable**	2
	CO	169.83	N/A	N/A
	HN	8.24	1.0	1
	Ηα	4.41	0.9	1
R3	Ηδ	3.11	2	*
	Hε	7.71	0.8	1
	CO	170.98	N/	/A
	Ηα	4.29	1.0	1
P4	Ηδ	3.68 - 3.45	Not isolatable**	2
	CO	171.68	N/A	N/A
	HN	4.54	1.0	1
	Ηα	4.54	0.9	1
	ЦС	2.75	1.0	1
D5	LII2	2.57	1.0	1
	CO	170.23	N/	/A
	Ογ	170.68	N	/A
	OCH <sub>3</sub>	3.59	2.6	3
	HN	8.08	0.8	1
De	Ηα	4.58	1.1	1
00	ЦВ	2.75	1.0	1
	T IIS	2.57	1.0	1

Table A.4. Chemical shifts of relevant <sup>1</sup>H and <sup>13</sup>C in  $\alpha$ CT11-4OMe

	CO	169.75	N/A		
	Ϲγ	170.62	N/#	4	
	OCH <sub>3</sub>	3.57	2.5	3	
	HN	7.83	0.9	1	
L7	Ηα	4.24	1.0	1	
	CO	171.63	N/A		
	HN	7.91	0.9	1	
	Ηα	4.32	1.1	1	
	Ηγ	2.32	1.9	2	
Eo	ЦQ	1.90	Not isolatable***	-	
EO		1.77	Not isolatable***	-	
	CO	171.15	N/A		
	<b>C</b> δ	172.86	N/A		
	OCH <sub>3</sub>	3.58	3.1	3	
	HN	8.06	0.9	1	
10	Ηα	4.17	1.0	1	
19	CO	171.76	N/#	4	
	OCH <sub>3</sub>	3.61	2.9	3	

\*Set as the reference integration \*\*Peak was either not able to be individually assigned (P2/P4 Hδ) \*\*\*Peaks were not able to be integrated separately from neighboring peaks (E8 Hß)



**Figure A.14.** Total correlation spectroscopy (TOCSY) of  $\alpha$ CT11-4OMe: HN & H $\epsilon$  region (7.65 – 8.3 ppm) x H $\alpha$ , H $\beta$ , H $\gamma$ , H $\delta$ , and methyl ester H region (0.75 – 4.7 ppm). Dashed vertical lines are shown to guide the eye along the spin systems of the peptide, which were used to assign the HN and H $\epsilon$  resonances (x-axis).



**Figure A.15.** TOCSY spectrum of  $\alpha$ CT11-4OMe: HN & H $\epsilon$  region (7.65 – 8.3 ppm) x HN & H $\epsilon$  region. Correlations between the R1/R3 HN – H $\epsilon$  correlations were used to assign R1 and R3 HN and H $\epsilon$  resonances.



**Figure A.16.** Nuclear Overhauser spectroscopy (NOESY) of  $\alpha$ CT11-4OMe: HN & H $\epsilon$  region (7.65 – 8.3 ppm) x HN & H $\epsilon$  region. R1 HN - R1 H $\epsilon$  (blue), D6 HN - L7 HN (green), and D5 HN - D6 HN (black) correlations were observed, with the latter 2 correlations being used assign the D5, D6, L7, and I9 HNs.



**Figure A.17.** Clean in-phase correlation spectroscopy (CLIP COSY) of  $\alpha$ CT11-4OMe: *H*N & H $\epsilon$  region (7.65 – 8.3 ppm) x H $\alpha$  region (4.1 – 4.65 ppm). *H*N – H $\alpha$  correlations were used to assign the H $\alpha$  resonances.



**Figure A.18.** CLIP COSY of  $\alpha$ CT11-4OMe: D5 & D6 H $\alpha$  resonance region (4.5 – 4.6 ppm) x D5 & D6 H $\beta$  resonance region (2.5 – 2.8 ppm). The D5 and D6 H $\alpha$  protons split the adjacent H $\beta$  resonances, and this CLIP-COSY spectrum showed each of part of the split D5 and D6 H $\beta$  resonances to correlate with the respective H $\alpha$  resonances.



**Figure A.19.** CLIP COSY of  $\alpha$ CT11-4OMe: E8 H $\alpha$  resonance region (4.31-4.34 ppm) x the E8 H $\beta$  region (1.7-1.95 ppm), with E8 H $\alpha$  – H $\beta$  correlations allowing for the assignment of the 2 E8 H $\beta$  resonances.



**Figure A.20.** CLIP COSY of  $\alpha$ CT11-4OMe: E8 H $\gamma$  resonance region (2.30-2.35 ppm) x E8 H $\beta$  resonance region (1.70-1.95 ppm), with the E8 H $\gamma$  - H $\beta$  correlations allowed for the assignment of the E8 H $\gamma$  resonance.



**Figure A.21.** Heteronuclear multiple bond correlation (HMBC) of  $\alpha$ CT11-4OMe: methyl ester H singlet region (3.55 – 3.62 ppm) x carbonyl C region (169 – 173 ppm). Correlations did not have high enough resolution in the <sup>13</sup>C region to discern between methyl ester H correlations with the D5 and D6 C $\gamma$  resonances.



**Figure A.22.** HMBC of  $\alpha$ CT11-4OMe: H $\alpha$  region (4.1 – 4.4 ppm) x carbonyl C region (169 – 173 ppm). The L7 H $\alpha$  – L7 CO correlation (at 4.25 –171.62 ppm) is highlighted, and was used to reference all of the selective HMBC (selHMBC) spectra.



**Figure A.23.** selHMBC of  $\alpha$ CT11-4OMe: H $\alpha$  region (4.1 – 4.6 ppm) x carbonyl C region (169 – 172 ppm). H $\alpha$  – CO and D5/D6 H $\alpha$  – C $\gamma$  correlations were used to assign relevant CO and C $\gamma$  resonances.



**Figure A.24.** selHMBC of  $\alpha$ CT11-4OMe: E8 H $\gamma$  resonance region (2.30-2.35 ppm) x E8 C $\delta$  region (172.7-173.1 ppm), with the E8 H $\gamma$  (pink)- C $\delta$  (yellow) correlation used to identify the E8 C $\delta$  resonance.



Figure A.25. Expanded select regions of the aCT11-3OMe(D5/D6/E8) <sup>1</sup>H NMR spectrum. Besides a) the full spectrum (0.75 – 8.5 ppm), the b) H $\alpha$  region (4.0 – 4.7 ppm), c) methyl ester H and proline H $\delta$  region (3.3 - 3.8 ppm), and d) the R1/R3 H $\delta$ , D5/D6 H $\beta$ , and E8 H $\gamma$  region H (2.2 - 3.2 ppm) regions are expanded with the corresponding resonances labeled.



Figure A.26. Carbonyl carbon region of the  $\alpha$ CT11-3OMe(D5/D6/E8) <sup>13</sup>C NMR spectrum (170.4 – 173.5 ppm).



**Figure A.27.** selHMBC of  $\alpha$ CT11-3OMe(D5/D6/E8): H $\alpha$  region (4.0 – 4.7 ppm) x carbonyl C region (170.4 – 173.2 ppm). H $\alpha$  – C correlations and the D5 H $\alpha$  - C $\gamma$  correlation were used to assign  $\alpha$ CT11-3OMe(D5/D6/E8) carbonyl C resonances. Corresponding  $\alpha$ CT11-4OMe <sup>1</sup>H and <sup>13</sup>C spectra (red) are stacked above the corresponding -3OMe(D5/D6/E8) spectra (orange) as a comparison, specifically highlighting the upfield shift in the I9 H $\alpha$  resonance and the upfield/downfield shifts in the E8/I9 carbonyl C resonances observed in the -3OMe(D5/D6/E8) spectra relative to the 4OMe spectra.



**Figure A.28.** selHMBC of  $\alpha$ CT11-3OMe(D5/D6/E8): D5/D6 Hß and E8 H $\gamma$  resonance region (2.2 – 2.8 ppm) x carbonyl C region (170.4 – 173.2 ppm). The D5/D6 Hß - C $\gamma$  and E8 H $\gamma$  - C $\delta$  correlations were used to assign the D5/D6 C $\gamma$  and E8 C $\delta$  resonances, respectively. Corresponding  $\alpha$ CT11-4OMe <sup>1</sup>H and <sup>13</sup>C spectra (red) are stacked above the corresponding -3OMe(D5/D6/E8) spectra (orange), where shifts in the E8 C $\alpha$  and its correlation with the E8 H $\gamma$  were observed.

## A.3 Hydrolysis of installed αCT11 methyl esters.



**Figure A.29.** Hydrolysis of  $\alpha$ CT11-3OMe(D5/D6/E8) in 1X PBS (pH 7.4). RP-HPLC chromatograms of  $\alpha$ CT11-3OMe(D5/D6/E8) (orange solid lines) are shown compared to unmodified  $\alpha$ CT11 (blue dashed lines) standards run at each timepoint. Standards were stored in 5 % ACN in which no hydrolysis was expected to occur. Significant hydrolysis in PBS was observed only in the first 24 h, but with little subsequent hydrolysis or activation occurring over the remainder of the 14-d experiment. This experiment was repeated in triplicate, with each replicate plotted as a solid line with varying shades of orange (A, B, C). Retention time for each esterified sample was reported relative to that of  $\alpha$ CT11, which was set to a retention time of 0. Mobile phase composition (% ACN + 0.1% TFA, gray) was plotted against the retention time in each RP-HPLC chromatogram.



**Figure A.30.** Hydrolysis of  $\alpha$ CT11-4OMe in 1X PBS (pH 7.4). RP-HPLC chromatograms of  $\alpha$ CT11-4OMe (red solid lines) are shown compared to unmodified  $\alpha$ CT11 (blue dashed lines) standards run at each timepoint. Standards were stored in 5 % ACN in which no hydrolysis was expected to occur. Significant hydrolysis in PBS was observed only in the first 24 h, but with little subsequent hydrolysis or activation occurring over the remainder of the 8-d experiment. This experiment was repeated in triplicate, with each replicate plotted as a solid line with varying shades of red (A, B, C). Retention time for each esterified sample was reported relative to that of  $\alpha$ CT11, which was set to a retention time of 0. Mobile phase composition (% ACN + 0.1% TFA, gray) was plotted against the retention time in each RP-HPLC chromatogram.



**Figure A.31.** RP-HPLC chromatograms and MALDI-TOF spectra of  $\alpha$ CT11 in 1X PBS (pH 7.4) over 1 week. No changes were seen in either the chromatograms or MALDI-TOF mass spec over 7 d. Mobile phase composition (% ACN + 0.1% TFA, gray) was plotted against the retention time in each RP-HPLC chromatogram. MALDI-TOF measurements were obtained through co-crystallization in a CHCA matrix. Data between 1100 and 1250 m/z are shown inset of each plot, with each peak is numerically labeled. Labels are defined in Table A.5.

Time	label	Mass (Da)	Intensity (%)	Species	
	1	1104.742	3	?	
	2	1104.897	3	?	
	3	1106.055	3	?	
	4	1106.595	3	?	
	5	1107.136	3	?	
	6	1107.6	3	?	
	7	1107.909	3	?	
	8	1109.919	100		
	9	1110.925	64	[αCT11 + H]+	
	10	1111.931	21	-	
	11	1113.016	5	?	
initial	12	1125.367	4	?	
initia	13	1131.919	13		
	14	1132.935	8	[αCT11 + Na]+	
	15	1133.951	3		
	16	1147.833	15		
	17	1148.856	9	[αCT11 + K]+	
	18	1149.879	4		
	19	1153.898	3	[αCT11 + 2Na - H]+	
	20	1169.806	6	[αCT11 + K + Na - H]+	
	21	1170.839	4	[αCT11 + K + Na - H]+	
	22	1185.743	3	?	

**Table A.5.** Molecular weights of peaks observed in MALDI-TOF MS data measuring the incubation of  $\alpha$ CT11 in 1X PBS, as shown in Figure A.31.

	23	1321.423	3	?
	1	1110.4	100	$[\alpha CT11 + H]$ +
	2	1111.5	81	
	3	1132.6	25	
	4	1133.6	17	[αCT11 + Na]+
	5	1134.6	8	
1 d	6	1148.6	12	
	7	1149.6	8	[αCT11 + K]+
	8	1150.6	4	
	9	1154.7	5	[αCT11 + 2Na -
	10	1155.6	4	H]+
	11	1171.5	3	[αCT11 + K + Na - 2H]+
	1	1109.8	100	
	2	1110.9	60	
	3	1111.9	20	
	4	1112.9	4	
	5	1131.8	13	[aCT11 + Na]+
4 d	6	1132.9	7	
	7	1147.8	7	[aCT11 + K]+
	8	1148.9	4	
	9	1153.8	3	[αCT11 + 2Na - H]+
	10	1169.8	3	[αCT11 + K + 2Na - 2H]+
	1	1110.1	100	
7 d	2	1111.2	83	[αCT11 + H]+
	3	1112.2	45	
(	1			

4	1132.3	26	
5	1133.3	18	[αCT11 + Na]+
6	1134.2	8	
7	1135.2	3	
8	1148.2	14	
9	1149.2	10	[αCT11 + K]+
10	1150.2	6	
11	1154.2	8	
12	1155.3	6	-[αCT11 + 2Na - H]+
13	1156.218	3	
14	1170.238	7	[αCT11 + K + Na - H]+
15	1176.204	3	[αCT11 + 3Na - 2H]+
16	1192.188	3	[αCT11 + K + 2Na - 2H]+

Table A.6. Percent activation of  $\alpha$ CT11-3OMe(D5,D6,E8) and -4OMe in 1X PBS (pH 7.4) and 100 mM carbonate buffer (pH 10.0) over 8 d.

		30Me(D	5,D6,E8)		40Me				
Time		100 mM				100 mM			
(d)	1X PBS (pH 7.4)		carbonat	carbonate buffer		1X PBS (pH 7.4)		carbonate buffer	
()			(pH	10)			(pH	10)	
	Average	StDev	Average	StDev	Average	StDev	Average	StDev	
0.00	0.00	0.00	0.22	0.11	0.00	0.00	0.62	0.08	
0.25			54.65	2.42			15.20	0.36	
0.50			66.94	0.87			35.81	0.32	
1.00	3.37	1.03	88.14	0.46	0.00	0.00	62.33	1.09	
1.50			96.15	0.74			76.36	0.55	
2.00			98.33	0.27			84.41	0.13	
3.00	5.77	1.91	100.32	0.08			93.82	0.18	
5.00			99.99 0.15		0.00	0.00	98.50	0.28	
7.00	10.45	2.26							
8.00					1.13	0.30			



**Figure A.32.** PLE-catalyzed hydrolysis of  $\alpha$ CT11. Hydrolysis was performed at a 1:1 (light blue), 10:1 (blue), and 100:1 (navy blue) ratios of PLE enzyme units:µmol  $\alpha$ CT11. PLE controls for each condition (gray, dashed lines) and an  $\alpha$ CT11 only control (blue dashed line) are also pictured. The appearance of a second peak in  $\alpha$ CT11 + PLE samples and the disappearance of  $\alpha$ CT11 were observed with increasing PLE concentration. Retention time of each sample is reported relative to an  $\alpha$ CT11 control, which was set to 0.



**Figure A.33.** MALDI-TOF MS results of PLE-catalyzed hydrolysis of  $\alpha$ CT11, depicted in Figure S32. Hydrolysis was performed at a 1:1 (light blue), 10:1 (blue), and 100:1 (navy blue) ratios of PLE enzyme units:µmol  $\alpha$ CT11. Results between 1100-1250 m/z, where  $\alpha$ CT11 is expected to appear in MALDI-TOF MS, is inset.  $\alpha$ CT11-related peaks disappeared with increasing PLE concentration. Peak labels are defined in Table A.7.

Table A.7. MALDI-TOF MS results of PLE-catalyzed hydrolysis of  $\alpha$ CT11

PLE:αCT11 ratio (enzyme units: μmol peptide)	label	Mass (Da)	Intensity (%)	Species
	1	1110.28	100	[αCT11 + H]+
	2	1111.28	59	[αCT11 + H]+
	3	1112.37	18	[αCT11 + H]+
	4	1132.36	20	[αCT11 + Na]+
1:1	5	1133.38	11	[αCT11 + Na]+
	6	1138.31	17	[2OMe + H]+
	7	1139.32	10	[2OMe + H]+
	8	1154.34	7	[αCT11 + 2Na - H]+
	1	1103.25	6	N/A
	2	1104.48	6	N/A
	3	1106.64	6	N/A
10:1	4	1107.42	5	N/A
	5	1109.5	9	N/A
	6	1110.43	7	N/A
	7	1112.6	3	N/A
	1	1100.86	3	N/A
	2	1104.64	8	N/A
	3	1105.25	7	N/A
	4	1106.64	7	N/A
	5	1106.95	6	N/A
100:1	6	1107.96	6	N/A
	7	1109.5	8	N/A
	8	1109.97	12	N/A
	9	1110.82	7	N/A
	10	1118.65	3	N/A
	11	1125.81	6	N/A

\*N/A refers to a sample that cannot be reliably attributed to either matrix or  $\alpha$ CT11.



**Figure A.34.** RP-HPLC chromatogram of PLE-catalyzed hydrolysis of  $\alpha$ CT11-3OMe(D5,D6,E8). Hydrolysis was performed at a 1:1 (light orange), 10:1 (dark orange), and 100:1 (brown) ratios of PLE enzyme units:µmol  $\alpha$ CT11. PLE controls for each condition (gray, dashed lines) and an  $\alpha$ CT11-3OMe(D5,D6,E) control (orange dashed line) and  $\alpha$ CT11 control (blue dashed line) are also pictured. The appearance of an unaccounted-for peak in  $\alpha$ CT11-3OMe(D5,D6,E8) + PLE samples and the disappearance of  $\alpha$ CT11 were observed with increasing PLE concentration. Retention time of each sample is reported relative to an  $\alpha$ CT11 control, which was set to 0.



**Figure A.35.** MALDI-TOF MS results of PLE-catalyzed hydrolysis of  $\alpha$ CT11-3OMe(D5,D6,E8), depicted in Figure S34. Hydrolysis was performed at a 1:1 (light orange), 10:1 (dark orange), and 100:1 (brown) ratios of PLE enzyme units:µmol  $\alpha$ CT11. Results between 1100-1250 m/z, where  $\alpha$ CT11 is expected to appear in MALDI-TOF MS, is inset.  $\alpha$ CT11-related peaks disappeared with increasing PLE concentration. Peak labels are defined in Table A.8.

PLE:αCT11 ratio (enzyme units: μmol peptide)	label	Mass (Da)	Intensity (%)	Species
	1	1106.103	6	[10Me + 1 imide + H]+
	2	1124.092	100	[1OMe + H]+
	3	1125.104	58	[1OMe + H]+
1.1	4	1126.117	18	[1OMe + H]+
1:1	5	1146.152	22	[10Me + Na]+
	6	1147.175	11	[10Me + Na]+
	7	1168.19	10	[1OMe + 2Na - H]+
	8	1190.196	6	[3OMe + K]+
	1	1105.794	10	N/A
	2	1106.489	6	[1OMe +1 imide + H]+
	3	1107.648	6	[1OMe +1 imide + H]+
10-1	4	1108.653	8	[1OMe +1 imide + H]+
10:1	5	1109.581	11	PLE
	6	1110.509	6	[αCT11 + H]+
	7	1111.593	6	[αCT11 + H]+
	8	1125.493	6	N/A
400.4	1	1109.735	10	PLE
100:1	2	1110.741	6	PLE

Table A.8. MALDI-TOF MS results of PLE-catalyzed hydrolysis of aCT11-3OMe(D5,D6,E8).

\*N/A refers to a sample that cannot be reliably attributed to either matrix or  $\alpha$ CT11.



**Figure A.36.** RP-HPLC chromatogram of PLE-catalyzed hydrolysis of  $\alpha$ CT11-4OMe. Hydrolysis was performed at a 1:1 (pink), 10:1 (red), and 100:1 (dark red) ratios of PLE enzyme units:µmol  $\alpha$ CT11. PLE controls for each condition (gray, dashed lines) and an  $\alpha$ CT11-4OMe control (red dashed line) and  $\alpha$ CT11 control (blue dashed line) are also pictured. The appearance of an unaccounted-for peak in  $\alpha$ CT11-4OMe + PLE samples were observed with increasing PLE concentration. Retention time of each sample is reported relative to an  $\alpha$ CT11 control, which was set to 0.



**Figure A.37.** MALDI-TOF MS results of PLE-catalyzed hydrolysis of  $\alpha$ CT11-4OMe, depicted in Figure S36. Hydrolysis was performed at a 1:1 (pink), 10:1 (red), and 100:1 (dark red) ratios of PLE enzyme units:µmol  $\alpha$ CT11. Results between 1100-1250 m/z, where  $\alpha$ CT11 is expected to appear in MALDI-TOF MS, is inset.  $\alpha$ CT11-related peaks disappeared with increasing PLE concentration. Peak labels are defined in Table A.9.

PLE:αCT11 ratio (enzyme units: µmol peptide)	label	Mass (Da)	Intensity (%)	Species
4.4	1	1120.358	7	[2OMe + 1 imide + H]+
	2	1138.383	100	[2OMe + H]+
	3	1139.402	59	[2OMe + H]+
1.1	4	1140.421	20	[2OMe + H]+
	5	1160.425	14	[20Me + Na]+
	6	1161.454	8	[20Me + Na]+
100:1	1	1107.493	5	?
	2	1109.658	7	PLE

**Table A.9.** MALDI-TOF MS results of PLE-catalyzed hydrolysis of αCT11-3OMe(D5,D6,E8).

\*N/A refers to a sample that cannot be reliably attributed to either matrix or  $\alpha$ CT11.

We varied the PLE concentration between 1:1, 10:1, and 100:1 enzyme units:µmol peptide and stirred the solution at 37 °C over 24 h. At all ratios, we observed the appearance of a new peak in the  $\alpha$ CT11 RP-HPLC chromatogram, which eluted later (xxx) than the  $\alpha$ CT11 peak, grew with increasing PLE concentration, and were not associated with any esterase peaks (**Figure A.32**). Further, while MALDI-TOF MS confirmed the presence of  $\alpha$ CT11 in the 1:1 PLE: $\alpha$ CT11 sample, we could identify no  $\alpha$ CT11 peaks in the 10:1 and 100:1 MALDI-TOF spectra (**Figure A.33, Table A.7**). Similar trends were observed for the -30Me(D5,D6,E8) and -40Me samples, where the 1:1 PLE:peptide MALDI-TOF spectra revealed species similar to those present in samples incubated in only 1X PBS after 1 d (**Figures A.34-A.37, Table A.8-A.9**), but a loss of  $\alpha$ CT11-related signal in both RP-HPLC chromatograms and MALDI-TOF MS spectra in both 10:1 and 100:1 PLE:peptide samples.



**Figure A.38.** RP-HPLC chromatograms  $\alpha$ CT11 in 100 mM carbonate buffer (pH 10) over 1 week. No changes were seen in RP-HPLC chromatograms at each tested timepoint, suggesting stability of  $\alpha$ CT11 under the basic conditions tested. Mobile phase composition (% ACN + 0.1% TFA, gray) was plotted against the retention time in each RP-HPLC chromatogram.



**Figure A.39.** Hydrolysis of  $\alpha$ CT11-3OMe(D5/D6/E8) in 100 mM carbonate buffer (pH 10.0). RP-HPLC chromatograms of  $\alpha$ CT11-3OMe(D5/D6/E8) (orange solid lines) are shown compared to unmodified  $\alpha$ CT11 (blue dashed lines) standards run at each timepoint. Standards were stored in 5 % ACN in which no hydrolysis was expected to occur. Full esterified peptide hydrolysis was observed after 3 d and was confirmed by LC-MS (Figure A.41). The experiment was repeated in triplicate, with each replicate plotted as a solid line with varying shades of orange (A, B, C). Retention time for each esterified sample was reported relative to that of  $\alpha$ CT11, which was set to a retention time of 0. Mobile phase composition (% ACN + 0.1% TFA, gray) was plotted against the retention time in each RP-HPLC chromatogram.



**Figure A.40.** Hydrolysis of  $\alpha$ CT11-4OMe in 100 mM carbonate buffer (pH 10.0). RP-HPLC chromatograms of  $\alpha$ CT11-4OMe (red solid lines) are shown compared to unmodified  $\alpha$ CT11 (blue dashed lines) standards run at each timepoint. Standards were stored in 5 % ACN in which no hydrolysis was expected to occur. Full esterified peptide activation was observed after 5 d and was confirmed by LC-MS (Figure A.42). The experiment was repeated in triplicate, with each replicate plotted as a solid line with varying shades of red (A, B, C). Retention time for each esterified sample was reported relative to that of  $\alpha$ CT11, which was set to a retention time of 0. Mobile phase composition (% ACN + 0.1% TFA, gray) was plotted against the retention time in each RP-HPLC chromatogram.



**Figure A.41.** LC-MS chromatograms of  $\alpha$ CT11-3OMe(D5,D6,E8) after 3 d of stirring in 100 mM carbonate buffer (pH 10.0) at 37 °C. Total ion chromatogram (top, gray) and selective ion chromatograms for  $\alpha$ CT11 with different numbers of esters and/or imides.



**Figure A.42.** LC-MS chromatograms of  $\alpha$ CT11-4OMe after 5 d of stirring in 100 mM carbonate buffer (pH 10.0) at 37 °C. Total ion chromatogram (top, gray) and selective ion chromatograms for  $\alpha$ CT11 with different numbers of esters and/or imides.

**Table A.10.** % Abundance and retention times (RT, min) of  $\alpha$ CT11-based formulations produced by the hydrolysis of  $\alpha$ CT11-3OMe(D5,D6,E8) in 100 mM carbonate buffer (pH 10) after 3 d, quantified by LC-MS.

			Ester #								Total	
(		0			1		2		3		4	TOLAI
		% Abundance	RT (min)	% Abundance								
			9.42									
	no imides	96.47	9.64	0.04	-	0.00	-	0.00	-	N/A	N/A	96.68
			9.79	1								
	1 imide	0.17		0.00	-	0.00	-	0.00	-	N/A	N/A	0.17
3 d	2 imides	0.00		0.00	-	0.00	-	N/A	N/A	N/A	N/A	0.00
**Table A.11.** % Abundance and retention times (RT, min) of  $\alpha$ CT11-based formulations produced by the hydrolysis of  $\alpha$ CT11-4OMe in 100 mM carbonate buffer (pH 10) after 5 d, quantified by LC-MS.

						Ester #							Total
			0		1		2		3		4		TOLAI
			% Abundance	RT (min)	% Abundance								
				9.41									
		no imides	97.46	9.65	0.61	-	0.00	-	0.00	-	0.00	-	0.00
				9.69									
		1 imide	0.51		0.00	-	0.00	-	0.00	-	N/A	N/A	0.51
	5 d	2 imides	0.00		0.00	-	0.00	-	N/A	N/A	N/A	N/A	0.00



**Figure A.43.** MALDI-TOF MS of  $\alpha$ CT11-3OMe(D5,D6,E8) after 2 h of incubation into 1X PBS. Peaks related to esterified  $\alpha$ CT11 with the loss of either 1 or 2 H<sub>2</sub>O molecules suggest the formation of intramolecular imides/amides.



**Figure A.44.** MALDI-TOF MS of  $\alpha$ CT11-4OMe after 2 h of incubation into 1X PBS. Peaks related to esterified  $\alpha$ CT11 with the loss of either 1 or 2 H<sub>2</sub>O molecules suggest the formation of intramolecular imides/amides.



**Figure A.45.** LC-MS chromatograms of  $\alpha$ CT11-3OMe(D5,D6,E8) after 5 min of incubation in 1X PBS (pH 7.4). Total ion chromatogram (top, gray) and selective ion chromatograms for  $\alpha$ CT11 with different numbers of esters and/or imides.



**Figure A.46.** LC-MS chromatograms of  $\alpha$ CT11-3OMe(D5,D6,E8) after 2 h of stirring in 1X PBS (pH 7.4) at 37 °C. Total ion chromatogram (top, gray) and selective ion chromatograms for  $\alpha$ CT11 with different numbers of esters and/or imides.



**Figure A.47.** LC-MS chromatograms of  $\alpha$ CT11-3OMe(D5,D6,E8) after 6 h of stirring in 1X PBS (pH 7.4) at 37 °C. Total ion chromatogram (top, gray) and selective ion chromatograms for  $\alpha$ CT11 with different numbers of esters and/or imides.



**Figure A.48.** LC-MS chromatograms of  $\alpha$ CT11-3OMe(D5,D6,E8) after 12 h of stirring in 1X PBS (pH 7.4) at 37 °C. Total ion chromatogram (top, gray) and selective ion chromatograms for  $\alpha$ CT11 with different numbers of esters and/or imides.



**Figure A.49.** LC-MS chromatograms of  $\alpha$ CT11-3OMe(D5,D6,E8) after 24 h of stirring in 1X PBS (pH 7.4) at 37 °C. Total ion chromatogram (top, gray) and selective ion chromatograms for  $\alpha$ CT11 with different numbers of esters and/or imides.



**Figure A.50.** LC-MS chromatograms of  $\alpha$ CT11-3OMe(D5,D6,E8) after 1 week of stirring in 1X PBS (pH 7.4) at 37 °C. Total ion chromatogram (top, gray) and selective ion chromatograms for  $\alpha$ CT11 with different numbers of esters and/or imides.



**Figure A.51.** LC-MS chromatograms of  $\alpha$ CT11-4OMe after 5 min of incubation in 1X PBS (pH 7.4). Total ion chromatogram (top, gray) and selective ion chromatograms for  $\alpha$ CT11 with different numbers of esters and/or imides.



**Figure A.52.** LC-MS chromatograms of  $\alpha$ CT11-4OMe after 2 h of stirring in 1X PBS (pH 7.4) at 37 °C. Total ion chromatogram (top, gray) and selective ion chromatograms for  $\alpha$ CT11 with different numbers of esters and/or imides.



**Figure A.53.** LC-MS chromatograms of  $\alpha$ CT11-4OMe after 6 h of stirring in 1X PBS (pH 7.4) at 37 °C. Total ion chromatogram (top, gray) and selective ion chromatograms for  $\alpha$ CT11 with different numbers of esters and/or imides.



**Figure A.54.** LC-MS chromatograms of  $\alpha$ CT11-4OMe after 12 h of stirring in 1X PBS (pH 7.4) at 37 °C. Total ion chromatogram (top, gray) and selective ion chromatograms for  $\alpha$ CT11 with different numbers of esters and/or imides.



**Figure A.55.** LC-MS chromatograms of  $\alpha$ CT11-4OMe after 24 h of stirring in 1X PBS (pH 7.4) at 37 °C. Total ion chromatogram (top, gray) and selective ion chromatograms for  $\alpha$ CT11 with different numbers of esters and/or imides.



**Figure A.56.** LC-MS chromatograms of  $\alpha$ CT11-4OMe after 1 week of stirring in 1X PBS (pH 7.4) at 37 °C. Total ion chromatogram (top, gray) and selective ion chromatograms for  $\alpha$ CT11 with different numbers of esters and/or imides.



**Figure A.57.** LC-MS chromatograms of relevant isotopes of αCT11-3OMe(D5,D6,E8) after 5 min of incubation in 1X PBS (pH 7.4). The ratio of the area of the monoisotope (569.81) and 3 additional isotope peaks in the chromatogram to just the area of the monoisotope is 1.86; thus, all monoisotope selective ion chromatogram areas were multiplied by 1.9 to account for the additional isotopes.



**Figure A.58.** LC-MS chromatograms of relevant isotopes of  $\alpha$ CT11-4OMe after 5 min of incubation in 1X PBS (pH 7.4). The ratio of the area of the monoisotope (576.82) and 3 additional isotope peaks in the chromatogram to just the area of the monoisotope is 1.93; thus, all monoisotope selective ion chromatogram areas were multiplied by 1.9 to account for the additional isotopes.

						Ester	#					Total
		0	0			2		3		4		
		% Abundance	RT (min)	% Abundance	RT (min)	% Abundance	RT (min)	% Abundance	RT (min)	% Abundance	RT (min)	% Abundance
	no imides	0.01	-	0.01	-	1.72	11.40	90.20	11.48	N/A	N/A	91.94
Initial	1 imide	0.03	-	0.03	-	0.01	-	0.01	-	N/A	N/A	0.08
	2 imides	0.00	-	0.03	-	0.00	-	N/A	N/A	N/A	N/A	0.03
	no imides	0.02	-	4.61	10.20 10.10	14.37	10.45 10.58	6.17	10.84	N/A	N/A	25.16
2 h	1 imides	0.27	-	39.95	10.78 11.11	5.23	11.22 11.49	0.00	-	N/A	N/A	45.44
	2 imides	0.25	-	31.04	11.19	0.00	-	N/A	N/A	N/A	N/A	31.30
	no imides	0.73	-	65.37	10.61	11.39	10.09	0.01	-	N/A	N/A	77.49
6 h	1 imide	0.46	-	22.03	10.74 11.20 11.40 11.60	0.02	-	0.00	-	N/A	N/A	22.52
	2 imides	0.20	-	0.28	-	0.00	-	N/A	N/A	N/A	N/A	0.48
	no imides	2.22	10.12 10.34	80.13	10.42 10.60	4.73	10.88 11.02	0.02	-	N/A	N/A	87.10
12 h	1 imide	0.53	-	13.10	11.36 11.60	0.01	-	0.00	-	N/A	N/A	13.65
	2 imides	0.01	-	0.04	-	0.00	-	N/A	N/A	N/A	N/A	0.05
	no imides	4.88	10.12 10.34	85.16	10.45 10.61 11.20	6.64	11.58 11.75 12.03	0.04	-	N/A	N/A	96.72
1 d	1 imide	0.30	-	3.45	11.22 11.33 12.03	0.18	-	0.00	-	N/A	N/A	3.93
	2 imides	0.00	-	0.00	-	0.00	-	N/A	N/A	N/A	N/A	0.00
	no imides	20.37	10 10.25	78.69	10.40 10.64	0.21	-	0.01	-	N/A	N/A	99.28
7 d	1 imide	0.39	-	1.23	11.11 11.30	0.00	-	0.00	-	N/A	N/A	1.62
	2 imides	0.00		0.00		0.00		N/A	N/A	N/A	N/A	0.00

**Table A.12.** % Abundance and retention time (RT, min) of  $\alpha$ CT11-based formulations produced by the hydrolysis of  $\alpha$ CT11-3OMe(D5,D6,E8) in 1X PBS over 7 d, quantified by LC-MS.

\*Only retention times for products over 1% abundance were reported

		Ester #					<b>T</b>					
		0		1		2	2		3		4	Iotai
		% Abundance	RT (min)	% Abundance	RT (min)	% Abundance	RT (min)	% Abundance	RT (min)	% Abundance	RT (min)	% Abundance
	no imides	0.03	-	0.00	-	0.07	-	5.13	12.48	71.99	12.54	77.22
	1 imide	0.00	-	0.01	-	0.33	-	2.11	12.87	N/A	N/A	2.46
Initial	2 imides	0.00	-	0.01	-	0.01	-	N/A	N/A	N/A	N/A	0.03
	no imides	0.00	-	0.07	-	9.41	11.20 11.32	16.00	11.51	3.92	11.78	29.40
	1 imides	0.00	-	0.79	-	47.38	11.49 12.03	3.78	11.88	N/A	N/A	51.96
2 h	2 imides	0.00	-	0.49	11.19	18.73	11.98 12.26	N/A	N/A	N/A	N/A	19.22
	no imides	0.01	-	1.77	10.75 11.37 11.53	77.49	11.87 11.84 12.08	5.85	12.08	0.03	-	85.14
	1 imide	0.01	-	0.95	-	11.51	12.11 12.58 12.74	0.01	-	N/A	N/A	12.47
6 h	2 imides	0.00	-	0.06	-	0.06	-	N/A	N/A	N/A	N/A	0.12
	no imides	0.03	-	5.47	11.17 11.37	83.59	11.53 11.76	2.89	12.00	0.00	-	91.99
	1 imide	0.01	-	0.96	-	7.33	12.46 12.65	0.00	-	N/A	N/A	8.31
12 h	2 imides	0.00	-	0.01	-	0.01	-	N/A	N∕A	N/A	N/A	0.03
	no imides	0.94	-	30.46	10.40 10.57 10.73	64.98	11.60 11.75 12.01	0.32	-	0.01	-	96.70
	1 imide	0.11	-	1.89	11.17 11.31 11.53	1.75	12.50 12.67 12.81	0.00	-	N/A	N/A	3.75
1 d	2 imides	0.00	-	0.01	-	0.00	-	N/A	N/A	N/A	N/A	0.00
	no imides	2.61	11.79	41.05	11.91 12.23 12.32	55.73	12.52	0.00	-	0.00	-	99.39
	A factor	0.44		0.00	12.52	0.40	12.61	0.00		N//A	<b>N1/A</b>	
	1 imide	0.11	-	0.60	-	0.43	-	0.00	-	N/A	N/A	1.14
7 d	2 imides	0.00	-	0.00	-	0.00	-	N/A	N/A	N/A	N/A	

**Table A.13.** % Abundance and retention times (RT, min) of  $\alpha$ CT11-based formulations produced by the hydrolysis of  $\alpha$ CT11-3OMe4OMe in 1X PBS over 7 d, quantified by LC-MS

\*Only retention times for products over 1% abundance were reported



**Figure A.59.** MALDI-TOF MS of  $\alpha$ CT11-3OMe(D5/D6/E8) after the trifluoroacetate counterion exchange. Multiple esterified  $\alpha$ CT11 species, but no activated  $\alpha$ CT11, were detected, suggesting a small amount of hydrolysis over the counterion exchange.



**Figure A.60.** MALDI-TOF MS of  $\alpha$ CT11-4OMe after the trifluoroacetate counterion exchange. Multiple esterified  $\alpha$ CT11 species, but no activated  $\alpha$ CT11, were detected, suggesting a small amount of hydrolysis over the counterion exchange.

Cell	Vehicle		αCT11		30Me(D5	,D6,E8)	40Me	
Culture:	Rel. MI	StDev	Rel. MI	StDev	Rel. MI	StDev	Rel. MI	StDev
1	1.0	0.3	1.7	1.2	6.0	1.7	8.1	0.9
2	1.0	0.3	1.4	0.5	5.3	1.4	6.6	0.7
3	1.0	0.8	1.0	0.4	3.1	0.5	4.0	0.5
Average	1.0	0.0	1.4	0.4	4.8	1.5	6.2	2.1

**Table A.14.** Scratch wound assay results: relative migration indices (Rel. Mis) and standard deviation for each individual scratched cell culture and their averages.

**Table A.15.** Statistical analysis of scratch wound assays: one-tailed paired t-tests assuming unequal variances (confidence interval = 0.95).

Treatment	Vehicle	αCT11	30Me(D5,D6,E8)	40Me
Vehicle		0.11	0.02	0.02
αCT11			0.03	0.03
3OMe(D5,D6,E8)				0.19
40Me				



**Figure A.61.** MALDI-TOF MS of RPRPDDLEA ( $\alpha$ CT11-A). Sample was cocrystallized with CHCA matrix and measured using a Shimadzu MALDI-8020 spectrometer after purification of the sample by preparative scale RP-HPLC. Numerical labels are defined in Table A.16.

label	Mass (Da)	Intensity (%)	Species		
1	1067.453	100			
2	1068.516	56	[αCT11-A + H]+		
3	1069.503	18			
4	1089.497	13			
5	1090.494	7	[dCTTT-A + Na]+		
6	1111.457	5			
7	1112.463	3	$[\alpha C T T - A + 2 Na - H] +$		
8	1133.4	3	[αCT11-A + 3Na - 2H]+		

**Table A.16.** MALDI-TOF MS results of αCT11-A. Labels correspond to Figure A.61.



**Figure A.62.** MALDI-TOF MS of RPRPDDLEA with 4 methyl esters installed ( $\alpha$ CT11-A-4OMe). Sample was cocrystallized with CHCA matrix and measured using a Shimadzu MALDI-8020 spectrometer after purification of the sample by preparative scale RP-HPLC. Numerical labels are defined in Table A.17.

label	Mass (Da)	Intensity (%)	Species
1	1124.331	100	
2	1125.421	71	[αCT11-A-4OMe + H]+
3	1126.434	32	
4	1146.392	25	
5	1147.414	18	[αCT11-A-4OMe + Na]+
6	1148.358	9	

**Table A.17.** MALDI-TOF MS results of αCT11-A-4OMe. Labels correspond to Figure A.62.



**Figure A.63.** MALDI-TOF MS of RPRPDDLEG ( $\alpha$ CT11-G). Sample was cocrystallized with CHCA matrix and measured using a Shimadzu MALDI-8020 spectrometer after purification of the sample by preparative scale RP-HPLC. Numerical labels are defined in table A.18.

label	Mass (Da)	Intensity (%)	Species
1	1053.31	100	
2	1054.365	69	[αCT11-G + H]+
3	1055.422	28	
4	1075.36	9	
5	1076.351	7	
6	1097.331	3	[αCT11-G + 2Na - H]+

**Table A.18.** MALDI-TOF MS results of αCT11-G. Labels correspond to Figure A.63.



**Figure A.64.** MALDI-TOF MS of RPRPDDLEG with 4 methyl esters installed ( $\alpha$ CT11-G-4OMe). Sample was cocrystallized with CHCA matrix and measured using a Shimadzu MALDI-8020 spectrometer after purification of the sample by preparative scale RP-HPLC. Numerical labels are defined to Table A.19.

label	Mass (Da)	Intensity (%)	Species
1	1110.052	100	
2	1111.135	67	[αCT11-G-4OMe + H]+
3	1112.141	27	
4	1132.129	26	
5	1133.145	17	[αCT11-G-4OMe + Na]+
6	1134.161	7	

Table A.19. MALDI-TOF MS results of aCT11-A-4OMe. Labels correspond to Figure A.64.



**Figure A.65.** MALDI-TOF MS of RPRPDDLEQ ( $\alpha$ CT11-Q). Sample was cocrystallized with CHCA matrix and measured using a Shimadzu MALDI-8020 spectrometer after purification of the sample by preparative scale RP-HPLC. Numerical labels are defined in Table A.20.

label	Mass (Da)	Intensity (%)	Species
1	1124.27	100	
2	1125.283	63	[αCT11-Q + H]+
3	1126.296	24	
4	1146.339	10	
5	1147.283	6	[αCT11-Q + Na]+
6	1168.306	4	

**Table A.20.** MALDI-TOF MS results of αCT11-Q. Labels correspond to Figure A.65.



**Figure A.66.** MALDI-TOF MS of RPRPDDLEQ with 5 methyl esters installed ( $\alpha$ CT11-Q-5OMe). Sample was cocrystallized with CHCA matrix and measured using a Shimadzu MALDI-8020 spectrometer after purification of the sample by preparative scale RP-HPLC. Numerical labels are defined in Table A.21.

label	Mass (Da)	Intensity (%)	Species
1	1182.598	7	[αCT11-Q-4OMe/1COOH + H]+
2	1196.531	100	
3	1197.656	74	[αCT11-5OMe + H]+
4	1198.62	33	
5	1218.638	20	
6	1219.611	15	[αCT11-5OMe + Na]+
7	1220.584	7	

**Table A.21.** MALDI-TOF MS results of αCT11-Q-5OMe. Labels correspond to Figure A.66.



**Figure A.67.** MALDI-TOF MS of crude  $\alpha$ CT11 with a C-terminal I9 amide ( $\alpha$ CT11-1NH<sub>2</sub>(I9)), as shown in **Figure 2.7**. 2 major peaks were collected through purification, with **a**) showing MALDI-TOF MS of the peak eluting at 11.2 min and **b**) showing the peak at 14.0 min. Samples were cocrystallized with CHCA matrix and measured using a Shimadzu MALDI-8020 spectrometer after purification of the sample by preparative scale RP-HPLC. Numerical labels are defined in Table A.22.

label	Mass (Da)	Intensity (%)	Species
1	1108.671	100	
2	1109.754	64	
3	1110.76	23	
4	1111.766	6	
5	1130.665	15	
6	1131.681	8	$[u \in I \cap I \cap \Pi Z + Na] +$
7	1152.639	6	
8	1153.664	3	$[u \in I \cap I$
9	1214.806	100	
10	1215.939	75	[αCT11-1NH2 + 105 + H]+
11	1216.992	36	
12	1236.925	10	
13	1237.905	7	[uC111-1Nn2 + 105 + Na]+
14	1258.914	3	[αCT11-1NH2 + 105 + 44]+

Table A.22. MALDI-TOF MS of crude  $\alpha$ CT11-1NH<sub>2</sub>(I9). Labels correspond to Figure A.67.



**Figure A.68.** MALDI-TOF MS of crude esterified  $\alpha$ CT11-1NH<sub>2</sub>(I9) ( $\alpha$ CT11-1NH<sub>2</sub>(I9)-OMe), as shown in Figure 5.2. Crude  $\alpha$ CT11-1NH<sub>2</sub>(I9) (Figure 2.7, A.67, Table A.22) was esterified at a 5000:1 MeOH: $\alpha$ CT11 ratio, with a solvent of 95:5 MeOH:HCI (v/v), for 24 h at 40 °C. 2 major peaks were collected through purification, with **a**) showing MALDI-TOF MS of the peak eluting at 14.0 min and **b**) showing the peak at 16.6 min. Samples were cocrystallized with CHCA matrix and measured using a Shimadzu MALDI-8020 spectrometer after purification of the sample by preparative scale RP-HPLC. Numerical labels are defined in Table A.23.

label	Mass (Da)	Intensity (%)	Species
1	1150.511	100	
2	1151.614	65	[αCT11-1NH2/3OMe + H]+
3	1152.56	26	
4	1172.517	24	
5	1173.631	15	[αCT11-1NH2/3OMe + Na]+
6	1174.506	5	
7	1151.457	5	
8	1152.481	3	
9	1256.443	100	
10	1257.514	70	[αCT11-1NH2/3OMe + 105 + H]+
11	1258.502	23	
12	1278.439	49	
13	1279.435	31	
14	1280.433	11 [αCTTT-TNH2/3OMe + 105 + Na	
15	1281.43	3	

Table A.23. MALDI-TOF MS of crude  $\alpha$ CT11-1NH<sub>2</sub>(I9)-OMe. Labels correspond to Figure A.68.



**Figure A.69.** MALDI-TOF MS of crude esterified  $\alpha$ CT11-1NH<sub>2</sub>(I9) ( $\alpha$ CT11-1NH<sub>2</sub>(I9)-OMe), as shown in Figure 5.2. Crude  $\alpha$ CT11-1NH<sub>2</sub>(I9) (Figure 2.7, A.67, Table D.22) was esterified at a 10000:1 MeOH: $\alpha$ CT11 ratio, with a solvent of 47.5:47.5:5 MeOH:DMF:HCI (v/v), for 68 h at 40 °C. Sample was cocrystallized with CHCA matrix and measured using a Shimadzu MALDI-8020 spectrometer. Numerical labels are defined in Table A.24.

**Table A.24.** MALDI-TOF MS of crude  $\alpha$ CT11-1NH<sub>2</sub>(I9)-OMe, esterified at a 10000:1 MeOH: $\alpha$ CT11 ratio, with a solvent of 47.5:47.5:5 MeOH/DMF/HCI (v/v), for 68 h at 40 °C. Labels correspond to Figure A.69.

label	Mass (Da)	Intensity (%)	Species
1	1123.437	40	
2	1124.449	20	[αCT11-1NH2-1OMe + H]+
3	1125.656	3	
4	1137.488	54	
5	1138.428	35	
6	1139.446	12	
7	1140.7	3	
8	1151.547	13	
9	1152.492	6	[αCT11-1NH2-3OMe + H]+
10	1153.596	3	
11	1229.478	100	
12	1230.455	67	[-0.711, 10]
13	1231.514	18	
14	1232.329	4	
15	1243.438	93	
16	1244.503	84	[αCT11-1NH2-2OMe + H + 106 Da]+
17	1245.567	19	
18	1257.435	13	
19	1258.382	8	[αCT11-1NH2-3OMe + H + 106 Da]+
20	1259.536	3	



**Figure A.70.** MALDI-TOF MS of crude esterified  $\alpha$ CT11-1NH<sub>2</sub>(I9) ( $\alpha$ CT11-1NH<sub>2</sub>(I9)-OMe), as shown in Figure 5.2. Crude  $\alpha$ CT11-1NH<sub>2</sub>(I9) (Figure 2.7, A.67, Table D.22) was esterified at a 10000:1 MeOH: $\alpha$ CT11 ratio, with a solvent of 45:45:10 MeOH:DMF:HCI (v/v), for 68 h at 40 °C. Sample was cocrystallized with CHCA matrix and measured using a Shimadzu MALDI-8020 spectrometer. Numerical labels are defined in Table A.25.

**Table A.25.** MALDI-TOF MS of crude  $\alpha$ CT11-1NH<sub>2</sub>(I9)-OMe, esterified at a 10000:1 MeOH: $\alpha$ CT11 ratio, with a solvent of 45:45:10 MeOH:DMF:HCI (v/v), for 68 h at 40 °C. Labels correspond to Figure A.70.

label	Mass (Da)	Intensity (%)	Species
1	1119.783	13	
2	1120.793	8	[αCT11-1NH2/2OMe -H2O + H]+
3	1121.804	4	
4	1123.749	27	
5	1124.761	19	[αCT11-1NH2-1OMe + H]+
6	1125.851	6	
7	1137.723	86	
8	1138.819	55	
9	1139.838	25	
10	1140.857	4	
11	1151.783	71	
12	1152.808	49	
13	1153.912	20	
14	1154.937	4	
15	1229.234	19	
16	1229.804	28	
17	1230.293	14	[αCT11-1NH2-1OMe + H + 106 Da]+
18	1230.781	17	
19	1231.84	4	
20	1243.274	100	
21	1243.766	64	
22	1244.257	65	
23	1244.83	42	[αCT11-1NH2-2OMe + H + 106 Da]+
24	1245.895	13	
25	1246.797	4	
26	1247.289	3	
27	1257.312	57	
28	1257.806	32	
29	1258.3	48	[αCT11-1NH2/3OMe + H + 106 Da]
30	1258.876	22	
31	1259.865	6	



**Figure A.71.** MALDI-TOF MS of crude esterified  $\alpha$ CT11-1NH<sub>2</sub>(I9) ( $\alpha$ CT11-1NH<sub>2</sub>(I9)-OMe), as shown in Figure 5.2. Crude  $\alpha$ CT11-1NH<sub>2</sub>(I9) (Figure 2.7, A.67, Table D.22) was esterified at a 10000:1 MeOH: $\alpha$ CT11 ratio, with a solvent of 95:5 MeOH:HCI (v/v), for 68 h at 40 °C. Sample was cocrystallized with CHCA matrix and measured using a Shimadzu MALDI-8020 spectrometer. Numerical labels are defined in Table A.26.

label	Mass (Da)	Intensity (%)	Species
1	1119.239	15	
2	1120.249	10	[αCT11-1NH2/2OMe -H2O + H]+
3	1121.26	4	
4	1134.202	10	
5	1135.218	8	[αCT11-3OMe - H2O + H]+
6	1136.235	3	
7	1137.253	3	[αCT11-1NH2/2OMe + H]+
8	1151.232	34	[αCT11-1NH2/3OMe + H]+
9	1152.256	28	
10	1153.202	13	
11	1166.247	33	
12	1167.278	25	[αCT11-4OMe + H]+
13	1168.23	11	
14	1173.235	5	$[\alpha CT11, 1NH2/3 CMo + No]+$
15	1174.269	4	$[\alpha \cup 1] + 1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 $
16	1188.232	4	$[\alpha CT11 \ 4 OMe + Ne]+$
17	1189.193	3	
18	1225.168	18	
19	1226.224	14	$[\alpha CT11 \ 1NH2/2CM_{2} \ H2C + H + 106 \ D_{2}]+$
20	1227.2	7	
21	1228.176	3	
22	1243.192	11	[αCT11-1NH2-2OMe + H + 106 Da]+
23	1244.175	8	
24	1245.158	4	
25	1257.147	100	[αCT11-1NH2/3OMe + H + 106 Da]+
26	1258.218	87	
27	1259.206	50	
29	1279.305	7	[αCT11-1NH2/3OMe + Na + 106 Da]+
30	1280.301	6	

**Table A.26.** MALDI-TOF MS of crude  $\alpha$ CT11-1NH<sub>2</sub>(I9)-OMe, esterified at a 10000:1 MeOH: $\alpha$ CT11 ratio, with a solvent of 95:5 MeOH:HCI (v/v), for 68 h at 40 °C. Labels correspond to Figure A.71.



**Figure A.72.** MALDI-TOF MS of crude esterified  $\alpha$ CT11-1NH<sub>2</sub>(I9) ( $\alpha$ CT11-1NH<sub>2</sub>(I9)-OMe), as shown in Figure 5.2. Crude  $\alpha$ CT11-1NH<sub>2</sub>(I9) (Figure 2.7, A.67, Table D.22)was esterified at a 10000:1 MeOH: $\alpha$ CT11 ratio, with a solvent of 90:10 MeOH:HCI (v/v), for 68 h at 40 °C. Sample was cocrystallized with CHCA matrix and measured using a Shimadzu MALDI-8020 spectrometer. Numerical labels are defined in Table A.27.

label	Mass (Da)	Intensity (%)	Species
1	1119.006	15	[αCT11-1NH2/2OMe -H2O + H]+
2	1120.016	13	
3	1121.026	7	
4	1134.045	21	
5	1134.984	16	[αCT11-3OMe - H2O + H]+
6	1136.001	8	
7	1137.096	7	
8	1138.036	5	
9	1151.074	40	[αCT11-1NH2/3OMe + H]+
10	1152.02	42	
11	1153.044	23	[αCT11-1NH2/3OMe + H]+ or [αCT11-3OMe + H]+
12	1153.99	8	
13	1166.089	64	
14	1167.04	50	[αCT11-4OMe + H]+
15	1168.071	25	
16	1173.155	4	
17	1174.109	3	
18	1188.072	4	
19	1189.113	4	$[\alpha C + 11-40]$ me + $[\alpha C + 11-40]$
20	1225.005	21	[αCT11-1NH2/2OMe -H2O + H + 106 Da]+
21	1225.98	18	
22	1226.956	9	
23	1227.932	4	
24	1243.029	21	[αCT11-1NH2-2OMe + H + 106 Da]+
25	1244.011	18	
26	1244.994	9	
27	1256.983	100	[αCT11-1NH2/3OMe + H + 106 Da]+
28	1258.053	92	

**Table A.27.** MALDI-TOF MS of crude  $\alpha$ CT11-1NH<sub>2</sub>(I9)-OMe, esterified at a 10000:1 MeOH: $\alpha$ CT11 ratio, with a solvent of 90:10 MeOH:HCI (v/v), for 68 h at 40 °C. Labels correspond to Figure A.72.


**Figure A.73.** MALDI-TOF MS of crude synthesized  $\alpha$ CT11 with 1 allyl ester in the D5 position ( $\alpha$ CT11-1OAll(D5)), as shown in Figure 2.8. Spectra show the presence of aspartimides, either through intramolecular cyclization with the peptide backbone amides or the addition of piperidine during synthesis. Sample was cocrystallized with CHCA matrix and measured using a Shimadzu MALDI-8020 spectrometer. Numerical labels are defined in Table A.28.

label	Mass (Da)	Intensity (%)	Species			
1	1092.45	38				
2	1093.448	27	[αCT11 - H2O + H]+			
3	1094.446	12				
4	1159.439	10				
5	1160.467	8	CaCT11 H2O + Disperiding + H1+			
6	1161.416	4				
7	1162.445	3				
8	1177.453	100				
9	1178.569	87				
10	1199.623	15	[αCT11 + Piperidine + H]+			
11	1200.588	13				
12	1201.554	7				



**Figure A.74.** MALDI-TOF MS of crude synthesized  $\alpha$ CT11 with 1 allyl ester in the D6 position ( $\alpha$ CT11-1OAII(D6)), as shown in Figure 2.8. Spectra show the presence of intramolecular aspartimides. Sample was cocrystallized with CHCA matrix and measured using a Shimadzu MALDI-8020 spectrometer. Numerical labels are defined in Table A.29.

label	Mass (Da)	Intensity (%)	Species
1	1092.373	49	
2	1093.371	34	[αCT11 - H2O + H]+
3	1094.369	14	
4	1132.404	14	
5	1133.42	11	[αCT11-1OAll -H2O + H]+
6	1134.358	5	
7	1150.365	100	
8	1151.389	77	
9	1152.414	38	
10	1153.36	14	
11	1172.439	12	
12	1173.473	9	[αCT11-1OAll + Na]+
13	1174.428	5	
14	1263.494	15	
15	1264.484	12	[αCT11-1OAll + Leu/lle + H]+
16	1265.475	6	

Table A.29. MALDI-TOF MS of crude synthesized αCT11-1OAll(D6). Labels correspond to Figure A.74.



**Figure A.75.** MALDI-TOF MS of crude synthesized  $\alpha$ CT11 with 1 allyl ester in the E8 position ( $\alpha$ CT11-1OAII(E8)), as shown in Figure 2.8. Spectra show a very small presence of intramolecular aspartimides. Sample was cocrystallized with CHCA matrix and measured using a Shimadzu MALDI-8020 spectrometer. Numerical labels are defined in Table A.30.

label	Mass (Da)	Intensity (%)	Species	
1	1132.248	17		
2	1133.264	13	[αCT11-1OAll -H2O + H]+	
3	1134.28	5		
4	1150.208	100		
5	1151.311	75	[αCT11-1OAll + H]+	
6	1152.335	37		
7	1172.28	14		
8	1173.314	10	[αCT11-1OAll + Na]+	
9	1174.269	5		

**Table A.30.** MALDI-TOF MS of crude synthesized αCT11-1OAll(E8). Labels correspond to Figure A.75.



**Figure A.76.** MALDI-TOF MS of crude synthesized  $\alpha$ CT11 with 3 allyl ester in the D5, D6, and E8 positions ( $\alpha$ CT11-3OAII(D5,D6,E8)), as shown in Figure 2.8. Spectra shows the presence of imides, either through intramolecular binding with peptide backbone amides, the addition of piperidine, or both, with very little signal representing the true molecular weight of the sample (20-22). Sample was cocrystallized with CHCA matrix and measured using a Shimadzu MALDI-8020 spectrometer. Numerical labels are defined in Table A.31.

**Table A.31.** MALDI-TOF MS of crude synthesized  $\alpha$ CT11-3OAII(D5,D6,E8). Labels correspond to Figure A.76.

label	Mass (Da)	Intensity (%)	Species		
1	1114.041	13			
2	1115.049	9	[αCT11-1OAII - 2H2O - H]+		
3	1116.057	3			
4	1171.963	39			
5	1172.996	29			
6	1174.03	13	[uCTTT-20All - H20 + H]+		
7	1175.064	4			
8	1198.98	100			
9	1200.106	81	COT11 10All + Disperiding - H20 + H1+		
10	1201.152	42			
11	1202.117	17			
12	1221.108	13			
13	1222.163	11	[αCT11-1OAll + Piperidine - H2O + Na]+		
14	1223.137	6			
20	1230.13	9			
21	1231.107	7	[αCT11-3OAll + H]+		
22	1232.085	3			
23	1257.147	41			
24	1258.135	33			
25	1259.124	17	[aCT11-2OAll + Piperidine + H]+		
26	1260.112	7			
27	1261.102	3			
28	1312.147	15			
29	1313.156	12	[αCT11-1OAll + Piperidine - H2O + Leu/lle + H]+		
30	1314.166	6			

# CHAPTER B::

# SUPPLEMENTARY INFORMATION FOR CHAPTER 3: ESTERIFICATION TO ENABLE REVERSIBLE ENCAPSULATION OF THERAPEUTIC PETPIDES INTO POLYELECTROLYTE COMPLEXES (PECS)

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Figure B.1. <sup>1</sup>H Nuclear magnetic resonance (NMR) spectroscopy-based end group analysis of poly(methacrylic acid) to estimate the degree of polymerization (DP) of the polymer. <sup>1</sup>H NMR spectroscopy was performed on a Bruker 400 MHz spectrometer. Spectrum is referenced to deuterated dimethylsulfoxide (DMSO-d6). .....B-2 Figure B.2. Characterization of aCT11-4OMe. a) RP-HPLC of purified aCT11-4OMe, which had over 95% purity. b) MALDI-TOF MS spectra of aCT11-4OMe, with a spectrum zoomed in between 1000 and 1400 m/z inset. Labels in the inset spectrum correspond to Table B.1.....B-3 Figure B.3. Characterization of aCT11. a) RP-HPLC of purified aCT11, which had over 95% purity. b) MALDI-TOF MS spectra of αCT11, with a spectrum zoomed in between 1000 and 1400 m/z inset. Labels in the inset spectrum correspond to Table B.2. ......B-5 Figure B.4. Turbidity of aCT11-4OMe and PMAA mixtures (blue diamonds), aCT11 and PMAA mixtures (purple, diamonds), and PMAA solutions (red) at a) 1.50, b) 1.00, c) 0.50, and d) 0.25 mg PMAA/mL solution. All solutions were made in 26 mM phosphate buffer (pH 7.4). .....B-7 Figure B.5. Unedited RP-HPLC traces of PMAA/4OMe (blue, solid line), αCT11-4OMe (blue, dashed line), or αCT11 alone (purple, dashed line). Retention times of αCT11-

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Table	B.2.	Molecular	weight	of	αCT11-4OMe	from	MALDI-TOF	MS,	with	labels
corres	pondii	ng to those	listed in	Fig	ure A.3					B-5



**Figure B.1.** <sup>1</sup>H Nuclear magnetic resonance (NMR) spectroscopy-based end group analysis of poly(methacrylic acid) to estimate the degree of polymerization (DP) of the polymer. <sup>1</sup>H NMR spectroscopy was performed on a Bruker 400 MHz spectrometer. Spectrum is referenced to deuterated dimethylsulfoxide (DMSO-d6).



**Figure B.2.** Characterization of  $\alpha$ CT11-4OMe. **a)** RP-HPLC of purified  $\alpha$ CT11-4OMe, which had over 95% purity. **b)** MALDI-TOF MS spectra of  $\alpha$ CT11-4OMe, with a spectrum zoomed in between 1000 and 1400 m/z inset. Labels in the inset spectrum correspond to **Table B.1**.

label	Mass (Da)	Intensity (%)	Species
1	1133.3	11	
2	1134.2	9	[αCT11-3OMe -H2O + H]+
3	1135.2	5	
4	1150.3	3	?*
5	1151.2	7	
6	1152.1	5	
7	1155.1	3	[αCT11-3OMe - H2O + Na]+
8	1165.3	100	
9	1166.4	88	
10	1174.1	3	?*
11	1174.5	3	
12	1174.9	3	
13	1187.5	51	
14	1188.5	46	
15	1195.5	3	[αCT11-3OMe + 2Na - H]+
16	1203.7	6	
17	1204.5	6	[αυτιτ-40///θ + κ]+
18	1264.7	4	?*
19	1265.6	4	?*

**Table B.1.** Molecular weight of  $\alpha$ CT11-4OMe from MALDI-TOF MS, with labels corresponding to those listed in Figure B.2.

\*? corresponds to a molecular weight that could not be attributed to either  $\alpha$ CT11-4OMe or the CHCA matrix. As MALDI-TOF MS is not representative of the quantity of different molecules in the peptide, we still used the peptide as it had a > 95% purity by RP-HPLC



**Figure B.3.** Characterization of  $\alpha$ CT11. **a)** RP-HPLC of purified  $\alpha$ CT11, which had over 95% purity. **b)** MALDI-TOF MS spectra of  $\alpha$ CT11, with a spectrum zoomed in between 1000 and 1400 m/z inset. Labels in the inset spectrum correspond to **Table B.2**.

**Table B.2.** Molecular weight of  $\alpha$ CT11-4OMe from MALDI-TOF MS, with labels corresponding to those listed in Figure B.3.

label	Mass (Da)	Intensity (%)	Species
1	1109.6	100	[αCT11 + H]+
2	1110.7	79	
3	1131.8	29	[αCT11 + Na]+

4	1132.8	23	
5	1133.8	12	
6	1147.9	3	[αCT11 + K]+
7	1153.8	14	
8	1154.8	11	[αCT11 + 2Na - H]+
9	1155.7	6	
10	1175.8	7	[αCT11 + 3Na - 2H]+
11	1176.8	6	
12	1197.8	4	[αCT11 + 4Na - 3H]+
13	1198.8	3	



**Figure B.4.** Turbidity of  $\alpha$ CT11-4OMe and PMAA mixtures (blue diamonds),  $\alpha$ CT11 and PMAA mixtures (purple, diamonds), and PMAA solutions (red) at **a**) 1.50, **b**) 1.00, **c**) 0.50, and **d**) 0.25 mg PMAA/mL solution. All solutions were made in 26 mM phosphate buffer (pH 7.4).



**Figure B.5.** Unedited RP-HPLC traces of PMAA/4OMe (blue, solid line),  $\alpha$ CT11-4OMe (blue, dashed line), or  $\alpha$ CT11 alone (purple, dashed line). Retention times of  $\alpha$ CT11-4OMe peak in each plot is listed.

**Figure B.5** shows unedited chromatograms of the various timepoints measured over 22 h. As RP-HPLC chromatograms are highly dependent upon mobile phase preparation, even minute changes in mobile phase composition could affect the retention time of peaks. To that end observed some variation in the retention times of  $\alpha$ CT11-4OMe-related peaks in each of the chromatograms over time. We first aligned the  $\alpha$ CT11-

40Me peak in both the PMAA/40Me and  $\alpha$ CT11-40Me samples to more directly compare the effect of hydrolysis on  $\alpha$ CT11-40Me, and then set the relative retention time of each  $\alpha$ CT11-40Me peak that of the -40Me peak at the initial timepoint (4.70 min). To identify the  $\alpha$ CT11-40Me peaks, especially in later timepoints, we selected peaks until we noticed all peaks (especially the peak at ~6.5 min) aligned over the 22 h.

# CHAPTER C::

# SUPPLEMENTARY INFORMATION FOR CHAPTER 4: EXPANDING THE DESIGN SPACE OF POLYMER-METAL ORGANIC FRAMEWORK (MOF) GELS BY UNDERSTANDING POLYMER-MOF INTERACTIONS

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(blue), and UiO-66 (purple). Two separate experiments with UiO-66 are depicted, where the concentration of UiO-66 added into the solution was normalized by total weight (4 mg sample/mL) and by Zr weight (0.16 mg sample/mL), relative to the PVA-UiO-66 composite hydrogels. While the PVA-UiO-66 composite hydrogel, PVA-Zr-oxo hydrogel, and UiO-66 normalized by Zr weight (0.16 mg sample/mL) all reached sorptive capacity after 7 d, as they all sorbed less than 100% of the MB in solution, the UiO-66 samples normalized by total weight (4 mg sample/mL) sorbed ~100% of the MB in the solution, suggesting that it was not at sorptive capacity. Therefore, to ensure all analyzed samples were at sorptive capacity, the Zr-weight normalized UiO-66 samples (0.16 mg sample/mL) were used to compare to the gel samples. Plotted bars represent the average of three independently synthesized samples incubated with MB for 7 d. Error bars represent the standard Figure C.29. MB sorptive capacity of various PVA-MOF composite hydrogels. Amount of MB sorbed into each PVA-MOF hydrogel shown in Figure 3 (PVA-UiO-66, PVA-MOF-525, PVA-UiO-67, and PVA-NU-901) (red) and PVA-Zr-oxo (blue) hydrogels after 7 d, normalized by the weight of each hydrated gels added to the MB solution. All synthesized PVA-MOF composite hydrogels exhibited higher MB sorptive capacity than the PVA-Zroxo control hydrogels. Each gel was synthesized with 2 wt% PVA, and lightly agitated in solution of MB over 7 d......C-28 Figure C.30. Characterization of Ang 1-7 peptide. a) Analytical reverse phase high performance liquid chromatography (RP-HPLC) of Ang 1-7 before (black) and after purification (red) using preparative scale RP-HPLC. Analytical RP-HPLC was performed on a Waters E2695 Alliance Separations Module, using a 4.5 mm x 50 mm XBridge C18 3.5 µm chromatographic separation column, where the acetonitrile gradient is plotted in blue. Preparative scale RP-HPLC was performed on a Waters Empower system, using a 30 mm x 150 mm XBridge Prep C18 5 µm optimum bed density chromatographic separation columns. UV absorbance was measured at 214 nm. b) Electrospray ionization (ESI) spectrometry of Ang 1-7 after purification. ESI was measured a Thermo Orbitrap Exploris 480 mass spectrometer by the UVA Biomolecular Analysis Core. ..... C-30 Figure C.31. RP-HPLC depicting Ang 1-7 (black) encapsulation into UiO-67 (red) and MOF-525 (purple). Little-to-no decrease in the Ang 1-7 peak (~3.75 m) was detected after incubation with UiO-67, suggesting little-to-no encapsulation of Ang 1-7 in UiO-67. In contrast, the complete disappearance of the Ang 1-7 peak in the sample incubated with MOF-525 suggests encapsulation of Ang 1-7. Therefore, we used MOF-525 based carriers for the Ang 1-7 encapsulation and release studies. Analytical RP-HPLC was performed on a Waters E2695 Alliance Separations Module, using a 4.5 mm x 50 mm XBridge C18 3.5 µm chromatographic separation column. Mobile phase composed of ultrapure water and acetonitrile + 0.1% trifluoroacetic acid, run at a gradient of 15 to 20% ACN from 2 to 12 min. UV absorbance was measured at 214 nm. ..... C-31 Figure C.32. Encapsulation efficiency, or % of Ang 1-7 in solution sorbed, of PVA-MOF

525 composite hydrogels (red), PVA-Zr-oxo hydrogels (blue) and MOF-525 powder (purple). All samples were determined to be at sorptive capacity, as their encapsulation efficiencies were lower than 100%. Plotted bars represent the average of 3 independently

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# C.1 Characterization of UiO-66 composite gels and controls

# C.1.1 Characterization of UiO-66



**Figure C.1.** Formation of UiO-66 particles in DMSO. **a)** Zr-oxo cluster solution in DMSO, which is transparent. **b)** Formation of UiO-66 particles in DMSO as evidenced by the solution turning white upon the addition of H<sub>2</sub>BDC and the GIXD pattern closely resembling the simulated pattern for UiO-66 (Figure 4.1 in manuscript).



**Figure C.2.** High-magnification scanning electron microscopy (SEM) images of UiO-66 synthesized in DMSO show the formation of spherical particles. Bars in bottom right corner represent **a**) 2  $\mu$ m and **b**) 1  $\mu$ m.

#### C.1.2 Polymer-UiO-66 composite gel formation with different linker: metal ratios

Since UiO-66 particles were synthesized at linker: metal ratio of 1:1, we attempted to form the polymer-UiO-66 composites at the same ratio. For this, we chose PVA as a polymer. To make the composite gels at a 1:1 linker: metal ratio, we added a solution of Zr-oxo clusters (70 mM in 5 mL of DMSO) to the PVA-H<sub>2</sub>BDC solution (72 mM H<sub>2</sub>BDC in 5 mL of DMSO) at room temperature for 24 h. No gelation occurred after 24 h, as suggested by the inversion test (**Figure C.3a**). On the contrary, doubling the H<sub>2</sub>BDC concentration in the PVA-H<sub>2</sub>BDC solution (144 mM H<sub>2</sub>BDC in 5 mL of DMSO) and adding the Zr-oxo cluster solution at room temperature resulted in gelation after 24 h (**Figure C.3b**). Therefore, we synthesized all the polymer-UiO-66 composite gels (PAA-UiO-66, PAAA-UiO-66, PVA-UiO-66, and PEG-UiO-66) at a linker: metal ratio of 2:1.

We avoided lowering the linker:metal ratio (i.e., 1:2 or 1:4) to form composite gels. We suspect that lowering the linker concentration slows down the UiO-66 crystal formation, which might result in crystals with less defects/open metal sites.<sup>14</sup> Since we suspect that defects are required for gel formation, lowering linker concentration might not produce gel network. Another way to lower the linker:metal ratio is to increase the Zroxo cluster concentration. However, we are already using a very high concentration of Zroxo clusters in DMSO. Further increasing the Zr-oxo concentration might not be possible due to the solubility limitations of Zr propoxide in DMSO. Additionally, adding more Zr propoxide might change the equilibrium of Zr<sub>6</sub> species and may produce other unfavorable Zr species not suitable for UiO-66 formation.<sup>15</sup> Zr-oxo cluster formation is a complex process and it requires further study.



**Figure C.3.** Inversion test of PVA-UiO-66 composites synthesized at a linker: metal ratio of **a**) 1:1 and **b**) 2:1. The gelation occurs at linker: metal ratio of 2:1. The PVA wt% is 2 for both cases. The images were taken one min after inverting the vials.



## Section S1c: Characterization of PAA-based composite gels

**Figure C.4.** High-magnification SEM image of PAA-UiO-66 gel shows no spherical particles such as those observed in Figure C.2 for UiO-66 particles synthesized in DMSO in the absence of PAA. The absence of spherical particles in the PAA-UiO-66 gels suggests no UiO-66 formation in the presence of PAA.

#### Figure S4.

# C.1.3 Inversion test of polymer-UiO-66 physical mixtures



**Figure C.5.** Inversion tests of physical mixtures of **a**) PAA and UiO-66, **b**) PAAA and UiO-66, **c**) PVA and UiO-66, and **d**) PEG and UiO-66 showing the absence of gelation when pre-formed MOFs are mixed with polymers. Photographs were taken one minute after inverting the vials.

### C.1.4 Characterization of PAAA-based composite gels

**Figure C.6.** High-magnification SEM image of PAAA-UiO-66 composite gel showing the presence of spherical particles, suggesting the formation of UiO-66 in the presence of PAAA. Red circles show the UiO-66 particles within the composite gel.

## C.1.5 Characterization of PVA-based composite gels



**Figure C.7.** High-magnification SEM image of PVA-UiO-66 composite gel showing the presence of spherical particles, suggesting the formation of UiO-66 in the presence of PVA.



**Figure C.8** Inversion tests of PVA (146000-186000 g/mol) - UiO-66 formulations as a function of polymer concentration: **a)** 0.1; **b)** 0.5; **c)** 1.0; **d)** 1.5; **e)** 2; and **f)** 3 wt% PVA. The study shows that a critical PVA concentration (1.5-2 wt%) is required to induce gelation. Images were taken one min after inverting the vial.



**Figure C.9.** Inversion tests of PVA (31000-50000 g/mol) – UiO-66 formulations at **a**) 2, **b**) 3, and **c**) 4 wt% PVA. For this lower molecular weight PVA, gelation requires at least 3 wt% PVA, slightly higher than the concentration needed for gelation using the higher molecular weight PVA (146000-186000 g/mol). Images were taken one min after inverting the vial.



**Figure C.10.** Inversion test of PVA (9000-10000 g/mol) - UiO-66 formulations at **a**) 2, **b**) 3, and **c**) 4 wt% PVA. No gelation was observed, even at 4 wt% PVA using this low molecular weight polymer. Images were taken one min after inverting the vial.



**Figure C.11.** Phase diagram of PVA-UiO-66 formulations with different concentrations (wt%) and molecular weight of PVA demonstrating the conditions where no gelation and gelation occurred.

Section S1g: Characterization of PEG-based composite gels



**Figure C.12.** High-magnification SEM image of PEG-UiO-66 composite gel shows the presence of spherical particles, suggesting the formation of UiO-66 in the presence of PEG.



**Figure C.13.** Composite gel stability at room temperature and after heating to 40 °C. **a)** PEG-UiO-66 composite gel collapses after heating at 40 °C for 24 h, while **b)** PVA-UiO-66 composite gel remains intact. This experiment suggests that heating promotes the reptation of PEG chains out of UiO-66 pores and the PEG-UiO-66 composite collapses. Therefore, entrapment of PEG chains inside UiO-66 is responsible for gelation.



C.2Characterization of PVA-based composite gels prepared with a variety of Zrbased MOFs

**Figure C.14.** <sup>1</sup>H NMR (600 MHz, DMSO-d6) of 4,4',4",4"'-(pyrene-1,3,6,8-tetrayl)tetrabenzoic acid (H<sub>4</sub>TBAPy), the organic linker for NU-901.



**Figure C.15.** High-magnification SEM image of PVA-NU-901 composite gel showing the presence of spherical particles, suggesting the formation of NU-901 in the presence of PVA.



**Figure C.16.** High-magnification SEM image of PVA-UiO-67 composite gel shows the presence of spherical particles, suggesting the formation of UiO-67 in the presence of PVA.



**Figure C.17.** High-magnification SEM image of PVA-MOF-525 composite gel shows the presence of spherical particles, suggesting the formation of MOF-525 in the presence of PVA.



Figure C.18. a) PVA-NU-901, b) PVA-UiO-67, and c) PVA-MOF-525 composite gels stability at room temperature and after heating to 40 °C for 24 h.

# C.3Composite gel solvent exchange



Figure C.19. Gelation behavior of reagents used for the 2 wt% PVA-UiO-66 composite, when synthesized in RO water instead of DMSO. The inversion test indicates no gelation in water.



**Figure C.20.** Quantification of dimethylsulfoxide (DMSO) removal during composite gel solvent switch. <sup>1</sup>H NMR (400 MHz) of a) 1M sodium deuteroxide (NaOD) in deuterium oxide (D<sub>2</sub>O), spiked with 10  $\mu$ L of methanol (MeOH) and 10  $\mu$ L of DMSO, b) 3% PVA-UiO-66 composite gel, c) 3% PVA-UiO-66 composite hydrogel (after dialysis), and d) 3% PVA-MOF-525 composite hydrogel (after dialysis). All gel samples were stirred in 1 M NaOD (10 mg gel/mL solvent) for 24 h to solubilize them and were then spiked with 10  $\mu$ L of MeOH as a reference to determine the concentration of DMSO in each gel. Spectra were referenced to D<sub>2</sub>O (4.79 ppm).

San	nple:	3% PVA-UiO-66 Composite Gel	3% PVA-MOF- 525 composite hydrogel (dialyzed)			
MOF Gel mg Weight		26	26 18			
MeOH amount mmol		0.25				
MeOH Peal	< Integration	3.00	3.00 3.00 3.0			
DMSO Peal	k Integration	1.90 0.00 0.00		0.00		
DMSO:MeOH Ratio		0.63 N/A		N/A		
DMSO	mg	5.07	0	0		
amount	wt%	20%	0%	0%		

Table C.1. Quantification of DMSO in polymer-MOF composite hydrogels



**Figure C.21.** GIXD patterns of 3 wt% **a)** PVA-MOF-525 and **b)** PVA-UiO-66 composite gels in DMSO (red) and hydrogels in water (green), showing the MOFs to exhibit similar coherence lengths (CLs) before and after the 48 h solvent exchange (dialysis) process.



**Figure C.22.** Zr content before and after solvent exchange of PVA-UiO-66 and PVA-Zr-oxo gels from DMSO (yellow) into water (blue) by dialysis, as determined by TGA in air after drying samples at room temperature for 24 h. Zr content decreased significantly in the PVA-Zr-oxo gels during dialysis, but not in the PVA-UiO-66 composite hydrogels. UiO-66 prepared in the absence of polymer contained 21.3 wt% Zr following dialysis. Zr content is reported as an average of 3 samples, with error bars representing the standard deviation across sample sets.

		Before	Dialysis	After Dialysis		
		% ZrO <sub>2</sub>	% Zr	% ZrO <sub>2</sub>	%Zr	
	1	9.1	6.7	5.8	4.3	
PVA-UiO-66	2	10.4	7.7	5.7	4.2	
composite	3	9.9	7.3	6.0	4.4	
gel	Average	9.8	7.3	5.8	4.3	
	St. Dev.	0.7	0.5	0.2	0.1	
	1	10.5	7.8	1.7	1.2	
	2	11.0	8.2	1.9	1.4	
PVA-Zr-oxo	3	10.3	7.6	1.4	1.0	
gei	Average	10.6	7.8	1.6	1.2	
	St. Dev.	0.4	0.3	0.2	0.2	
	1	-	-	28.3	20.9	
	2	-	-	29.5	21.8	
UiO-66	3	-	-	28.6	21.1	
	Average	-	-	28.8	21.3	
	St. Dev.	-	-	0.6	0.5	

Table C.2.  $ZrO_2$  and Zr wt% of PVA-UiO-66 composite gels, PVA-Zr-oxo gels, and UiO-66 powder before and after solvent exchange



**Figure C.23.** TGA profiles of 3 wt% PVA-UiO-66 composite gels before (black, red, green) and after dialysis (blue, cyan, magenta), BDC (orange), PVA (navy blue), BDC linker (orange) and DMSO (pink). PVA, BDC, and DMSO completely decompose at 1000 °C, suggesting that all the organic components in the PVA-UiO-66 composite gels have been completely removed at 1000 °C and the remaining wt% can be attributed to the formation of ZrO<sub>2</sub>. After dialyzing the 3 wt% PVA-UiO-66 composite gels, the ZrO<sub>2</sub> wt% slightly decreases from 9.8 wt% to 5.8 wt%. All the samples were run under air.


**Figure C.24.** TGA profiles of 3 wt% PVA-Zr-oxo gels before (black, red, green) and after dialysis (blue, cyan, magenta), PVA (navy blue), and DMSO (pink). PVA and DMSO completely decompose at 1000 °C, suggesting that all the organic components in the PVA-UiO-66 composite gels have been completely removed at 1000 °C and the remaining wt% can be attributed to the formation of ZrO<sub>2</sub>. After dialyzing the 3 wt% PVA-Zr-oxo gels, the ZrO<sub>2</sub> wt% significantly decreases from 10.6 wt% to 1.6 wt%. All the samples were run under air.



**Figure C.25.** TGA profiles of UiO-66 powder synthesized in DMSO (blue, cyan, magenta) and BDC linker (orange). BDC completely decomposes at 1000 °C, suggesting that the remaining wt% 1000 °C can be attributed to the formation of ZrO<sub>2</sub>. All the samples were run under air.

C.4MB sorption into and release from PVA-MOF composite gels



**Figure C.26.** Calibration curves for **a**) MB and **b**) Ang 1-7 encapsulation and release experiments into the composite gel carriers and their respective controls (Zr-cross-linked gels and MOF alone). **a**) MB calibration curves for MB sorption into 3 wt% PVA-UiO-66 composite hydrogels and 3 wt% PVA-Zr-oxo hydrogels (Figure 4.3, Figures C27-29, and Table S3), developed from serial dilution of the respective stock solutions used in the encapsulation experiments. A separate calibration curve was developed for every MB stock solution (all prepared at 0.05 mg/mL) prepared for the experiments: one for the hydrogels (black, squares) one for UiO-66 powder (purple, circles), and one for Figure S29, (orange, triangles). Absorbance values were measured at 660 nm, the absorbance maximum for MB. **b**) Calibration curve for Ang 1-7, developed from serial dilution of the stock solution used in the encapsulation experiments. Absorbance values were measured at 277 nm, the measured absorbance maximum of Ang 1-7. All stock solutions were prepared in RO water. The absorbance conversion constant ( $\varepsilon_s b$ ) is shown as the slope of the linear interpolations for each dataset, and is listed in the boxes with corresponding colors to each dataset.



**Figure C.27.** MB sorption profiles of PVA-UiO-66 composite hydrogels (red, squares) and PVA-Zr-oxo hydrogels (blue, circles) over 7 d, normalized by the dry weight of the carrier, showing MB sorption to plateau after 7 d. Points represent the means of three independently synthesized samples, and error bars represent the standard deviation of that mean.



**Figure C.28.** Encapsulation efficiency, or % of MB in solution sorbed, of tested carriers after 7 d. Plot depicts PVA-UiO-66 composite hydrogels (red), PVA-Zr-oxo hydrogels (blue), and UiO-66 (purple). Two separate experiments with UiO-66 are depicted, where the concentration of UiO-66 added into the solution was normalized by total weight (4 mg sample/mL) and by Zr weight (0.16 mg sample/mL), relative to the PVA-UiO-66 composite hydrogels. While the PVA-UiO-66 composite hydrogel, PVA-Zr-oxo hydrogel, and UiO-66 normalized by Zr weight (0.16 mg sample/mL) all reached sorptive capacity after 7 d, as they all sorbed less than 100% of the MB in solution, the UiO-66 samples normalized by total weight (4 mg sample/mL) sorbed ~100% of the MB in the solution, suggesting that it was not at sorptive capacity. Therefore, to ensure all analyzed samples were at sorptive capacity, the Zr-weight normalized UiO-66 samples (0.16 mg sample/mL) were used to compare to the gel samples. Plotted bars represent the average of three independently synthesized samples incubated with MB for 7 d. Error bars represent the standard deviation of the average.



**Figure C.29.** MB sorptive capacity of various PVA-MOF composite hydrogels. Amount of MB sorbed into each PVA-MOF hydrogel shown in Figure 4.2 (PVA-UiO-66, PVA-MOF-525, PVA-UiO-67, and PVA-NU-901) (red) and PVA-Zr-oxo (blue) hydrogels after 7 d, normalized by the weight of each hydrated gels added to the MB solution. All synthesized PVA-MOF composite hydrogels exhibited higher MB sorptive capacity than the PVA-Zr-oxo control hydrogels. Each gel was synthesized with 2 wt% PVA, and lightly agitated in solution of MB over 7 d.

	mg MB 1-7/mg carrier			mg MB 1-7/mg dry carrier			mg MB/mg Zr		
		Avg	StDev		Avg	StDe v		Avg	StDe v
PVA-UiO- 66 composite gel	0.005	0.006	0.001	0.141	0.160	0.017	3.267	3.708	0.385
	0.007			0.172			3.981		
	0.006			0.167			3.875		
PVA-Zr- oxo gel	0.002	0.003	0.000	0.052	0.058	0.007	4.324	4.838	0.561
	0.003			0.057			4.752		
	0.003			0.066			5.436		
UiO-66	0.157		60 0.006	0.157	0.160	0.006	0.731	0.744	0.027
	0.156	0.160		0.156			0.727		
	0.167			0.167			0.776		

Table C.3. MB sorption into PVA-UiO-66 composite hydrogels, PVA-Zr-oxo hydrogels, and UiO-66 powder.

 Table C.4. Reported values and conditions for UiO-66 MB sorptive capacities in literature.

Sorptive Capacity (mg MB/g sorbent)	рН	Starting MB concentration (mg/L)	Sorbent	Reported by:
64.5	-	50	UiO-66	ref. 1
~544	10	130	UiO-66	ref. 2
160 +/- 17	-	50	3% PVA-UiO-66 composite hydrogels	this study
160 +/- 6	-	50	UiO-66	this study



**Figure C.30.** Characterization of Ang 1-7 peptide. **a)** Analytical reverse phase high performance liquid chromatography (RP-HPLC) of Ang 1-7 before (black) and after purification (red) using preparative scale RP-HPLC. Analytical RP-HPLC was performed on a Waters E2695 Alliance Separations Module, using a 4.5 mm x 50 mm XBridge C18 3.5  $\mu$ m chromatographic separation column, where the acetonitrile gradient is plotted in blue. Preparative scale RP-HPLC was performed on a Waters Empower system, using a 30 mm x 150 mm XBridge Prep C18 5  $\mu$ m optimum bed density chromatographic separation columns. UV absorbance was measured at 214 nm. **b)** Electrospray ionization (ESI) spectrometry of Ang 1-7 after purification. ESI was measured a Thermo Orbitrap Exploris 480 mass spectrometer by the UVA Biomolecular Analysis Core.



**Figure C.31.** RP-HPLC depicting Ang 1-7 (black) encapsulation into UiO-67 (red) and MOF-525 (purple). Little-to-no decrease in the Ang 1-7 peak (~3.75 m) was detected after incubation with UiO-67, suggesting little-to-no encapsulation of Ang 1-7 in UiO-67. In contrast, the complete disappearance of the Ang 1-7 peak in the sample incubated with MOF-525 suggests encapsulation of Ang 1-7. Therefore, we used MOF-525 based carriers for the Ang 1-7 encapsulation and release studies. Analytical RP-HPLC was performed on a Waters E2695 Alliance Separations Module, using a 4.5 mm x 50 mm XBridge C18 3.5  $\mu$ m chromatographic separation column. Mobile phase composed of ultrapure water and acetonitrile + 0.1% trifluoroacetic acid, run at a gradient of 15 to 20% ACN from 2 to 12 min. UV absorbance was measured at 214 nm.



**Figure C.32.** Encapsulation efficiency, or % of Ang 1-7 in solution sorbed, of PVA-MOF 525 composite hydrogels (red), PVA-Zr-oxo hydrogels (blue) and MOF-525 powder (purple). All samples were determined to be at sorptive capacity, as their encapsulation efficiencies were lower than 100%. Plotted bars represent the average of 3 independently synthesized samples incubated with Ang 1-7 for 7 d. Error bars represent the standard deviation of the average.



**Figure C.33.** Sorptive capacity of PVA-MOF-525 composite hydrogels (red), PVA-Zr-oxo hydrogels (blue), and MOF-525 powder (purple) for the therapeutic peptide Ang 1-7. Amount of Ang 1-7 sorbed into each sample after 7 d, normalized relative to the dry mass of each sample, showing more Ang 1-7 to sorb into the PVA-MOF-525 composite hydrogels than into the PVA-Zr-oxo hydrogels and MOF-525 on a per dry mass basis. Despite normalizing to dry weight, the hydrogels were added to Ang 1-7 solution in a swollen state, and the MOF-525 powder was added in a dry state.

	µg A	ng 1-7/mg c	carrier	μg Ang 1-7/mg dry carrier			
		Avg	StDev		Avg	StDev	
PVA-MOF-525	5.7			68.2			
composite gel	7.0	6.7	0.9	83.3	79.7	10.2	
	7.3			87.6			
		Avg	StDev		Avg	StDev	
BVA 7r oxo	1.7			36.2			
FVA-21-0X0	1.9	2.2	0.6	40.3	45.3	12.5	
	2.8			59.5			
		Avg	StDev		Avg	StDev	
	12.0	12.2	0.2	12.0	12.2	0.2	
MOF-525	12.3			12.3			
	12.2			12.2			

**Table C.5.** Ang 1-7 sorption into PVA-MOF-525 composite hydrogels, PVA-Zr-oxo hydrogels, and MOF-525 powder



**Figure C.34.** % of Ang 1-7 sorbed into PVA-MOF-525 composite hydrogels (red, square), PVA-Zr-oxo hydrogels (blue, circle), and MOF-525 powder (purple, triangle) that was released into ultrapure water.

Time (d)	PVA-MOF-525		PVA-Zr	-0X0	MOF-525	
	Average	StDev	Average	StDev	Average	StDev
0.0	7.4	0.7	17.1	8.9	8.7	1.4
0.2	19.3	1.5	56.0	26.7		
0.3	16.3	0.8	58.9	15.3	21.5	4.1
0.7	26.8	8.0	51.9	12.1	22.9	2.0
1.0	26.1	1.5	51.8	18.2	25.0	1.6
1.7	27.0	2.1	55.8	12.8	24.9	1.1
2.7	28.8	3.3	57.7	14.9	26.1	2.0

**Table C.6.** % of Ang 1-7 sorbed into PVA-MOF-525 composite hydrogels, PVA-Zr-oxo hydrogels, and MOF-525 powder released into ultrapure water.

## C.6References

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