### **Thesis Portfolio**

## Designing a 1U Amateur Radio CubeSat (Technical Report)

The Ethics of Job Automation: A Social and Political Analysis of the Problems Associated with Technological Development, and Potential Policy Remedies for Worker Dislocation (STS Research Paper)

### An Undergraduate Thesis

Presented to the Faculty of the School of Engineering and Applied Science University of Virginia • Charlottesville, Virginia

> In Fulfillment of the Requirements for the Degree Bachelor of Science, School of Engineering

> > Isabella Todaro Spring Semester, Fourth Year

Department of Mechanical and Aerospace Engineering

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#### **Sociotechnical Synthesis**

My Technical Report, "Designing a 1U Amateur Radio CubeSat," which I completed with my project team in my Spacecraft Design capstone course, details our preliminary design for a 1U CubeSat called CECIL: Communication-Enabling CubeSat In LEO (Low-Earth Orbit). CECIL is a 10 cm x 10 cm x 10 cm cube-shaped satellite that once in orbit will communicate with both the UVA ground station and with amateur radio ground stations around the world, functioning within the bounds of an amateur radio license for ease of data sharing and collaboration. Our design for the satellite is low-cost and has a low risk of failure. The mission's payload boasts an experimental amateur transceiver, which will allow amateur radio enthusiasts to request information from the satellite, and a camera, which will take photographs of the Earth. We will seek funding for the CubeSat through the Virginia Space Grant Consortium. The mission, if successful, will be considered a "technology demonstration" that will help confirm the legitimacy of space mission engineering here at UVA.

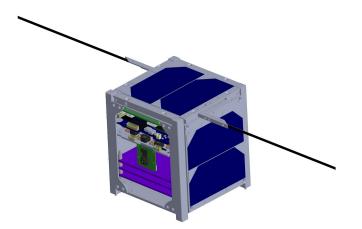


Figure 0: CAD (Computer-Aided Design) rendering of the CubeSat

James Wertz's Space Mission Engineering Process, as detailed in the text *Space Mission Engineering: The New SMAD*, has guided our analysis and design for the project thus far. This past academic year saw the successful completion of both the Conceptual Design phase and the Preliminary Design phase. Next academic year, the students of Spacecraft Design I and II will continue our work and complete the Critical Design phase, which is the final design phase. Software programming, construction of the CubeSat, and testing of its components will follow. The expected launch date for the CubeSat is set in April of 2022; We hope to launch through NASA's (National Air and Space Administration) CubeSat Launch Initiative via the Antares or Falcon 9, and plan to deploy the spacecraft from the ISS (International Space Station) into the same orbit as the space station. Natural deorbit is expected to occur after a little over a year in LEO.

My STS Research Paper, "The Ethics of Job Automation: A Social and Political Analysis of the Problems Associated with Technological Development, and Potential Policy Remedies for Worker Dislocation," first briefly presents historical, technical, and economic research on labor-saving technology and job automation, then conducts ethical analyses of the effects of job automation on people, and the potential ways to mitigate, through policy initiatives, automation's unsavory effects on American workers and on society as a whole. The STS concept of anticipatory governance and the ethical approaches of Utilitarianism, the Rights approach, the Fairness or Justice approach, and the Common Good approach form the basis of the ethical and political analyses. The ethical frameworks, informed by the requisite background information, will produce sound socio-technical solutions to worker dislocation as a result of job automation.

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Although my technical work and STS research do not share a topical relationship, working on both projects at once has proved a valuable part of my undergraduate engineering education. It is important for engineering students to learn how to design and build with perspective on all of the possible impacts of our innovations, and engagement with stakeholders and with society as a whole. Any invention or solution should not only innovate technically, but also socially and ethically.

### **Designing a 1U Amateur Radio CubeSat**

A Technical Report submitted to the Department of Mechanical and Aerospace Engineering

Presented to the Faculty of the School of Engineering and Applied Science University of Virginia • Charlottesville, Virginia

> In Partial Fulfillment of the Requirements for the Degree Bachelor of Science, School of Engineering

> > Isabella Todaro Spring Semester, Fourth Year

**Technical Project Team Members** 

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> On my honor as a University Student, I have neither given nor received unauthorized aid on this assignment as defined by the Honor Guidelines for Thesis-Related Assignments

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# Introduction

The primary objective of this mission is to build and operate a satellite system that is able to reliably communicate with the UVA ground station and facilitate communication between amateur ground stations around the world. This will be accomplished at a low cost and with low risk of failure. To allow for data sharing and collaboration, the satellite will be designed to function within the bounds of an amateur radio license. This project will provide experience in both spacecraft design and project management to the students of the University of Virginia. The development of this CubeSat is crucial in promoting interest in space-exploration and real world technical skills in Virginia's next generation of engineers. Meeting the mission objectives, detailed below, will ensure accomplishment of these goals. This post-PDR all-encompassing design document will first go over the technology investigation required for the project, and then describe in detail the mission architecture, including mission requirements and constraints. This document also provides an in-depth look at each of the spacecraft subsystems and outlines the planned future activities, along with a specific timeline and budget to complete this project.

# **Technology Investigation and Implementation**

The mission objectives for the 1U Amateur Radio CubeSat project are primarily educational in nature: UVA students will gain firsthand experience designing, building, and operating a satellite as part of a team. The satellite design will incorporate an experimental radio transceiver that will receive command for the satellite and transmit images taken by the onboard camera. The mission, if successful, will be considered a "technology demonstration" that will help demonstrate the legitimacy of space mission engineering here at UVA. The project team will design the CubeSat so that the mission objectives can be completed with a low risk of failure in order to ensure a high probability of successful communication with the satellite. The primary and secondary mission objectives are enumerated below:

## **Primary Objectives:**

- High-probability of reliable communication on amateur radio frequencies
- Achievable with a budget similar to or less than previous similar projects
- Develop UVA engineering students' hands-on skills designing, building, and operating satellites
- Able to be used by the UVA ground station and others with amateur radios

## **Secondary Objectives:**

• Promote space-exploration interest and the development of real world technical skills in Virginia's next generation of engineers

The design team decided on the Mission Architecture and Requirements below in order to successfully meet these objectives.

# **Mission Architecture**

In this section, CECIL's mission architecture is outlined in Table 1 below and a detailed explanation of each element follows after.

Element	Description
Subject	Visible light Earth imagery and Amateur radio community communications
Payload	Radio transceiver, transponder, low resolution camera
Spacecraft bus	Two-axis passive stabilization, passive solar array
Launch system	Antares or Falcon 9
Orbits	LEO, $i = 51 \text{ deg}, e = 0.0006$
Ground segment	UVA ground station
Communications architecture	Direct to station, single-ground station control
Missions operations	Part-time operation ground station, partial spacecraft autonomy
Mission concept	Low-resolution Earth imagery, transponder on amateur radio frequencies, communications from LEO

**Table 1: Mission Architecture** 

### **Mission Concept**

This mission will carry a radio provided by AMSAT and a camera, with the primary goal of establishing communications between a satellite in Low Earth Orbit (LEO) and the UVA ground station. The AMSAT transceiver will also serve as a repeater operating on amateur frequencies, simplifying long-distance communication among the global amateur radio community. Additionally, the satellite will have the ability to take pictures that will be transmitted to the UVA ground station and distributed through social media.

#### Subject

There are two subjects of this mission, the radio transmissions on amateur frequencies and the images from the camera. The radio transceiver will establish communication with the UVA ground station and act as a repeater for the amateur radio community. The camera will capture images that can be received by UVA's ground station and then distributed online.

#### Payload

The payload for this CubeSat will include a radio transceiver and a camera. The camera will be used to take photographs of the Earth on command by UVA's ground station. The radio transceiver will be responsible for the satellite command and control as well as transmitting the images taken by the camera. The radio transceiver will also be capable of repeating incoming messages from amateur ground stations. The radio transceiver and camera are discussed at length in the spacecraft subsystems section.

#### **Spacecraft Bus**

The structure used in this mission is the EnduroSat 1U CubeSat Structure. This structure has flight heritage with NanoRacks, the system we are using to launch our satellite, making the EnduroSat structure ideal for our satellite. The method to determine attitude and control will be neodymium magnets with hysteresis rods. These will ensure that our spacecraft will point at the Earth, while taking up very little space within the satellite. The Kryton M3 on-board computer will be used to run the satellite, while the Starbuck PICO EPS and battery will be used to distribute and store power for the satellite. EnduroSat 1U solar panels will be used on the Y, Z, and negative X faces of the satellite. These solar panels will be able to generate more than enough power for the satellite, as discussed later in the power budget. This ensures that the EPS will have plenty of power to keep the satellite running when out of the sun's light. We will require both Ultra High (UHF) and Very High Frequencies (VHF) in order to communicate effectively using the AMSAT Linear Transponder Module. The ISIS Antenna Array operates at both of these frequency ranges and allows for a solar panel to be installed on top of it, making it an appropriate choice for this satellite.

#### **Ground System**

The UVA ground station will be used as the primary method of communication with the satellite while in orbit. Initially, UVA's ground station will be the only ground station capable of commanding the satellite, downloading telemetry data, and downloading images taken by the satellite. UVA's ground station uses two circularly polarized yagi antennas from M2 Antenna Systems, a FG2MCP14 antenna (12.34dB gain) and a FG436CP30 antenna (15.5dB gain), to establish and maintain communications. After all mission objectives have been accomplished, and UVA has performed all desired tests, AMSAT will be given commanding privileges of the CubeSat at UVA's discretion.

Amateur radio ground stations around the world will be given access to the satellite's repeater capabilities, once nominal operation has commenced.

## Command, Control, and Communications Architecture

According to current FCC regulations (Part 97), command and control signals are the only encrypted transmissions allowed on the amateur band. The radio transceiver will be used to send commands to the spacecraft as well as to transmit telemetry data and images. The majority of the control of the spacecraft will go only through the ground station at UVA. Should the need arise, however, it would be relatively simple to transfer control to another ground station. AMSAT will likely be given some command privileges once the spacecraft enters nominal operation.

The radio transceiver will also be open for any amateur radio station to use as a repeater. Signals of this kind will be received at 144 MHz and retransmitted at 432 MHz, dramatically increasing the effective range of most amateurs.

# **Selected Orbit**

The orbit of the satellite is out of the control of the operators and will depend on where and how it is launched. The CubeSat will be deployed from the International Space Station (ISS), and thus will initially have a very similar orbit. The orbital shape is slightly eccentric, practically circular, with an average altitude of approximately 400 km. These orbital elements are subject to change over the lifetime of the CubeSat, because atmospheric drag will decrease its altitude. A rough estimation of the ground tracks of the orbit can be found in Figure 1.



Figure 1: Predicted Orbit of the CECIL CubeSat

### Launch System

The mission is intended to launch through NASA's CubeSat Launch Initiative (CSLI). This program provides an opportunity for educational and research CubeSats to be sent to the ISS as secondary payloads on a launch vehicle, typically Antares or Falcon 9, and deployed by a NanoRacks CubeSat Deployer (NRCSD).

# **Mission Requirements and Verification**

This section describes all of the mission's system level and operational requirements, as well as the functional requirements and constraints. Outlined in the tables below are detailed descriptions of each requirement, a label number, a rationale as to why that requirement exists, and the verification method that will be implemented. Table 2 includes the functional system level requirements and Table 3 lists the operational system level requirements.

ID	Requirement	Specification	Rationale	Verification Method (Testing, Analysis, Inspection)
SYS-FUNC-01	Flight Heritage	All subsystem components must have previous flight heritage	To ensure high likelihood of mission success	Inspection
SYS-FUNC-02	Coverage	CubeSat must be able to be contacted at least twice weekly by the UVA ground station	To have up-to-date status on satellite's health and data	Analysis
SYS-FUNC-03	Amateur Radio Frequency	The satellite must transmit through an amatur radio frecuency	To be able to communicate with amateur ground station other than UVA	Inspection

ID	System	Requirement	Specification	Rationale	Verification Method (Testing, Analysis, Inspection)
OPER-001	PROJ	Probability of primary mission	>95	To provide a reasonable chance	Analysis

		success (%)		that the spacecraft is able to be of use	
OPER-002	PROJ	Total cost (\$)	<65000	To stay within a price range that we are likely to be able to get funding for	Cost Tracking
OPER-003	PROJ	Be built and tested in part by UVA students	Primarily assembled and tested by UVA students	To provide hands-on experience and skills to UVA students	Inspection
OPER-004	PROJ	Mission Timescale	The mission will be completed by the second quarter of 2022 calendar year	To ensure timescale adherence and reduce potential budget increases	Inspection
OPER-005	AV	Satellite Tracking Software	Position of the satellite must be predicted using a software tool with 90% accuracy	In order to adequately predicted and schedule communication windows	Analysis

## **Functional Requirements and Constraints**

For a complete list detailing all functional requirements and constraints, please refer to the Requirements Definition document in Appendix A.

### **Risk Management**

Currently, seventy-three potential risks have been identified and quantified in two categories: probability and severity. Each category has a ranking from 1 to 5 where 1 is the lowest denomination (very low probability of occuring, very low severity if problem arises), and 5 is the highest denomination (very high \probability of occuring, very high severity if problem arises). The probability and severity denominations for each risk were then multiplied to yield an "overall severity" denomination for each risk. Figure 2 shows the number of identified risks that fall under each category of ranking. For the complete list of all identified risks, please refer to the risk register document on Appendix B.

		S	Severity	ý		
		5	4	3	2	1
	5	2	1	1	0	0
	4	2	0	0	0	0
Probability	3	1	3	5	1	6
	2	4	1	6	0	2
	1	16	3	6	3	4

Figure 2: Number of Identified Risks

The management team decided that only risks amounting to an overall severity of twenty or higher (4 or 5 probability of occurrence and 4 or 5 severity if occur) required closer look and a mitigation strategy. Four total risks amounting to such overall severity were identified. Table 4 below, describes what each of these risks are, which team is responsible for the risk, and how it will impact the mission objectives.

Table 4. Identified High Severity & High Trobability Risks						
Subteam	ID	Condition	Departure	Consequence		
Communications	RISK-006	The UVA ground station will be used to control the CubeSat and command pictures from orbit	The ground station is unable to communicate with the CubeSat	Delayed mission timeline at minimum with the possibility of failure to meet primary objectives 1,2, and 4 if communication can not be established		
Power and Thermal	RISK-013	The CubeSat electronics will turn on after release from the P-Pod deployer	The electronics don't turn on after deployment	Failure to meet primary objectives 1,2, and 4 if communication can not be established		
Software and Avionics	RISK-036	The CubeSat flight computer will boot after release from the P-Pod deployer	The flight computer does not boot in a flight ready configuration	Failure to meet primary objectives 1,2, and 4 if communication can not be established		

## Table 4: Identified High Severity & High Probability Risks

Program Management	RISK-026	One aim for this project is to minimize expenses and this may be difficult to maintain	The project may exceed the amount of funding available to the team	The project completion may be put on hold until additional funding is found. The CSLI may de-manifest our CubeSat if timeline is not met
Program Management	RISK-028	The CECIL project will be transferred to next year's students involved in this capstone	Institutional knowledge may be lost during the transfer to a new group	The new team may have to re-complete work done by the previous year's students

# **Mitigation Strategy**

As discussed in the previous section, only four risks (RISK-006, -013, -026, -028, -036) were considered severe enough to warrant a specific mitigation strategy. Table 5 provides the current mitigation strategy in order to minimize either the probability of each risk or their impact to the mission objectives.

ID	Subteam	Risk	Mitigation strategy
RISK-006	Communications	Ground station communication issues	Ground based testing with flat sat and additional experience gained through 3U CubeSat
RISK-013	Power and Thermal	Electronics failure at release	Ground based deployment testing
RISK-036	Software and Avionics	Flight computer failure at release	Ground based deployment testing
RISK-026	Program Management	Total project cost overrun	Seek funding from multiple sources
RISK-028	Program Management	Loss of institutional knowledge	<ul> <li>Thorough documentation of major project design features including:</li> <li>Mission architecture and concept of operations</li> <li>Requirements, constraint, and risk registers</li> </ul>

# Table 5: High Overall Severity Risk Mitigation Strategy

Next, the CubeSat's subsystems- Structure, Power, Communications, Attitude Determination and Control, and Software and Avionics- and their components are described in detail. The Mission Status section will detail, by subsystem, the work that has been completed in each one thus far, and what work will be done next.

# **Spacecraft Subsystems**

# Structure Subsystem

### **Spacecraft Structure**

The EnduroSat 1U Structure was selected to serve as the frame for the satellite. This structure was selected because it meets NASA's CSLI and NRCSD requirements. In addition, the structure is easily integrated with the EnduroSat 1U Solar Panels, which were selected by the Power, Thermal, and Environment team to serve as the primary power source for the satellite. Further, the EnduroSat structure has a more open design than 1U structures offered by other vendors. The space between the rails on the X and Y faces is not occupied by load-bearing ribs or spars. This space allows for easy integration of the Raspberry Pi camera on the positive X face of the EnduroSat structure.

The satellite components selected for other subsystems mainly consist of commercial off-the-shelf components. These components will be secured to the EnduroSat 1U structure using one of three methods: threaded bolts, threaded spacers, or a NASA compliant low-outgassing epoxy. The following table summarizes how each component will be fixed to the structure.

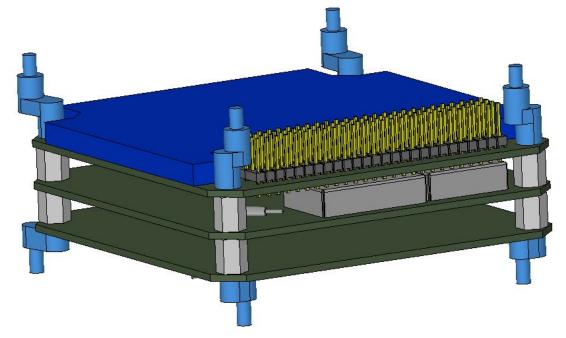
Component	Component Manufacturer		Fixture Specifications	
Pi Zero W	Raspberry Pi Foundation	NASA Low Outgassing Compliant Epoxy	20-3652 EPOXY RESIN	
Raspberry Pi Aluminum Case	Custom	Threaded spacers	Custom threaded spacers	
Raspberry Pi Aluminum Case Lid	Custom	NASA Low Outgassing Compliant Epoxy	20-3652 EPOXY RESIN	
1U Solar Panel X/Y (x3)	EnduroSat	Threaded bolts	Torx - DIN965/ISO 7046-1 - M3 - Length: 6mm	
1U Solar Panel Z (x2)	EnduroSat	Threaded bolts	Torx - DIN965/ISO 7046-1 - M3 - Length: 6mm	

 Table 6: Integration of Subsystem Components with EnduroSat 1U Structure

Aluminum Panel (x1)	Custom	Threaded bolts	Torx - DIN965/ISO 7046-1 - M3 - Length: 6mm	
Deployable Antenna System	ISIS	Threaded bolts	Torx - DIN965/ISO 7046-1 - M3 - Length: 6mm	
Starbuck PICO EPS and Battery	AAC Clyde Space	Threaded spacers	Custom threaded spacers	
Camera Board v2 - 8 Megapixels	Raspberry Pi Foundation	NASA Low Outgassing Compliant Epoxy	20-3652 EPOXY RESIN	
Camera Board Aluminum Case	Custom	NASA Low Outgassing Compliant Epoxy	20-3652 EPOXY RESIN	
Camera Board Case Lid	Custom	NASA Low Outgassing Compliant Epoxy	20-3652 EPOXY RESIN	
Linear Transponder Module	AMSAT	Threaded spacers	Custom threaded spacers	
Kryten-M3	AAC Clyde Space	Threaded spacers	Custom threaded spacers	
Hysteresis Rods		NASA Low Outgassing Compliant Epoxy	20-3652 EPOXY RESIN	
Permanent Magnet		NASA Low Outgassing Compliant Epoxy	20-3652 EPOXY RESIN	

The solar panels and aluminum panel will be secured to the structure using the threaded bolts that EnduroSat provides with the components. The aluminum panel will cover the positive X face of the satellite. It contains a portal for the Raspberry Pi camera, and has connector sockets for the remove before flight (RBF) pin and the satellite communication interface. The latter provides a mechanism for charging the battery and EPS without accessing the satellite's interior. This panel is essentially a modified EnduroSat 1U Solar Panel X/Y, and may be custom-ordered from EnduroSat. The ISIS deployable antenna system and the lid to the aluminum Raspberry Pi case will also be secured with threaded bolts. ISIS will provide the bolts used to secure the antenna to the positive Z face of the satellite structure. The bolts used to secure the lid to the aluminum Raspberry Pi case must be purchased separately.

The interior stack components are the AMSAT linear transponder, AAC Clyde Space Kryten M3 onboard flight computer, AAC Clyde Space Starbuck PICO battery and EPS, and the Raspberry Pi Zero W aluminum case. These interior stack components will be secured to the 1U structure using threaded spacers. These threaded spacers must be individually-machined in order to accommodate the AMSAT transceiver. The transceiver was designed for custom AMSAT CubeSat structures that do not have standard 1U dimensions. Thus, a set of threaded brackets was modeled to realign the transceiver spacers with the rod and spacer hole pattern on the EnduroSat structure. These custom brackets are shown below in Figure 3 and highlighted in blue.

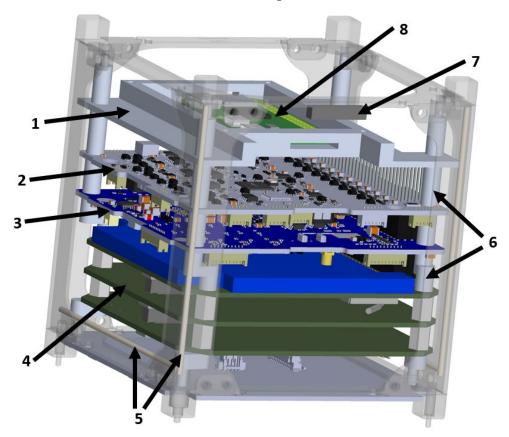


AMSAT Linear Transponder Module Stack With Custom Threaded Brackets

Figure 3: Custom threaded brackets, highlighted in blue, align the AMSAT transceiver with EnduroSat 1U Structure hole patterns for both mechanical and electrical integration

Standard threaded spacers may be used to secure the remaining interior stack components to the 1U structure. However, the length of these spacers will need to be adjusted in order to apply a gentle compressive force on the stack and ensure that it remains stationary. The interior stack and threaded spacers are shown in Figure 4 below. It is important to note that these custom spacers not only allow for mechanical integration between the interior stack and 1U structure, but also ensure alignment of the PC/104 buses on the transceiver, onboard flight computer, and EPS. Thus, the custom spacers allow for mechanical and electrical integration. Figure 5 below shows how these spacers allow for proper alignment of the PC/104 buses.

**Interior Components** 



Number	Component
1	Raspberry Pi Zero W Custom Aluminum Casing
2	AAC Clyde Space Starbuck PICO EPS and Battery
3	AAC Clyde Space Kryten-M3
4	AMSAT Linear Transponder Module
5	Hysteresis Rods
6	Custom Threaded Spacers
7	Permanent Magnet
8	Raspberry Pi Zero W

Figure 4: Interior of CECIL with labels indicating the components within the main stack. The ADACS components (permanent magnet and hysteresis rods) are also indicated.

#### PC/104 Bus Alignment

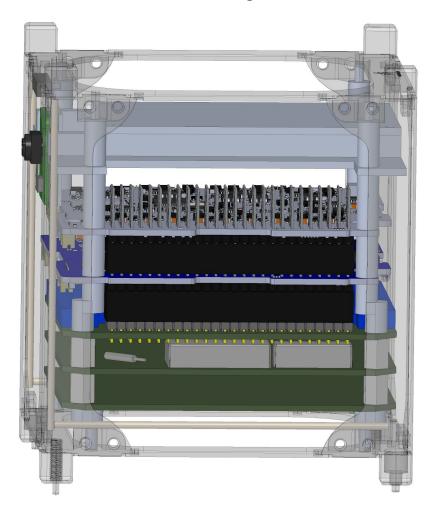
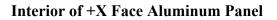
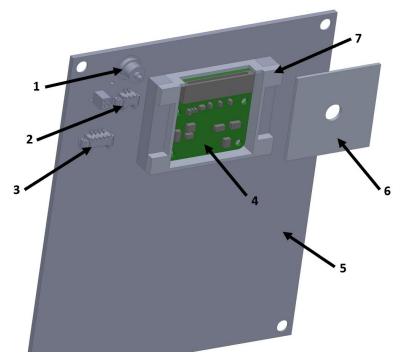


Figure 5: Custom threaded brackets at the top and bottom of the AMSAT transceiver allow for alignment of the PC/104 buses on the transceiver, onboard flight computer, and EPS.

The Raspberry Pi Zero W, Raspberry Pi camera, aluminum camera case, permanent magnet, and hysteresis rods will be secured within the satellite bus using a NASA compliant low-outgassing epoxy. The Raspberry Pi Zero W will be epoxied to the interior of the aluminum case. This case will be secured within the structure using threaded spacers as discussed above. The Raspberry Pi camera will be secured within an aluminum case with a low-outgassing epoxy. This case will in turn be epoxied to the inside of the aluminum panel. This configuration is shown in Figure 6 below. The Raspberry Pi Zero W and Raspberry Pi camera must be placed within aluminum cases in order to ensure that they are not damaged by radiation.





Number	Component		
1	RBF Pin Connector Socket		
2	RBF Pin Interface		
3	Satellite Communication Interface		
4	Raspberry Pi Camera v2		
5	Custom Aluminum Plate Cover		
6	Raspberry Pi Camera Custom Aluminum Case Lid		
7	Raspberry Pi Camera Custom Aluminum Case		

Figure 6: Interior of +X face aluminum panel with labels for each component.

The permanent magnet will be epoxied below the top support of the structure on the +X/+Z face. Four hysteresis rods will be epoxied to the following edges within the CubeSat: +X/+Y edge, +X/-Y edge, +Y/-Z edge, and -Y/-Z edge. The permanent magnet and hysteresis rod placement can be seen in the "Interior Components" figure (Figure 4) above.

### **Flight Loads and Safety Factor**

Another role of the structures subsystem team is to ensure the safety of the spacecraft and mission following launch. As a result, acceleration loads, random vibration loads, launch shock, launch accelerations, and an integrated loads environment were all taken into account in relation to the overall structure and integration of this 1U CubeSat. Figures for the expected loads for this mission were provided by NanoRacks in their 1U CubeSat documentation, a link to which can be found in the references section. To further ensure the safety of the spacecraft during launch, a minimum structural and integration safety factor of 1.5 was decided for this CubeSat. Table 7 outlines the expected loads provided by NanoRacks as well as the adjusted loads to meet this minimum safety factor

Requirement	Given Criteria	Criteria Based on Factor of Safety of 1.5	
Acceleration loads (g)	Nx: +-7, Ny: +-4, Nz: +-4	Nx: +-10.5, Ny: +-6, Nz: +-6	
Random Vibration loads	See Random Vibration Table	See Random Vibration Table	
Launch Shock	"Soft Stow Storage does not experience significant mechanical shock"	N/A	
Launch Accelerations (m/sec^2)*	On-Orbit acceleration: 2 Air-Lock Carryout: 1.5 Emergency Stop: .69	N/A, described below	
Integrated Loads Environment**	1200 N across all rail ends in the Z axis	1800N	

 Table 7: Expected and Safety Factor Adjusted Loads

\* "These loads are enveloped by the launch, ground handling, and quasi-static analysis loads. No verification data shall be required." Because of this, we will not have to design above the given criteria.

\*\* "This number is conservative and will be refined based on qualification testing and further analyses by NanoRacks."

### Spacecraft Power Subsystem

#### **Battery/EPS**

The ClydeSpace Starbuck Nano-Pico EPS module with integrated 20Wh battery will transmit power to the camera control board, flight computer, and AMSAT radio, as shown below in Figure 7, the Data and Power Flowchart. The following considerations, drawn from the power subsystem functional requirements, drove the selection of this EPS module (over the EnduroSat EPS): weight, dimensions, and electrical integration with the necessary components, particularly the selected Clyde Space Kryten M3 flight computer. A weight of 189.525 g or less (including the integrated battery), minimized dimensions (due to tight space constraints in the CubeSat, see Figure 4 above), and full electrical integration with the flight computer are required of the EPS. The integrated 20-Wh battery has enough capacity to hold the power required for the CubeSat to function through the entire duration of an eclipse, which is a crucial requirement but was not a major driver of the decision to use the Clyde Space EPS. The EPS and battery are 246 g, which represents an accepted noncompliance with functional requirements. The reason the noncompliance is accepted is that the decision to exclude an (unnecessary) GPS from the CubeSat design left ample allowed mass remaining in the design. Its dimensions are 9.589 x 9.017 x 2.74 cm, making it the smaller of the two EPS modules considered. To power the components, voltage outputs of 3.3, 5, 7, and 7.5 V are required of the EPS, and the ClydeSpace Starbuck Nano-Pico EPS module has 3.3, 5, and 12-V outputs. Step-down voltage regulators will be used for integration with components requiring 7 and 7.5-V inputs. Finally, the vendor selected ensures full electrical integration with the flight computer of the same vendor.

#### **Solar Panels**

Five solar panels will generate the power for the CubeSat's power-drawing components (camera and camera control board, AMSAT radio, the integrated battery heaters in the EPS, flight computer, and antenna) and charge the battery. The solar panels will be placed on the Y, Z, and negative X faces of the satellite. The following considerations, drawn from the power subsystem functional requirements, drove the selection of the EnduroSat 1U solar panels (over the Clyde Space 1U solar panels): integration (electrical and mechanical), cost, and performance. Full electrical and mechanical compatibility, a total cost (for all five panels) of \$13,830 or less, and power generation sufficient to support all mission functions are required of the solar array. Support of mission functions includes powering the payload and other components, and generating enough excess power to charge the battery so the CubeSat can operate during eclipse periods. Size requirements, i.e. weight of less than 50 g per panel and area to fit on a 10 x 10 cm CubeSat face, were also important requirements for the solar panels boast full (mechanical) compatibility with the selected EnduroSat 1U CubeSat structure, adaptable (electrical) compatibility with the selected ClydeSpace Starbuck Nano-Pico EPS module with integrated 20

Wh battery, a total cost of \$8150 (for all five panels), and a maximum power in LEO of 2.4 W (for two panels). Preliminary analysis indicates that the EnduroSat panels will meet the applicable power subsystem functional requirements.

The EnduroSat solar panel power cable, included with the panels, has a default connector that will not integrate electrically with the selected Clyde Space EPS module without adaptation. The EPS has five-contact Hirose DF13-5P-1.25DSA(50) solar array input connectors, while the solar panel power cable has four-contact Molex 51021-04001 default (output) connectors. However, EnduroSat offers customized power cables and connectors upon request. Customized EnduroSat cables with connectors that will integrate with the Clyde Space EPS connectors are the chosen adaptation to ensure compatibility between the components, even though they are from different vendors. A previous UVA CubeSat mission selected the same adaptation for their satellite design, with integration success despite some coordination and customer service setbacks in acquiring the modified cables. An alternate design option for a single-vendor CubeSat structure, flight computer, EPS, and solar panel configuration is detailed in Appendix D.

### **Data and Power Flowchart**

The solar panels and battery will be the sources of power for the spacecraft. The power will move through the EPS to the rest of the components. This relationship is seen below in Figure 7.

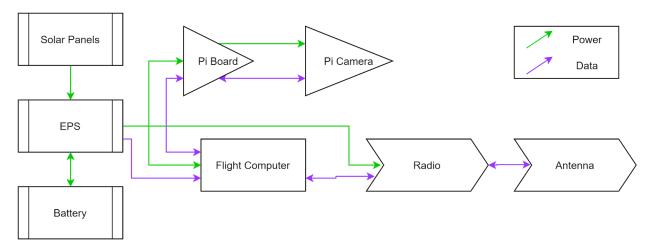


Figure 7: Data and Power Flowchart

# Communications Subsystem

#### **Radio Transceiver**

The transmission and reception of radio signals will be handled by the AMSAT Linear Transponder Module (LTM). The LTM receives messages on the VHF band at 144 MHz and transmits on the UHF band at 432 MHz at a signal strength of 450 mW. Encrypted command and control signals will be sent from the UVA ground station or other permitted station and decoded using the software library provided by AMSAT. The spacecraft will transmit unencrypted telemetry, health signals, and Slow-Scan TeleVision (SSTV) pictures at regular intervals. Lastly, the transceiver will passively serve as a repeater, receiving signals on VHF and rebroadcasting them on UHF. This is a relatively easy way for amateurs to dramatically increase their effective communication range. The onboard processing of all signals is discussed in depth in the Software and Avionics section.

#### Antenna

The antenna that will be used is the ISIS Space Crossed UHF and VHF Dipoles (CDUV). The power pattern, shown in Figure 8, was deemed acceptable for our link budget and it integrates well with the LTM and the EnduroSat chassis. The UHF and VHF dipoles are both flexible, deployable, and measure 17 cm and 53 cm in length, respectively.

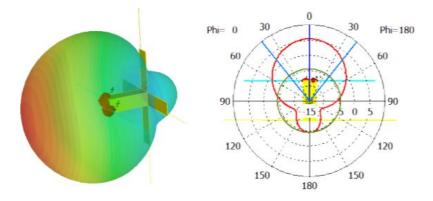


Figure 8: ISIS CDUV Antenna Power Pattern

# Attitude Determination and Control Subsystem

### **Attitude Control**

The method of controlling the attitude of the spacecraft will be through the usage of passive magnetic stabilization, which does not allow for active control of the orientation but does ensure that a consistent orientation can be established. This system consists of magnets placed at one edge of the satellite and hysteresis rods on the opposite side. These magnets will allow the satellite to always be aligned with the Earth's magnetic field, and will most likely be placed on

the edge of the satellite that has the camera. Hysteresis rods will be placed in the CubeSat to add damping and avoid oscillation due to the push and pull of the Earth's magnetic field. In addition to the Passive Magnetic Stabilization system, the solar panels on the CubeSat will also include sun sensors, which will allow the operators of the satellite to determine the attitude of the satellite based on the rays of sunlight hitting it. The passive magnetic stabilization system will only provide stability on two axes, so the CubeSat will most likely rotate slowly along its Z-axis. There are a few ways that students can figure out when the camera is pointed at the Earth. First, they can use the sun sensors to determine the orientation of the satellite with respect to the Earth. The other way to determine when to take pictures is to determine the rotation rate of the satellite, and then send a signal to take images at certain time intervals. The rotation of the CubeSat will likely be very slow, so there would definitely be a long window of time in which photographs can be taken.

# Software and Avionics

## **Flight Computer**

The Clyde Space Kryten M3 was the flight computer chosen for the satellite, with specifications listed in Table 8. Key considerations were power usage, processor speed, memory, storage, radiation toleration, and integration. The flight computer will handle all health and operational data, while leaving image capture and processing to the Raspberry Pi camera system. Maximum power usage was an important factor because the flight computer needs to stay within the allotted power budget. Processor speed, memory, and storage necessary for the operation of satellite as a whole to be fast and highly responsive. Radiation tolerance allows the satellite to be resistant to single-event effects in the logic and data storage.

Criteria	Value
Price (\$)	7280
Mass (g)	62
Maximum Power Usage (W)	1.00
Flight Heritage (Yes/No)	Yes
Radiation Tolerance (krad)	20
Footprint (mm <sup>2</sup> )	8646
Depth (mm)	6
Customer Support (Qualitative)	Good
Supported Data Transmission (Types)	6

 Table 8: Criteria used in Choosing a Flight Computer

Processor Speed (MHz)	50
Memory (MB)	8
Maximum Storage (GB)	1028
Temperature Sensors	0
Accelerometers	0
Gyros	1
Magnetometers	1
Software Integration (Qualitative)	3
Hardware Integration (Qualitative)	3

## Software

The flight computer will run Real Time Operating System (RTOS) using the licensed free version of the software. A modular, component based framework will be programmed using GenerationOne SDK. This is a C-based program including pre-tested components. The Raspberry Pi camera system may be run in FreeRTOS to be cohesive with the flight computer, or another appropriate operating system. A scheme of how data will be handled by the two systems is shown in Figure 9.

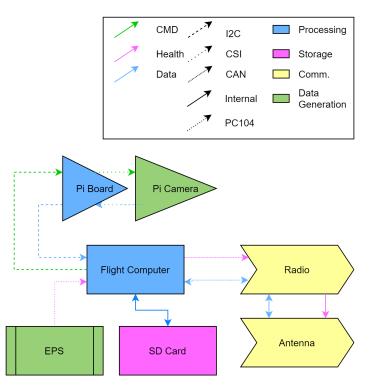


Figure 9: Data Flow Between CubeSat Components.

#### Camera

The camera that was chosen for this mission is the Raspberry Pi Camera Module v2 connected to a Raspberry Pi Zero. This combination was chosen for a number of reasons including the high resolution of the camera (relative to other cameras of a similar size that were considered), the low cost of the components, the fact that it allows camera operations to be handled largely separately from the primary flight computer, and the fact that, because it is widely used in applications outside of just spacecraft, there is significantly more information and support available for it than any of the other cameras assessed for use.

There are some additional considerations in using the Pi camera, since it is not originally designed for use on a satellite, primarily the questions of whether it will in fact work in space in the first place and how well it will handle long term exposure. On the first front, this combination has been flown before and has been proven to work on the Surrey Satellite Technology Ltd DoT-1 spacecraft in July of 2019 (SSTL, 2019). As to long term operability, although no information was available for the radiation tolerance of the Raspberry Pi Zero and the Pi camera specifically (nor was it available for many of the other cameras), a NASA study indicated that the Raspberry Pi Model B remained consistently operational to a total induced dosage of 40 krad, 20 krad over the rating of the only camera that had such information available as well as most of the CubeSat-rated flight computers (Violette, D. P., 2014). Because there is still some concern, and because the Raspberry Pi is not protected against single event errors, additional aluminum shielding will be placed around both the computer board and the camera module.

The resolution of the Pi camera combined with a 25-mm lens and the altitude of the orbit give it a Ground Sampling Distance (GSD) of approximately 15 m/pixel. At this resolution, terrain should be readily recognizable, but it stays well below the resolution of most commercial satellites (which vary from around 1.2 to 22 m/pixel), so there should be no issue with getting a license from NOAA (NOAA, 2020). Table 9 includes a full list of the criteria that were used in deciding to use the Pi camera.

Criteria	Value			
Ground Resolution (from 400 km) (m/pixel)	15			
Price (\$)	80			
Mass (g)	81			
Maximum Power Usage (W)	1.679			
Supported Data Transmission (Types)	4			
Flight Heritage (Yes/No)	Yes			

Table 9: Criteria used in Choosing a Camera

Footprint (mm <sup>2</sup> )	1296
Depth (mm)	38
Customer Support (Qualitative)	Excellent
Impact on Other Objectives in Case of Failure (Qualitative)	None

# **Mission Status**

#### **Structures and Integration**

The foundation of the CubeSat has been solidified. The structure as well as the solar panels and their layout has been designed and accepted. The power and thermal systems, including the battery and EPS have been selected and integrated into the structure. The antenna for communication between the satellite and ground station has been integrated into the design with the solar panels and the structure. The Raspberry Pi camera being used has been fitted into a custom designed housing and side panel in order to integrate the camera and other electrical sockets into the side of the satellite. The onboard computer system has been integrated into the structure of the CubeSat as well. The permanent magnets and hysteresis rods have been selected and integrated into the structure with the help of the ADACS and Orbits team. The linear transponder provided to us by the Amateur Radio Society required the design of custom brackets in order to integrate this device into the structure. The custom brackets are made from an aluminum 6061 alloy, and are designed to keep the transponder centered in the structure while ensuring it is rigidly connected at the four corners of the device. The brackets also provide for electrical integration by ensuring alignment of the PC/104 buses on the AMSAT transponder, onboard flight computer, and EPS.

The design requirements put forth by NanoRacks and NASA have been reviewed in order to determine what needs to be done to meet these requirements. Most of the requirements listed involve tests that the CubeSat structure, provided by EnduroSat, has already been tested for through previous missions and product flight heritage. These tests will still be performed before the launch of the satellite. However, the structure and its components all have flight heritage, and were likely put through these same tests in previous missions. Other components and items in the design requirements have met compliance through the literature provided by the manufacturers, which lets us know the dimensions and properties of the components that have been selected.

The mass budget for the spacecraft has also been finalized and approved by the rest of the team. The satellite uses 73.7% of the maximum mass of 1.33 kg allowed by NanoRacks. This leaves 26.3% of free mass open to be allocated in the future in case other subsystem components need to be modified or replaced. The finalized mass budget is shown below in Table 10. The AMSAT Linear Transponder Module is the largest device in the spacecraft, weighing 130 g, and

takes up the most volumetric space of any component. While the mass of the satellite is below 75% of the maximum allowed weight, little free space remains within the satellite interior. Both the AMSAT transponder and AAC Clyde Space battery and EPS exceed their budgeted masses of 189.5 g and 106 g, respectively. However, a GPS was ultimately not incorporated into the final structure of the satellite, and 26.3% of the allowed maximum mass remains available. Thus, it was determined that the extra mass in the transponder and EPS could be tolerated. The final model for the spacecraft shown above in Figure 4 displays the placement of the devices and modules in the spacecraft.

Subsystem	Component	Mass (g)	Assigned Mass Percentage
Instruments	Raspberry Pi Camera	3.4	
	Raspberry Pi Zero	4	0.56%
	EnduroSat 1U CubeSat Structure	98	7.37%
	Threaded Spacers	20.5	1.54%
Structure and Mechanisms	Custom Raspberry Pi Board Case	83.54	6.28%
	Custom Raspberry Pi Camera Case	5.57	0.42%
	Custom Aluminum Panel	14.35	1.08%
	EnduroSat 1U Solar Panel Z (x2)	48	7.22%
Power	EnduroSat 1U Solar Panel X/Y (x3)	44	9.92%
rower	ISIS Deployable Antenna System	85	6.39%
	AAC Clyde Space Starbuck PICO EPS and Battery	246	18.50%
Telemetry, Tracking and Command	AMSAT Linear Transponder Module	130	9.77%
On-Board Processing	AAC Clyde Space Kryten-M3	61.9	4.65%
ADACS	Hysteresis Rods	25	1.88%
ADACO	Permanent Magnet	2.4	0.18%
Total		856.3	73.70%
Remaining		473.7	26.30%

### Table 10: Mass Budget

NanoRacks documentation provides necessary physical and structural parameters that the spacecraft has to pass in order to be deemed spaceworthy (NanoRacks, 2018). As of this time, the safety factor for the tests have been decided as 1.5. This safety factor has been chosen due to all of the parts and devices chosen for the satellite having flight heritage through the NanoRacks CubeSat process. Because of this flight heritage, almost all of the devices being used have gone through the same tests that are described in the NanoRacks documentation, requiring little work to be done to reinforce the devices and parts to make them ready for the pre launch tests (NanoRacks, 2018).

#### Power, Thermal, and Environment

The battery/EPS and solar panel component selections have been finalized, with Clyde Space and EnduroSat as the vendors, respectively. For each component, the operational temperature range has been considered and compared to the expected thermal environment. This showed that insulation and the battery heater included in the Clyde Space battery/EPS component will be necessary to keep the spacecraft's components within their operational range.

The final power budget is shown below in Table 11. Since all the components are settled upon, this power budget is not expected to significantly change.

Subsystem	Component	Current (mA)	Voltage (V)	Power (W)	% Power
	Camera	120	3.3	0.396	5.4%
Payload	Radio	650	7	4.55	62.059%
	Battery	(included in the	EPS)		
Power	EPS	-	-	0.4	5.456%
	Microcontroller	0.5	5	0.0025	0.034%
Instruments	Antenna	250	5	1.25	17.049%
ADACS	Permanent Magnets	0	0	0	0%
Margin		10%		0.733	10%
Total:			7.331	100%	

**Table 11: Power Budget** 

In order to have a complete understanding of the thermal interaction between the CubeSat and the environment, the heat dissipation in the spacecraft will be modeled. Additionally, the flow chart, Figure 7, showing the power flow through the spacecraft, will be expanded to include power sources, distribution, and wire gauges.

#### Communications

The transceiver and antenna selection are finalized and they have been fully integrated into the design assembly. A full link budget has been calculated but may need to be revised as more specific detail about the orbit or ground station comes to light. Implementing repeater functionality, especially with AMSAT support, will likely be a simple exercise. At this time the UVA ground station is undergoing upgrades and repairs, but those are expected to be complete long before component validation is required.

#### **ADACS and Orbits**

The attitude control system and the predicted orbit of the satellite have been chosen. Due to the coronavirus pandemic, companies that manufacture the magnets needed for the attitude control system could not be contacted. These companies will need to be contacted, and parts must be ordered prior to the manufacturing of the CubeSat. As the process comes closer to the critical design stage, more simulations of the orbit and attitude control must be done. These tests include a rotation rate simulation, an oscillation simulation, and more specific orbit simulations. Once the exact date of launch from the ISS is known, it is suggested that these tests and analyses are done using STK software.

#### **Software and Avionics**

The primary flight computer and camera system have been chosen, and should be ordered from their manufacturers. These components were chosen using extensive trade studies, including both technical and qualitative details about the device and the manufacturer. Once these components arrive, the software should be programmed for the operating system. This will require training on the specific programming language to be used, and communication with the manufacturers. Wiring and connections must also be ordered. The planned future activity for the project in general is described below.

# **Planned Future Activity**

#### **Technical Plans**

The CECIL project is expected to have an overall timeline of three years, starting in mid-2019. This consists of five main phases including Mission concept development, Design, construction and testing, Mission selection and integration, and Mission operations. The Mission Design phase is near complete and culminated in the creation of the mission concepts, requirements, and architecture. The design team worked to meet these requirements and has finished preliminary design.

The project is now entering the Critical Design portion which will determine the finalized CubeSat and ground station designs. After the Critical Design Review, the fabrication and testing

phase will begin. This will involve the creation of one flight vehicle and a flat-sat used for ground testing and troubleshooting. The flight model will undergo testing to be compliant with NanoRacks and CSLI documentation. During the Critical Design phase, the team will apply for acceptance to the CSLI program. If the project is selected, the launch date is expected to be in early 2022. After launch, it is expected that the CubeSat will remain in orbit for approximately one year.

## **Schedule and Mission Timeline**

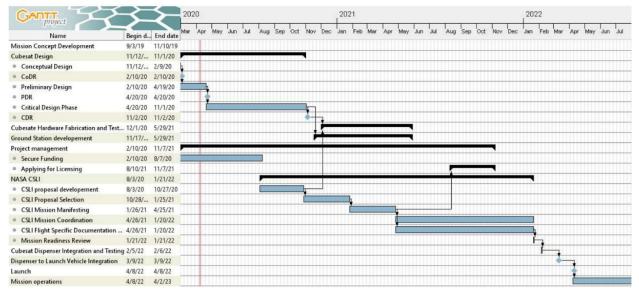


Figure 10: Schedule and Mission Timeline

## **Cost Estimation**

One of the primary objectives of this project is limiting the cost to equal to or less than that of the Libertas. The cost estimation for this project, shown below in Table 12, accounts for the creation of one flight unit and a second flatsat, which will be used for testing and troubleshooting on the ground.

Component Type	Name	Manufacturer	Cost per Unit	Qty.	Total Price
EPS and Battery	Starbuck PICO	Clyde Space	\$7,100.00	2	\$14,200
Command and control	Kryten-M3	Clyde Space	TBD	2	(~\$5,000)
1U structure	Structure 1U	EnduroSat	\$1,358.00	1	\$1,358
Z-face solar panel	1U Solar Panel Z	EnduroSat	\$1,630.00	2	\$3,260

 Table 12: Cost Estimation

X/Y-face solar panels	1U Solar Panel X/Y	EnduroSat	\$1,630.00	2	\$3,260
X/Y-face solar panels w/ RBF Pin	1U Solar Panel X/Y	EnduroSat	\$1,738.00	1	\$1,738
UHF/VHF antenna	Deployable Antenna System	ISIS	\$1,500.00	2	\$3,000
Camera	Raspberry Pi zero	Raspberry Pi Foundation	\$40.00	2	\$80
Camera	Raspberry Pi camera	Raspberry Pi Foundation	\$40.00	2	\$80
UHF/VHF transceiver	Linear Transponder Module	AMSAT	\$0.00	2	\$0
Passive attitude control	Permanent Magnets	TBD 1		(~\$30)	
Passive attitude control	Hysteresis rods				TBD
Total					\$32,006

### **Team Personnel and Responsibilities**

The team working on the CECIL Amateur Satellite is composed of fourth-year Aerospace and Mechanical Engineering students from the School of Engineering and Applied Sciences at the University of Virginia. Each student is part of either the management team or functional team, which is further divided into subsystems: Communications, Software and Avionics, Power, Thermal, and Environment, Attitude Determination and Control System (ADACS) and Orbits, and Structures and Integration. Table 13, below, details the different teams, student members, and the team's responsibilities. In addition to the student members, the CECIL team has two advisors: one faculty advisor and one university contractor who is a member of the amateur radio community. Recently, the CECIL team has also teamed up with AMSAT who have agreed to provide operation and licensing support. Faculty and supporting staff, and their responsibilities, can be found in Table 14 below.

The management team focuses primarily on budgeting, scheduling, determining system requirements and verifications, risk management and mitigation, as well as legal procedures such as licensing and ensuring compliance with service providers (see Appendix C: Required Compliance and Regulatory Documentation, for details). Additionally, the management team focuses on producing clear and concise schedules and task lists for the functional team members to follow, in order to avoid confusion and optimize allotted time. On the other hand, the

functional subteams focus on developing models and trade studies to identify which components and/or solutions best fit the mission objectives, while ensuring compliance with the system requirements. Functional subteams, specifically the Structures and Integration team, often work in conjunction with each other to ensure that the best component for a specific subsystem also integrates well with the system as a whole.

Functional Team	Members	Role
Program Management	Jack Shea Martin Keuchkerian	Manage project's budget & funding, timeline & schedule, radio frequency license acquisitions, purchasing and risk & mitigation.
Communications	Gabe Norris	Develop the on- and off-board radios to be used. Develop the communications architecture to be used.
Software and Avionics	Joshua Choe Andrew Oxford Monica Wuhrer	Develop the on-board flight control system hardware and software. Ensure all digital systems on the spacecraft integrate with each other. Develop the camera payload.
Power, Thermal, and Environment	Eva Femia Ari Goldman Isabella Todaro	Develop the power generation, storage, and distribution systems for the spacecraft. Ensure the spacecraft is able to function in the environmental extremes of LEO.
Attitude Determination and Control System (ADACS), and Orbits	Sean Bergmann Henry Blalock	Develop the systems that the spacecraft will use to determine and control where it is facing. Determine the spacecraft's orbit and assess influences on it.
Structures and Integration	David Broome Nathaniel Craft Zach Wilson	Develop the mechanical structure of the spacecraft. Integrate the hardware on the spacecraft into its structure.

 Table 13: Teams, Members, and Roles

Name	Title	Role	
Chris Goyne	Associate Professor of Mechanical and Aerospace Engineering Department	Instructor for University of Virginia spacecraft design course and mentor to University of Virginia student teams.	
Mike McPherson	Amateur Radio Community member	Provide an overview of and insight into the ham community and what they want from a satellite.	
AMSAT Personnel	AMSAT Members	Provide integration and licensing support.	

Table 14: Faculty and Staff Supporting the Mission

# Conclusion

CECIL (CubeSat Enabling Communication in LEO) has been designed over the past eight months, through the Preliminary Design phase, to reliably communicate with the UVA ground station and with amateur ground stations around the world using an AMSAT Linear Transponder Module. The mission has a low risk of failure, will cost about \$32,000, and will function within the bounds of an amateur radio license. The current student team members, supported by Professor Goyne, Mr. Mike McPherson, and other AMSAT members, have gained valuable experience in spacecraft design and project management. Component selections have been finalized, through the use of trade studies, for all five spacecraft subsystems: Structure, Power, Communications, Attitude Determination and Control, and Software and Avionics. Next year's student team will continue these efforts by completing the Critical Design phase and beginning construction. The CubeSat is expected to launch in 2022.

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# Appendices

### **Appendix A: Complete Functional Requirements and Constraints**

ID	System	Requirement	Specification	Rationale	Verification Method (Testing, Analysis, Inspection)
FUNC-001	ADAC	Pointing Rate: communications	The attitude of the CubeSat must be such that it can always communicate with ground stations below the path of orbit	To ensure reliable and consistent communications	Analysis
FUNC-002	ADAC	Pointing Rate: camera	The attitude of the CubeSat must allow for the camera to remain pointed at Earth	In order to take pictures on Earth whenever called upon	Analysis
FUNC-026	ADAC	Onboard attitude control maximum weight	The onboard attitude control shall weigh less than 61 grams	To ensure the CubeSat weighs less than the constrained maximum weight for launch	Inspection
FUNC-015	AV	Electronics Software	Software must coordinate on-board systems and payloads	In order for the UVA ground station to adequately operate satellite's subsystems	Testing
FUNC-020	AV	Flight computer should have flight heritage	Specific model of flight computer must have flown at least once for at least 1 year successfully	To ensure the computer is known to be reliable in space	Inspection
FUNC-021	AV	The primary flight computer shall	Control of the on-board camera shall be commanded entirely through the flight computer,	To limit access to the camera for only specific	Inspection

		operate the on-board camera	with no external communication	purposes and to allow more sophisticated control and data handling/processi ng	
FUNC-022	AV	The primary flight computer shall operate all radios on the spacecraft	Radio modes, message processing, and transmission shall all be coordinated by the on-board computer	To ensure reliable access to the spacecraft for maintenance and allow for easier updates if needed	Inspection
FUNC-023	AV	GPS maximum weight	The GPS shall weigh less than 57 grams	To ensure the CubeSat weighs less than the constrained maximum weight for launch	Inspection
FUNC-024	AV	Onboard camera maximum weight	The onboard camera shall weigh less than 37 grams	To ensure the CubeSat weighs less than the constrained maximum weight for launch	Inspection
FUNC-030	AV	Onboard microcontroller maximum weight	The onboard microcontroller should weigh less than 66 grams	To ensure the CubeSat weighs less than the constrained maximum weight for launch	Inspection
FUNC-031	AV	The altitude determination and control components maximum weights	The altitude determination and control components shall weigh less than 93 grams	To ensure the CubeSat weighs less than the constrained maximum weight for launch	Inspection

FUNC-060	AV	Post-Deployment Timer	CubeSat shall not operate any system (including RF transmitters, deployment mechanisms or otherwise energize the main power system) for a minimum of 30 minutes where hazard potential exists. Satellites shall have a timer (set to a minimum of 30 minutes and require appropriate fault tolerance) before satellite operation or deployment of appendages where hazard potential exists.	To comply with NanoRacks CubeSat deployer requirement	Inspection and Testing
FUNC-080	AV	Recovery from loss of communications	The spacecraft will cycle power after two consecutive weeks of no communications from ground stations	To allow for recovery if spacecraft radio or computer reaches a state were communications can not be established from a ground station	Testing
FUNC-016	COMM	Response time	< 1 min	To avoid false-negatives	Testing
FUNC-017	СОММ	Simultaneous communications	The CubeSat must be able to communicate to more than one ground station at a time	To allow more than one ground station to communicate with a satellite during a fly over	Testing
FUNC-018	СОММ	Antenna hardware	Consistent with amateur radio on spacecraft	In order to achieve mission objectives	Testing
FUNC-019	COMM	Antenna Gain	15 db	To overcome minimum	Analysis and Testing

				atmospheric, ionospheric, and pointing losses to increase communication reliability	
FUNC-028	СОММ	Onboard antenna maximum weight	The onboard antenna should weigh less than 93 grams grams	To ensure the CubeSat weighs less than the constrained maximum weight for launch	Inspection
FUNC-029	СОММ	The communication radios used shall weigh less than 106 grams	The communication radios used shall weigh less than 106 grams	To ensure the CubeSat weighs less than the constrained maximum weight for launch	Inspection
FUNC-033	СОММ	Beaconing	Satellite must be capable of beaconing upon being deployed	To increase probability of communication with ground station and to allow for more accurate tracking	Testing
FUNC-081	СОММ	Recovery from computer freeze	Allow for radio system to bypass motherboard and reset spacecraft	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-003	POWER	Solar panels	The solar panel area which is exposed to the sun must be capable of providing adequate power	Supply enough power to allow the satellite to operate, and hold power while traveling behind Earth	Analysis and Testing

FUNC-010	POWER	Solar Panels power generation	Must generate enough power to support all mission functions	To ensure payload (amateur radio) and other mission functions have access to enough power	Analysis and Testing
FUNC-011	POWER	Solar Panels excess power generation	Must generate enough excess power to charge the battery	To ensure payload (amateur radio) and other mission functions have access to enough power during eclipse	Analysis and Testing
FUNC-012	POWER	Batteries capacity	Must be able to hold enough power for the CubeSat to function through the entire duration of an eclipse	To maintain continuous operation of the amateur radio and other mission functions during the entirety of the CubeSat's orbit	Analysis
FUNC-013	POWER	Battery life	The effective capacity of the battery must not fall below the critical value for the lifespan of the mission	To ensure the CubeSat is fully operational (all mission functions and the amateur radio working properly) until natural deorbit	Analysis
FUNC-014	POWER	Satisfactory Voltage and Amperage	Voltage and amperage must be in safe operating range for all components	To ensure proper function of all components	Testing
FUNC-027	POWER	Solar panels without an attached antenna maximum weight	Solar panels without an attached antenna shall weigh less than 50 grams	To ensure the CubeSat weighs less than the constrained	Inspection

				maximum weight for launch	
FUNC-032	POWER	Battery and EPS maximum weight	The battery and EPS shall weigh less than 190 grams	To ensure the CubeSat weighs less than the constrained maximum weight for launch	Inspection
FUNC-059	POWER	Power Storage Device Location	All electrical power storage devices shall be internal to the CubeSat.	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-061	POWER	Electrical Inhibits	The CubeSat electrical system design shall incorporate a minimum of three (3) independent inhibit switches actuated by physical deployment switches as shown in Figure 4.2-1 on NanoRacks Interface Definition Document. The satellite inhibit scheme shall include a ground leg inhibit (switch D3 on Figure 4.2-1) that disconnects the batteries along the power line from the negative terminal to ground.	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-062	POWER	Ground Circuit	The CubeSat electrical system design shall not permit the ground charge circuit to energize the satellite systems (load), including flight computer (see Figure 4.2-1 on NanoRacks Interface Definition Document). This restriction applies to all charging methods.	To comply with NanoRacks CubeSat deployer requirement	Inspection

FUNC-065	POWER	Wire Requirement	The CubeSat Electronics Power System (EPS) shall have no more than six (6) inches of wire 26AWG or larger between the power source (i.e. battery pack) and the first electrical inhibit (MOSFET or equivalent).	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-071	POWER	Battery Testing	All flight cells and battery packs shall be subjected to an approved set of acceptance screening tests to ensure the cells will perform in the required load and environment without leakage or failure. While the specific test procedures vary depending on the type of battery, the majority of Lithium ion or Lithium polymer cells / batteries used in CubeSats can be tested to a standard statement of work issued by NanoRacks (NR-SRD-139).	To comply with NanoRacks CubeSat deployer requirement	Testing
FUNC-072	POWER	Internal Short Circuit	Protection circuitry and safety features shall be implemented at the cell level to prevent an internal short circuit.	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-073	POWER	External Short Circuit	Protection circuitry and safety features shall be implemented at the cell level to prevent an external short circuit.	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-074	POWER	Overvoltage & Undervoltage Protection	Protection circuitry and safety features shall be implemented at the cell level to prevent overvoltage or undervoltage conditions of the cell.	To comply with NanoRacks CubeSat deployer requirement	Inspection and Testing

FUNC-075	POWER	Battery Charging	It should be verified that the battery charging equipment (if not the dedicated charger) has at least two levels of control that will prevent it from causing a hazardous condition on the battery being charged.	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-076	POWER	Battery Energy Density	For battery designs greater than 80 Wh energy employing high specific energy cells (greater than 80 watt-hours/kg, for example, lithium-ion chemistries) require additional assessment by NanoRacks due to potential hazard in the event of single-cell, or cell-to-cell thermal runaway	To comply with NanoRacks CubeSat deployer requirement	Inspection and Testing
FUNC-077	POWER	Pouch Cell Expansion	Lithium Polymer Cells i.e. "pouch cells" shall be restrained at all times to prevent inadvertent swelling during storage, cycling, and low pressure or vacuum environments with pressure restraints on the wide faces of the cells to prevent damage due to pouch expansion.	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-078	POWER	Button Cell Batteries	Button cell or coin cell batteries are often used in COTS components to power real-time clocks (RTCs), watch-dog circuits, or secondary systems for navigation, communication, or attitude control. These batteries shall be clearly identified by	To comply with NanoRacks CubeSat deployer requirement	Inspection

			part number and UL listed or equivalent.		
FUNC-079	POWER	Capacitors	Capacitors used as energy storage devices are treated and reviewed like batteries. Hazards associated with leaking electrolyte can be avoided by using solid state capacitors. Any wet capacitors that utilize liquid electrolyte must be reported to NASA. The capacitor part number and electrolyte must be identified along with details of how the capacitor is used and any associated schematics.	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-004	STRC	Frame material shock resistance	Frame must be able to withstand physical shock encountered during launch and operations	To ensure structural integrity during launch	Testing
FUNC-006	STRC	Frame material vibration resistance	Must be able to withstand vibrations encountered during launch and operations	To ensure the satellite survives the launch phase of the mission	Testing
FUNC-007	STRC	Component integration	Frame must contain anchoring and fixtures to accommodate all interior components	To ensure all interior components are secure and integrated into the satellite structure so as to achieve proper function	Inspection

FUNC-025	STRC	Cubesat structure maximum weight	The Cubesat structure shall weight less than 100 grams	To ensure the CubeSat weighs less than the constrained maximum weight for launch	Inspection
FUNC-034	STRC	Rail Positioning	The CubeSat shall have four (4) rails along the Z axis, one per corner of the payload envelope, which allow the payload to slide along the rail interface of the NRCSD. Refer to NanoRacks Interface Definition Document	To comply with NanoRacks CubeSat deployer requirement	Inspection and Testing
FUNC-035	STRC	Rail and Envelope Dimensions	The CubeSat rails and envelope shall adhere to the dimensional specification outlined in the NanoRacks Interface Definition Document for specific dimensions	To comply with NanoRacks CubeSat deployer requirement	Inspection and Testing
FUNC-036	STRC	Rail Width	Each CubeSat rail shall have a minimum width (X and Y faces) of 6mm.	To comply with NanoRacks CubeSat deployer requirement	Inspection and Testing
FUNC-037	STRC	Rail Edge Radius	The edges of the CubeSat rails shall have a radius of 0.5mm +/- 0.1mm.	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-038	STRC	CubeSat Load Points	The CubeSat +Z rail ends shall be completely bare and have a minimum surface area of 6mm x 6mm.	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-039	STRC	Rail Design Tolerance	The CubeSat rail ends (+/-Z) shall be coplanar with the other rail ends within +/- 0.1mm.	To comply with NanoRacks CubeSat deployer requirement	Inspection

FUNC-040	STRC	Frame Material Static Load resistance	Must be able to withstand static loads encountered during launch and operations	To ensure the satellite survives the launch phase of the mission	Testing
FUNC-041	STRC	Minimum Structural and Integration Safety Factor	The Cubesat structure and integration components must have a safety factor of at least	To ensure CubeSat survives launch phase	Inspection and Analysis
FUNC-042	STRC	Rail length	The CubeSat rail length (Z axis) shall be the 113.50mm	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-043	STRC	Rail Continuity	The CubeSat rails shall be continuous. No gaps, holes, fasteners, or any other features may be present along the length of the rails (Z-axis) in regions that contact the NRCSD rails.	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-044	STRC	Rail Envelope	The minimum extension of the +/-Z CubeSat rails from the +/-Z CubeSat faces shall be 2mm	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-045	STRC	Mechanical Interface	The CubeSat rails shall be the only mechanical interface to the NRCSD in all axes (X, Y and Z axes).	To comply with NanoRacks CubeSat deployer requirement	Inspection and Testing
FUNC-046	STRC	Rail Hardness	The CubeSat rail surfaces that contact the NRCSD guide rails shall have a hardness equal to or greater than hard-anodized aluminum (Rockwell C 65-70).	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-047	STRC	Rail Surface Roughness	The CubeSat rails and all load points shall have a surface roughness of less than or equal to 1.6 µm.	To comply with NanoRacks CubeSat deployer requirement	Inspection

FUNC-048	STRC	Center of Mass	The CubeSat center of mass (CM) shall be located within the following range relative to the geometric center of the payload. a. X-axis: (+/- 2cm) b. Y-axis: (+/- 2cm) c. Z-axis: i. 1U: (+/- 2cm) ii. 2U (+/- 4cm) iii. 3U (+/- 4cm) iii. 3U (+/- 6cm) iv. 4U (+/- 8cm) v. 5U (+/- 10cm) vi. 6U (+/- 12cm)	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-049	STRC	RBF/ ABF Access	The CubeSat shall have a remove before flight (RBF) feature or an apply before flight (ABF) feature that is physically accessible via the NRCSD access panels (not in the Z-axis)	To comply with NanoRacks CubeSat deployer requirement	Inspection and Testing
FUNC-050	STRC	Deployment Switch Requirement	The CubeSat shall have a minimum of three (3) deployment switches that correspond to independent electrical inhibits on the main power system (see section on electrical interfaces).	To comply with NanoRacks CubeSat deployer requirement	Inspection and Testing
FUNC-051	STRC	Plunger Switch Location	Deployment switches of the pusher/plunger variety shall be located on the rail end faces of the CubeSat's -Z face	To comply with NanoRacks CubeSat deployer requirement	Inspection and Testing
FUNC-052	STRC	Roller Switch Location	Deployment switches of the roller/lever variety shall be embedded in the CubeSat rails (+/- X or Y faces).	To comply with NanoRacks CubeSat deployer requirement	Inspection and Testing

FUNC-053	STRC	Switch Contact Surface Area	Roller/slider switches shall maintain a minimum of 75% surface area contact with the NRCSD rails (ratio of switch contact to NRCSD guide rail width) along the entire Z axis	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-054	STRC	Switch Reset	The CubeSat deployment switches shall reset the payload to the pre-launch state if cycled at any time within the first 30 minutes after the switches close (including but not limited to radio frequency transmission and deployable system timers).	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-055	STRC	Switch Captivation	The CubeSat deployment switches shall be captive.	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-056	STRC	Switch Force	The force exerted by the deployment switches shall not exceed 3N.	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-057	STRC	Total Switch Force	The total force of all CubeSat deployment switches shall not exceed 9N.	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-058	STRC	Deployable Systems Restrain Mechanism	CubeSat deployable systems (such as solar arrays, antennas, payload booms, etc.) shall have independent restraint mechanisms that do not rely on the NRCSD dispenser.	To comply with NanoRacks CubeSat deployer requirement	Inspection and Testing
FUNC-063	STRC	RBF / ABF Requirement	The CubeSat shall have a remove before flight (RBF) feature or an apply before flight (ABF) feature that keeps the satellite in an unpowered state	To comply with NanoRacks CubeSat deployer requirement	Inspection and Testing

			throughout the ground handling and integration process into the NRCSD.		
FUNC-064	STRC	RBF / ABF Functionality	The RBF /ABF feature shall preclude any power from any source operating any satellite functions except for preintegration battery charging.	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-066	STRC	Random Vibration Environment	The CubeSat shall be capable of withstanding the random vibration environment for flight with appropriate safety margin as outlined in Section 4.3.2.1 on NanoRacks Interface Definition Document	To comply with NanoRacks CubeSat deployer requirement	Analysis and Testing
FUNC-067	STRC	Integrated Loads Environment	The CubeSat shall be capable of withstanding a force 1200N across all load points equally in the Z direction.	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-068	STRC	Airlock Depressurization	The CubeSat shall be capable of withstanding the pressure extremes and depressurization / pressurization rate of the airlock as defined in Section 4.3.8 on NanoRacks Interface Definition Document	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-069	STRC	CubeSat SubDeployables	CubeSats shall not have detachable parts during launch or normal mission operations. Any exceptions will be coordinated with NanoRacks and documented in the unique payload ICA.	To comply with NanoRacks CubeSat deployer requirement	Inspection
FUNC-070	STRC	Space Debris Compliance	CubeSats shall comply with NASA space debris mitigation guidelines as documented in	To comply with NanoRacks CubeSat deployer requirements and	Analysis

			NASA Technical Standard NASASTD-8719.14A	NASA technical standards	
FUNC-005	THRM	Frame material temperature resistance	Frame must be able to resist damage or warping due to temperature fluctuations during launch and operations	To ensure structural integrity during exposed/not exposed to sunlight	Testing
FUNC-008	THRM	Temperature	Able to continually function within an external temperature range between -170°C and 123°C	To ensure satellite is able to properly function in its expected environment	Analysis and Testing
FUNC-009	THRM	Heating/cooling	Must keep interior components within safe operating temperature range	To ensure satellite is able to properly function in its expected environment	Analysis and Testing

ID	System	Constraints	Specification	Rationale	Verification Method (Testing, Analysis, Inspection)
CNST-001	STRC	NASA Deployer integration	Must fit within PPOD deployer, with standardized rails	Abide by NASA's CubeSat Program Document	Analysis and Testing
CNST-002	СОММ	Radio frequency	Must communicate using amateur radio frequencies	To increase the number of options for ground stations when communicating with the spacecraft and to reduce licensing complexities	Analysis
CNST-003	STRC	Mass (kg)	< 1.33	To comply with NASA's CubeSat Design Specification Guidelines	Inspection

CNST-004	STRC	Materials: out-gassing	Total Mass Loss (TML) ≤ 1.05	Comply with the CubeSat program requirements	Inspection
CNST-005	STRC	Materials: out-gassing	Collected Volatile Condensable Material $\leq 0.1\%$	Comply with the CubeSat program requirements	Inspection
CNST-006	STRC	Materials: flammability	Use only non-flammable materials	Comply with the CubeSat program requirements	Inspection
CNST-007	STRC	Materials: toxicity	Use only nontoxic materials	Comply with the CubeSat program requirements	Inspection
CNST-008	STRC	Materials: CubeSat structure	Must use Aluminium 7075, 6061, 5005, and/or 5052 (can receive waiver)	Comply with the CubeSat program requirements	Inspection
CNST-009	STRC	Dimensions (cm)	10 x 10 x 10	To comply with NASA's CubeSat Design Specification Program Guidelines	Inspection
CNST-010	STRC	Materials	Materials used in the design must be pre-approved by NASA	Comply with the CubeSat program requirements	Analysis
CNST-011	STRC	Magnetic field limitations	Static envelope of <0.5 Gauss above Earth's magnetic field	comply with launch vehicle limitations and allow for CubeSat separation after deployment	Testing
CNST-012	STRC	Ascent venting	Ascent venting per ventable volume/area <2000 inches		Analysis
CNST-013	PAYLO AD	Satellite operations	The satellite must remain powered off while in deployer	Must adhere to NASA CSLI	Testing
CNST-014	AV	SpaceCap	Must use the International Telecommunications	Necessary for broadcasting radio in space	Inspection

			Union's (ITU) software SpaceCap to notify the FCC and the ITU of communications with the satellite		
CNST-015	STRC	Structure's Materials	Must use Aluminium 7075, 6061, 5005, and/or 5052 (can receive waiver)	Comply with the CubeSat program requirements	Inspection
CNST-016	STRC	Standoff Rails Materials	Must be hard anodized aluminium	Prevent cold welding with deployer	Inspection
CNST-017	AV	Photograph restrictions	The satellite can not take pictures of Israel with better resolution than currently available commercial grade satellites	To ensure the mission concept and architecture is approved by NOAA	Inspection
CNST-018	STRC	Stress Corrosion Materials	Stress corrosion resistant materials from Table I of MSFC SPEC-522 are preferred. Any use of stress corrosion susceptible materials (Table II) shall be coordinated with NanoRacks and documented in the ICA. Any use of Table III materials shall be avoided.	To comply with NanoRacks CubeSat deployer requirement	Inspection

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			The CubeSat		
			developer shall		
			submit evidence of all		
			regulatory		
			compliance for		
			spectrum utilization		
			and remote sensing		
			platforms to		
			NanoRacks prior to		
			handover of the	To comply with NanoRacks	
CNST-019	PROJ	Regulatory	payload. This	CubeSat deployer	Inspection
		Compliance	evidence shall come	requirement	
			in the form of the		
			authorization or		
			license grant issued		
			directly from the		
			governing body /		
			agency (which is		
			dependent on the		
			country the CubeSat		
			originates).		
			5 ,		

## Appendix B: Complete Risk Register

ID	Risk	Risk Owner	Probability	Severity	Overall Severity
RISK-001	Attitude control system does not provide sufficient torque	Attitude determination and control	1	2	2
RISK-002	Camera can't see the Earth at any point in orbit	Attitude determination and control	1	3	3
RISK-003	Oscillations are not damped by the attitude control system	Attitude determination and control	2	3	6
RISK-004	Permanent magnets installed incorrectly	Attitude determination and control	2	1	2
RISK-006	UVA ground station is unable to communicate with the satellite	Communications	4	5	20
RISK-007	Satellite fails to beacon upon startup	Communications	3	2	6
RISK-008	Satellite radio does not switch to transmit mode	Communications	3	4	12
RISK-009	Satellite radio does not switch to receiver mode	Communications	3	4	12
RISK-010	Satellite radio communicates on non-amateur radio frequencies	Communications	1	4	4
RISK-011	Satellite radio does not communicate on the expected frequencies	Communications	1	4	4
RISK-012	Satellite radio does not transmit with sufficient power to communicate with ground stations	Communications, Power and Thermal	2	5	10

RISK-013	Electronics fail to power up upon deployment	Power and thermal	5	5	25
RISK-014	1 solar panel stops producing power	Power and thermal	3	1	3
RISK-015	2 solar panels stop producing power	Power and thermal	2	3	6
RISK-016	3 solar panels stop producing power	Power and thermal	1	5	5
RISK-017	4 solar panels stop producing power	Power and thermal	1	5	5
RISK-018	Electrical components fail after leaving operational temperature range	Power and thermal	3	3	9
RISK-019	Solar panels produce insufficient power upon deployment	Power and thermal	1	5	5
RISK-020	Improper voltages are supplied to satellite components	Power and thermal	1	5	5
RISK-021	Selected components are electronically incompatible with one another	Power and thermal	1	5	5
RISK-022	Battery does not store sufficient power for time spent in eclipse upon deployment	Power and thermal	2	4	8
RISK-023	Solar panel power production degrades quicker than expected	Power and thermal	1	3	3
RISK-024	Battery capacity degrades more quickly than expected	Power and thermal	2	3	6
RISK-025	Power draw of components is higher than expected	Power and thermal	2	3	6
RISK-026	Total cost overrun	Program management	4	5	20
RISK-027	Schedule overrun	Program management	5	3	15
RISK-028	Loss of institutional knowledge	Program management	5	4	20

RISK-029	AMSAT does not agree to supply the radio	Program management	3	3	9
RISK-030	AMSAT is not readily available for communication	Program management	3	3	9
RISK-031	Failure to get funding	Program management	1	5	5
RISK-032	Failure of launch vehicle to reach orbit	Program management	1	5	5
RISK-033	Project not approved for launch funding from the NASA CubeSat launch initiative	Program management	3	5	15
RISK-034	Selected parts or components unavailable	Program management	3	1	3
RISK-035	Launch cancellation	Program management	3	1	3
RISK-036	computer components fail to boot upon deployment	Software and avionics	5	5	25
RISK-037	Flight computer and others systems use different communication protocols	Software and avionics	2	3	6
RISK-038	Storage is corrupted	Software and avionics	3	3	9
RISK-039	Camera is exposed to direct sunlight	Software and avionics	3	1	3
RISK-040	Connectors come loose/are damaged during launch	Software and avionics	3	4	12
RISK-041	Camera does not take pictures	Software and avionics	3	3	9
RISK-042	flight computer runs out of memory for normal operation	Software and avionics	1	5	5
RISK-043	Processor is damaged during launch	Software and avionics	1	5	5
RISK-044	Memory is damaged	Software and avionics	1	5	5

RISK-045	Selected components software is incompatible with one another	Software and avionics	2	3	6
RISK-046	Insufficient memory to process images	Software and avionics	1	2	2
RISK-047	Processor overloaded	Software and avionics	1	2	2
RISK-048	Storage is damaged	Software and avionics	1	3	3
RISK-049	Camera lens is damaged	Software and avionics	1	3	3
RISK-050	Camera ground resolution is too high to get a licenses from NOAA	Software and avionics	1	2	2
RISK-051	Flight computer crashes while in orbit	Software and avionics	1	1	1
RISK-052	Runs out of storage	Software and avionics	1	1	1
RISK-053	Flight computer connectors are incompatible with other systems (e.g. ADACs, radio, etc.)	Software and avionics	2	1	2
RISK-054	Components becoming disconnected during launch	Structures and integration	2	5	10
RISK-055	Structural failure due to launch vibrations	Structures and integration	2	5	10
RISK-056	Structural failure due to launch accelerations	Structures and integration	2	5	10
RISK-057	Improper installation of components in satellite	Structures and integration	2	3	6
RISK-058	Failure to deploy antenna	Structures and integration	1	5	5
RISK-059	Material failure due to thermal stress	Structures and integration	1	3	3
RISK-060	Non-compliance to NASA standards	Structures and integration	1	3	3

RISK-061	Components becoming disconnected during deployment	Structures and integration	1	5	5
RISK-062	Space debris impacts	Structures and integration	1	5	5
RISK-063	Destruction of antenna	Structures and integration	1	5	5
RISK-064	Destruction of internal electronics	Structures and integration	1	5	5
RISK-065	Selected components are structurally incompatible with one another	Structures and integration	3	1	3
RISK-066	Failure of component board attachment system	Structures and integration	1	3	3
RISK-067	Components damaged during integration	Structures and integration	3	1	3
RISK-068	Destruction of 1 solar panel	Structures and integration	1	1	1
RISK-069	Destruction of 2 solar panels	Structures and integration	1	1	1
RISK-070	Destruction of 3 solar panels	Structures and integration	1	4	4
RISK-071	Destruction of 4 solar panel	Structures and integration	1	5	5
RISK-072	Schedule overrun due to COVID-19 outbreak	Project management	5	5	25

Item #	Requiring Entity	Deliverable	Description
1	Nano Racks	Safety Data Template	Summary of Satellite Design: requires filling in NanoRacks template with basic satellite design information appropriate for processing the satellite through the Safety Review Process.
2	Nano Racks	Bill of Materials	To be utilized for external outgassing contamination assessment and formation of Materials Identification Usage List (MIUL).
3	Nano Racks	Battery Test Report	Test report shows compliance with work instructions provided by NanoRacks.
4	Nano Racks	Vibration Test Report	Integrated test report outlining test set-up, as-run accelerometer response plots, and post-vibration functional and inspection results
5	Nano Racks	Investigation Summary Form	Template provided by NanoRacks documenting the science objectives of the payload for use on a public NASA webpage.
6	Nano Racks	Final Satellite As-Measured Mass Properties	Mass and CM (Mass Measured, CM Calculated)
7	Nano Racks	Power System Functional Test Report for EPS inhibits verification	Safety inhibits part of the spacecraft EPS system.
8	Nano Racks	Structural Analysis	NR to provide specific guidance on what is required depending on the hazard classification of the payload.
9	Nano Racks	Inspection Reports for fracture critical parts (if any fracture critical parts)	N/A

### **Appendix C: Required Compliance and Regulatory Documentation**

10	Nano Racks	Inspection Reports for stress corrosion parts (if any stress corrosion sensitive parts)	N/A
11	FCC	Appendix 4	A draft "Appendix 4" notification for submission to the International Telecommunications Union (ITU) Radio Regulations. The draft notification should be prepared using the ITU software "SpaceCap"
12	FCC	International Amateur Radio Union Letter	A letter from the International Amateur Radio Union (IARU) indicating completion of coordination
13	NASA/FCC/N OAA	Orbital Debris Assessment Report (ODAR), or similar, showing compliance inputs	Document that assures all interested parties that your CubeSat won't pose an unacceptable hazard to other orbiting spacecraft, will deorbit in a reasonable amount of time, and that no unacceptably large piece of your CubeSat is going to survive reentry when it deorbits and burns up in the atmosphere. Refer to CubeSat 101 Appendix C for template
14	NASA	Transmitter surveys	The transmitter survey is a series of questions about the CubeSat's communication system. Refer to CubeSat 101 Appendix C for template
15	NASA	Materials list	Document identifying every material used on the CubeSat along with its mass (or expected mass), its location on the CubeSat, and its outgassing properties including Total Mass Loss (TML) and Collected Volatile Condensable Materials (CVCM). Refer to CubeSat 101 Appendix C for template
16	NASA	Mass Properties Report	The mass properties report identifies the CubeSat's total mass, center of gravity (CG), moments of inertia (MOIs), and products of

			inertia (POI) relative to each axis.
17	NASA	Battery report	Used to verify that proper battery circuit protection is in place. Refer to CubeSat 101 Section 6.5 for details
18	NASA	Dimensional verifications	To ensure the CubeSat will fit into its flight dispenser. Refer to CubeSat 101 Appendix C for template
19	NASA	Electrical report	An electrical report will be used to verify a number of requirements listed in the CubeSat-to-dispenser ICD
20	NASA	Venting analysis	To show mission integrator that the CubeSat has adequate venting to prevent the explosive decompression of any container in the CubeSat as it makes the quick transition from standard atmosphere to vacuum
21	NASA	Testing procedures/reports	A report will need to be submitted for each test used to verify CubeSat-to-dispenser ICD requirements (Day in the Life Testing, Dynamic Environment Testing, Thermal Vacuum Bakeout Testing)
22	NASA	Compliance letter	a statement from the CubeSat developer guaranteeing that the CubeSat is compliant with the entire CubeSat-to-dispenser ICD, and that no prohibited components are aboard, and it is signed by the principal investigator
23	NASA	Safety package inputs (e.g., Missile System Prelaunch Safety Package—or MSPSP, flight safety panel)	The CubeSat developer typically is responsible for creating the MSPSP, but the mission integrator will create a template, with instructions, for the CubeSat teams to complete.
24	NOAA	Application	Application including all basic information about the mission plan and CubeSat specifications

### **Appendix D: Alternate (Single-Vendor) Design Option**

The final satellite design presented in this report uses an EnduroSat CubeSat structure and solar panels, with an AAC Clyde Space EPS and on-board flight computer. However, a Clyde Space structure and solar panels could feasibly be adopted in place of the selected EnduroSat components if necessary. The Clyde Space structure has flight heritage and meets all NASA CubeSat Launch Initiative and Nanoracks CubeSat Deployer requirements. Further, it has a standard 1U structure rail/spacer hole pattern, which would allow for easy mechanical integration with the interior stack in its current form. The initial choice for EnduroSat solar panels is based on the result of the trade study prioritizing the following elements: weight, dimension, cost, and structural integration. Based on flight heritage (Libertas), there is a compatible electrical integration method between the Endurosat Solar Panels and Clyde Space EPS. In the event that structure choice is changed from EnduroSat to Clyde Space, a re-evaluation of solar panel trade study is advised with further consideration of the Clyde Space solar panels. The Clyde Space solar panels would easily integrate electrically with the (already-selected) Clyde Space EPS, which is chosen for seamless integration with the chosen onboard flight computer of the same vendor. This change of the CubeSat structure and solar panels vendor from EnduroSat to Clyde Space would result in a single-vendor structure, flight computer, EPS, and solar panel configuration.

The Ethics of Job Automation: A Social and Political Analysis of the Problems Associated with Technological Development, and Potential Policy Remedies for Worker Dislocation

A Research Paper submitted to the Department of Engineering and Society

Presented to the Faculty of the School of Engineering and Applied Science University of Virginia • Charlottesville, Virginia

> In Partial Fulfillment of the Requirements for the Degree Bachelor of Science, School of Engineering

> > Isabella Todaro Spring Semester, Fourth Year

On my honor as a University Student, I have neither given nor received unauthorized aid on this assignment as defined by the Honor Guidelines for Thesis-Related Assignments

Isabella Todaro

Approved

Signature

Date

Professor Michael Gorman, Department of Engineering and Society

Baldla Lolars

Date 04/29/2020

#### I. Introductory Background and Problem Statement:

Automation is defined as "the technique of making an apparatus, a process, or a system operate automatically" (ISA). Labor-saving technology, which broadly describes any technology with the purpose of replacing or diminishing human (particularly manual) labor, makes the automation of many formerly human-performed jobs possible (Webster). Intuitively, such replacement, in the absence of new job creation under the same employer, can result in job-layoffs. Workers who have experienced layoffs are called 'dislocated' workers (NCSL). With widespread occurrence of worker dislocation comes structural unemployment, defined as unemployment due to "a fundamental mismatch between the number of people who want to work and the number of jobs that are available" (Diamond, 2013, p.36). Put simply, an economy transitioning in this manner often does not supply enough jobs to meet the demand of the labor force.

#### *II. Motivation:*

The motivation for pursuing this STS (Science, Technology, and Society) topic consists of both my own interest in the subject matter and my ethical qualms about the (unmitigated) effects of job automation and subsequent worker dislocation. As a mechanical engineer, I have always found the technological innovations that drive the automation of formerly human-performed jobs interesting from a purely technical perspective. In the past six months, in light of certain political discourse between some of the former 2020 Democratic Presidential candidates, I became interested in analyzing how job automation is changing our economy and affecting American workers, and evaluating several policy prescriptions that already exist, or have been proposed by political figures, to address the social ramifications of worker dislocation

and ease the transition to a differently-structured economy. It is difficult for me to view automation technology as a positive contribution to the public good if it in fact leaves many people jobless and unqualified for new positions in the transition toward factory (and likely soon, white-collar workplace) automation. Logically, it seems this will be the case *unless* the economy grows quickly enough to create enough new human-performed jobs for workers dislocated due to automation. Meaningful collaboration between humans and machines will likely prove necessary as automation technology is phased in. Though job automation is a hefty topic with many facets, I feel responsible as a mechanical engineering student to evaluate, through research and analysis, its breadth, ramifications, and potential remedies.

#### III. Approach:

This STS Thesis will first briefly present the necessary historical, technical, and economic research on labor-saving technology and job automation necessary to conduct ethical analyses of: (1) the effects of job automation on people, and (2) the potential ways to mitigate its unsavory effects on American workers and on society as a whole. Some STS ideas and the ethical approaches of Utilitarianism, the Rights approach, the Fairness or Justice approach, and the Common Good approach should prove useful in performing the two stated analyses. Several of these approaches will share some overlap with each other, especially when applied to the specific circumstances or policies, which should help sound conclusions come to light, illuminated by multiple relevant ethical approaches at once.

#### *IV. Objectives:*

Using the approach outlined above, this paper will seek to answer the following three research questions:

(1) How has job automation altered the United States economy so far?

- (2) What are the current and future implications of this change for American workers?
- (3) What policy remedies, if any, should the government provide to dislocated workers and/or to American citizens in general?

Addressing questions (1) and (2) will illuminate the problems that can arise as a result of job automation from economic and ethical standpoints. Question (3) requires answers from a political standpoint, guided by classical ethical frameworks so as to conduct well-informed problem-solving. The evaluation of potential policy solutions to the problem of worker dislocation forms the crux of the analysis that will address this third, and most important, research question. Ultimately, socio-technical solutions to the ethical issues uncovered will emerge from the answers to these research questions. To distill down the solutions demanded by these questions to a single, broad objective, this STS Thesis seeks to communicate the social problems caused by unrestrained automation technology, and to propose a feasible and ethical allocation of human intelligence, AI, and robotic technology.

#### *V. History and Technical Background:*

Labor-saving technology, which enables job automation, is not by any means a new development. Individual people and businesses have, throughout all of recorded history, invented and utilized tools and machines to reduce or replace manual labor. Famous inventions such as Johann Gutenberg's printing press with movable type, invented in 1448 (Lemelson-MIT); Eli Whitney's cotton gin, patented in 1794 (Schur); and James Watt's steam engine (a significantly more efficient model than the existing steam engines of his time), first patented in 1769 (BBC); all satisfy the definition of labor-saving technology.

Notably, the technological advancements of the 18th and 19th centuries drove the Industrial Revolution, facilitating the automation of numerous tasks that had previously been performed manually by workers. For example, skilled 18th-century textile weavers found themselves replaced by textile mills employing power looms, spinning mules, and unskilled factory workers (BCP). Groundbreaking advancements by Henry Cort and James Watt had made sweeping industry changes like this possible. Cort's novel iron refinement method (patented in 1785) made iron production significantly less costly and yielded stronger iron, providing the material for the machines that automated the textile, construction, shipbuilding, and transportation industries, while Watt's steam engine provided the power for those machines (BCP). Their inventions enabled the efficient production of many goods to be centralized in factories, instead of performed by many people individually at their homes (BCP), likely reducing the number of total workers due to the increased efficiency and centralization. Job automation persisted from the late 19th century into the 20th century as labor-saving technological advancements like the internal combustion engine replaced the steam engine and powered many types of factory machinery with far greater efficiency and autonomy (New World Encyclopedia).

In the mid-20th century, a very different technology-driven economic revolution began as semiconductor devices ushered in a new era of digital and electronic innovation: the Information and Communication Technology (ICT) Revolution. According to Jorgenson and Vu, "The birth of modern ICT was marked by the invention of the transistor, a semiconductor device that acts as an electrical switch and encodes information in binary form. This takes the values zero and one, corresponding to the "off" and "on" positions of a switch" (2016, p.1). Bell Labs created the

first transistor in 1947 using semiconductor germanium, and their transistor proved to be such a ground-breaking device that its inventors won the Nobel Prize in Physics for it in 1956 (Jorgenson & Vu, 2016, pp.1-2). In 1959, Jack Kilby and Robert Noyce invented the integrated circuit, a semiconductor device consisting of "multiple transistors that store and manipulate data in binary form" (Jorgenson & Vu, 2016, p.2). A few years later, Intel's co-founder Gordon Moore (Noyce was the other co-founder of Intel, the semiconductor chip manufacturer) made a prediction that would later be called Moore's Law: "The number of transistors incorporated in a chip will approximately double every 24 months" (Intel). The company then developed the first central processing unit (CPU) in 1971, a computer chip boasting 2,300 transistors (Intel). Thus far, Moore's Law has proved true with each new generation of Intel's CPUs.

Exponentially increasing transistor density on computer chips, and in turn exponentially increasing processing power, efficiency, and performance, made numerous computer science and technological advancements possible, such as AI and robotics. Artificial Intelligence is a branch of computer science "concerned with the development of computers [that are] able to engage in human-like thought processes such as learning, reasoning, and self-correction" (Kok et al, 2009, p.2). According to Merriam-Webster, robotics is "technology dealing with the design, construction, and operation of robots in automation." Today, robotics enables the automation of many manual jobs; As the AI and robotics fields advance, large-scale job automation and worker dislocation will likely occur in professions that do not involve manual labor. According to the Future of Life Institute (FLI), a nonprofit research institute that focuses on beneficial technology, "Artificial intelligence today is properly known as narrow AI (or weak AI), in that it is designed to perform a narrow task (e.g. only facial recognition or only internet searches or only driving a

car)." Many AI researchers seek to develop general AI (or strong AI), which would be able to "outperform humans at nearly every task," but it remains unknown when developers will reach this goal (FLI). Labor-saving technology no longer must refer to manual labor in particular, as AI is a technology that will, as it continues to evolve, complement or substitute for the highly-skilled, cerebral work that people currently perform.

#### VI. Economic Background:

David H. Autor, Massachusetts Institute of Technology Professor of Economics, argues that technological change naturally recasts who (or what) performs the various types of jobs, thus altering the availability of types of jobs for human workers (2015, p.5). Intuitively, workers whose skills automation technology can replace are the most at risk for job-layoffs, and workers whose skills automation technology can complement are likely to benefit from (or at least not hurt as a result of) technological advancements (Autor, 2015, p.7) in robotics and AI. Thus, as such advancements occur and automation technology becomes more intelligent, the tasks that remain for workers to complete become increasingly more specialized and the people qualified to do them become increasingly fewer. The labor market then experiences polarization, "in which wage gains ... [go] disproportionately to those at the top and at the bottom of the income and skill distribution, not to those in the middle" (Autor, 2015, p.5). Andy Feng and Georg Graetz of the London School of Economics and Center for Economic performance speak of the same phenomenon: "Modern ICT appears to substitute for workers in middle wage jobs, while complementing labor in high and low wage jobs, thus causing the observed reallocation of employment and the hollowing-out of the wage distribution" (2013, p.3). Such is the nature of an economy in transition due to job automation; The main implication of this shift for American

workers is that as automation technology gains proficiency in their jobs, many workers of middle wage jobs find themselves unqualified for the jobs that remain available.

#### VII. Ethical Analysis:

The Utilitarian approach centers on providing "the greatest good for the greatest number" of people (Velasquez et al). 19th-century British philosophers John Stuart Mill and Jeremy Bentham believed that an ethical action must result in the greatest possible ratio of good to evil and applied this belief to contemporary legislation with the goal of helping lawmakers pass "morally best" laws (Velasquez et al). Utilitarianism is results-oriented: people must choose the course of action with the most aggregate benefit and least aggregate harm (Velasquez et al). Clearly, mass job layoffs and subsequent worker dislocation are unethical by utilitarian standards because these trends create significant aggregate harm: the polarization described in *Section VI* indicates that relatively few workers experience wage gains, and the rest get laid off in favor of Modern ICT. To ensure the "greatest good for the greatest number," the advancement of automation technology must be accompanied by measures that protect and/or retrain dislocated middle-wage workers, which if successful would help achieve a greater balance of good over bad results (*Section VIII* will assess such measures, and other measures that the next three ethical approaches to job automation necessitate).

18th-century German philosopher Immanuel Kant's works form the basis for the Rights approach (Velasquez et al). According to his philosophy, free will is an individual's most fundamental right and many other rights constitute "aspects of the basic right to be treated as we choose" (Velasquez et al). Essentially, the ethical action respects everyone's basic moral rights. AI, even currently-theoretical general AI, does not possess empathy for others or an awareness of

others' rights, and so it will not always take ethical actions on its own. Thus, to satisfy the requirements of the Rights approach, developers will have to train AI to not violate important human rights. For example, a company could train its AI to maximize profit, which could easily lead to violations of the right to privacy if, say, unethically using or sharing people's data was the profit-maximizing action; This would of course not be the ethical action. Machine learning, utilizing examples like that one to illustrate unethical actions, should be applied in conjunction with AI goals. Furthermore, to ensure further that AI and robots do not violate basic moral rights, jobs monitoring the automation technology's "behavior" will be necessary in order to assure that the effects on people are ethical from a human rights viewpoint.

Aristotle's teachings provide the basis for the Fairness (or Justice) approach and the Common Good approach (which also has roots in the works of Plato and Cicero) (Velasquez et al). Aristotle contended that "equals should be treated equally and unequals unequally," which led to the central moral question of the fairness approach: "How fair is an action? Does it treat everyone in the same way, or does it show favoritism and discrimination?" (Velasquez et al). Viewing job automation and its effects from a Fairness perspective, it is clear from *Section V1* that automation technology is not just or fair: it complements low and high-wage jobs but substitutes for middle-wage workers (Feng & Graetz, 2013, p.3). Like the Fairness approach, the Common Good approach also relies heavily on the notion of community. People must consider themselves less as individuals and more as members of a society, and they should act in ways that reinforce the public good (Velasquez et al). Large amounts of worker dislocation clearly disrupt the common good. The Common Good approach proves particularly applicable in the field of social policy: structural unemployment as a result of job automation necessitates policies

that will restore the common good of the community by helping dislocated workers achieve employment once again.

### *VIII. Political Remedies:*

The policy remedies that will be subject to assessment are: anticipatory governance measures, reinstatement of the Office of Technological Assessment, expanded unemployment benefits (specifically for workers laid off as a result of automation), government-subsidized worker retraining programs, and Universal Basic Income.

According to David H. Guston at the Arizona State University School of Politics and Global Studies, anticipatory governance is "a broad-based capacity extended through society that can act on a variety of inputs to manage emerging knowledge-based technologies while such management is still possible" (2013, p.219). Properly employed, anticipatory governance encourages "foresight, engagement, and integration" and fosters the development of novel, maximally-beneficial socio-technical systems (Guston, 2013, p.219). Thus, all relevant actors, including ethicists, must engage in efforts of awareness: of one's own role (e.g. as a scientist, engineer, or legislator) and of the relevant technological field on which governance is to be exercised. Additionally, governance must be monitored thoroughly and altered if necessary. Robotics and AI have already and are set to continue upending our socio-technical systems, making these fields good candidates for anticipatory governance while they are still manageable. Anticipatory governance's requirement of awareness ensures its fair application and warrants that the relevant actors, in this case AI and robot developers and United States policy-makers, bear in mind the right to free will as they perform their roles. Thus, anticipatory governance can intuitively and organically be applied in accordance with the Fairness and Rights ethical approaches.

The Office of Technology Assessment (OTA) was a government agency established in 1972 and defuncted in 1995, whose "job was to provide the Congress with an objective, thorough analysis of many of the critical technical issues of the day" (FAS). The agency examined and reported "the economic and social impacts of rapid technological change," publishing up to 55 comprehensive and rigorously reviewed reports, called "assessments," annually (FAS). One of its six research programs was the Industry, Telecommunications, and Commerce Program, which among other pursuits "considered the effects of technological change on jobs" and "the influence of related regulations and policies" (FAS). A 1984 assessment titled Computerized Manufacturing Automation: Employment, Education, and the Workplace examined in detail — the report is 367 pages and consults 17 automation experts and 11 labor markets experts, and has 29 listed reviewers outside of the OTA — "the technical, economic, and social issues surrounding the spread of programmable automation in manufacturing" (OTA, 1984). The evolution of job automation technology, made possible by the ICT Revolution, represents today's and the future's most significant instance of "rapid technological change." The OTA could contribute to anticipatory governance if it were reinstated and could once again provide the comprehensive reports to help legislators make informed decisions regarding technological change. Well-informed analysis of AI and robotics is key to applying fair and rights-respecting anticipatory governance and regulation to manage automation technology, and assessing technical, economic, and social issues at once (as the OTA's assessments used to do)

would help ensure that any policies or anticipatory governance respect the common good and contribute positively to the larger community.

An important consideration for workers dislocated due to job automation is temporary financial relief, so they can survive financially as they seek new employment, and possibly additional education. Currently, each state runs its own unemployment insurance program with distinct eligibility requirements but follows federal guidelines (USA.gov). According to a March 17th, 2020 article in *The New York Times*, "Unemployment benefits provide temporary cash benefits to workers who lost their jobs through no fault of their own, while they search for a new one" (Bernard, 2020). The amount of money varies by state but typically replaces about 45%, up to a maximum amount, of one's lost income, which is based on income over the past year (Bernard). Most states require applicants have worked the "first four of the previous five calendar quarters" in order to qualify, with varying eligibility rules on hours worked (some states only provide unemployment benefits to full-time workers) and/or money earned (Bernard). "Most states pay benefits for 26 weeks," but some pay for as little as 16 (Arkansas) or 14 weeks (Alabama) and five other states "have sliding scales tied to unemployment levels" (Bernard). The current variance of programs across states is a clear violation of the core tenet of the Fairness approach: residents of different states receive better or worse unemployment insurance depending on where they live. To fix this, eligibility requirements and payment amounts could be standardized across states.

Good unemployment insurance would give many dislocated workers the opportunity to seek further career education before starting a new job. According to Mathematica Policy Research, the 2014 Workforce Innovation and Opportunity Act (WOIA) reauthorized the two

largest publicly-funded employment training programs: the Adult and Dislocated Worker programs (2016). A study by Mathematica revealed that while "intensive services and training increased the likelihood of job seekers finding a job," the job seekers "had yet to see their training result in higher employment rates or earnings" by 15 months after enrollment in the study, even though 85% of them had completed training by that time (2016). From a utilitarian perspective, this program failed ethically because its results did not create any (measurable) aggregate benefit. A better approach would be to overhaul federal retraining programs instead of reauthorizing the same programs, and use relevant reports from the OTA to prioritize the creation of training programs for new (and demand trending-upward) jobs. Such an approach to federal employment training programs would likely benefit many more people than the programs that are currently available. Furthermore, offering many types of programs from which dislocated workers can choose would be ethically sound from the perspective of Rights approach since it would promote the fundamental moral right of free will.

Finally, Universal Basic Income is another policy with the potential to ease employee lay-offs as a result of job automation. According to the Stanford Basic Income Lab, Universal Basic Income (UBI) is a recurrent cash payment paid to all on an individual, unconditional basis. Former 2020 Democratic Presidential candidate Andrew Yang, a lawyer and entrepreneur, famously made UBI a cornerstone of his campaign, proposing a \$1000-per month "Freedom Dividend" for every American adult, "no strings attached" (Yang2020). The policy proposal, though considered radical, has since been widely discussed as a remedy for economic inequality, but Stanford University's Department of Philosophy professor Juliana Uhuru Bidadanure poses an important question regarding the ethics of UBI: "Isn't it fundamentally unjust to give cash to

all indiscriminately rather than to those who need it and deserve it?" By the Fairness approach, giving every adult in America a check in the mail every month, unconditionally, is fair because the policy treats everyone the same way. Additionally, a fixed amount of money is much more valuable to low-wealth individuals and to those who work hard, and therefore understand the value of a dollar. Viewed in this way, UBI is a fair policy because the same amount of money is worth much more to those who "deserve" it: poor people and hard-working people. UBI is also ethical under the utilitarian framework, as it would create a large amount of aggregate good in the form of financial security and for some, the freedom to start a business or spend more time with their children or make any other decision enabled by having to work fewer hours. Thus, UBI also passes the ethics assessment under the Rights approach.

# IX. Conclusion:

The above assessment of the five policy remedies concludes that anticipatory governance measures, reinstatement of the Office of Technological Assessment, government-subsidized worker retraining programs, and Universal Basic Income represent ethical political measures for the alleviation of worker dislocation as a result of job automation. Increasing unemployment insurance payment amounts would likely be redundant given the presence of a UBI policy, which would replace government aid payouts such as unemployment insurance and food stamps worth less than the UBI monthly payout. However, standardizing the unemployment benefit eligibility requirements and payment amounts across states would be more just than the current system.

The most ethical socio-technical solution to the unsavory effects of job automation is the synthesis of the above policy remedies. Anticipatory governance and regulation can protect the

jobs that people will always find desirable, such as surgeons, pilots, and architects, from total automation when AI and robotic technology advance enough to perform them. Jobs that are dangerous or otherwise undesirable, such as coal miners, oil rig workers, and refuse and recyclable material collectors, will be prioritized for automation as the requisite technology evolves. Workers who currently hold those jobs will still be able to make ends meet, thanks to Universal Basic Income, as their jobs are re-allocated to robots and AI, and they will be prioritized for retraining programs so they can acquire the technical proficiency necessary to perform newly-created jobs supervising and maintaining robotic machines and developing Artificial Intelligence (AI), or they can receive training for a new career path. These policies and programs together ensure an ethical allocation of human intelligence, AI, and robotic technology.

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Designing a 1U Amateur Radio CubeSat (Technical Paper)

The Ethics of Job Automation: A Social and Political Analysis of the Problems Associated with Technological Development, and Potential Policy Remedies for Worker Dislocation (STS Paper)

A Thesis Prospectus Submitted to the

Faculty of the School of Engineering and Applied Science University of Virginia • Charlottesville, Virginia

In Partial Fulfillment of the Requirements of the Degree Bachelor of Science, School of Engineering

> Isabella Todaro Spring Semester, Fourth Year

Technical Project Team Members Sean Bergmann, Henry Blalock, David Broome, Joshua Choe, Nathanial Craft, Eva Femia, Ari Goldman, Martin Keuchkerian, Joseff Medina, Gabe Norris, Andrew Oxford, Jack Shea, Zach Wilson, Monica Wuhrer

> On my honor as a University Student, I have neither given nor received unauthorized aid on this assignment as defined by the Honor Guidelines for Thesis-Related Assignments

Signature Balala Jalaro	Date 04/29/2020
Isabella Todaro	
Approved	Date <u>4/30/2020</u> Mechanical and Aerospace Engineering
Approved	Date
Professor Michael Gorman, Department of E	Engineering and Society

### *I. Introduction:*

My technical thesis, which I will complete with my project team in my Spacecraft Design capstone course, will entail the design of a 1U CubeSat that can communicate reliably, within the constraints of an amateur radio license, with the University of Virginia (UVA) Department of Mechanical and Aerospace Engineering's ground station and also with unaffiliated amateur radio ground stations around the world. The 'U' in '1U' refers to a standard dimensional unit set by the National Aeronautics and Space Administration (NASA), and is defined as a cube with edge length 10 cm, hence the abbreviation 'CubeSat' referring to a cube-shaped satellite (Loff, 2018). Intuitively, a 1U CubeSat consists of one of these 10 cm x 10 cm x 10 cm cubes, and 2U, 3U, and 6U consist of two, three, and six of them, respectively (Loff, 2018). James Wertz's Space Mission Engineering Process, as detailed in the text Space Mission Engineering: The New *SMAD*, will guide our analysis and design for this project. Our design work on the 1U Amateur Radio CubeSat this semester will culminate in a Mission Proposal that will detail how we intend to implement the mission, including mission architecture, concept of operations, and spacecraft bus; our planned spring semester work, including technical plans, management approach, and risk mitigation; a schedule for the mission; and a cost estimate for the project. We will seek funding for the CubeSat through the Virginia Space Grant Consortium.

My STS thesis will seek to answer the following multi-faceted research question: How has job automation altered the structure of our economy so far, what are the current and future implications of this change for American workers, and what policy remedies, if any, should the government provide to dislocated workers and to American citizens in general? I will conduct research from an engineering standpoint, in order to gain an adequate understanding of the technology that drives the automation of formerly human-performed jobs; from an economics standpoint, in order to analyze the evolving role automation plays in the American labor market; from an ethical standpoint, in order to conduct at least one brief case study that will seek to illuminate the problems that can arise as a result of job automation; and finally from a political standpoint, in order to evaluate various potential policy solutions to the problem of worker dislocation.

As a mechanical engineer, I have always found the technological innovations that drive the automation of formerly human-performed jobs interesting from a purely technical perspective. Recently, in light of certain political discourse between the 2020 Democratic Presidential candidates, I have become interested in analyzing how job automation is changing our economy and affecting American workers, and evaluating several policy prescriptions that already exist, or have been proposed by political figures, to address the social ramifications of worker dislocation and ease the transition to a differently-structured economy. I have always been skeptical of a purely capitalist economic system, and I personally believe that technological innovation only constitutes advancement if it contributes to the public good. It is difficult to view automation technology as a contribution to the public good if it in fact leaves many people behind in the transition toward factory automation. Logically, it seems to me that this will be the case *unless* the economy grows quickly enough to create enough new human-performed jobs for workers dislocated due to automation, and, just as importantly, these workers have access to unemployment benefits and retraining programs so they can wait out periods of structural unemployment without intense financial stress, and while gaining the technical proficiency necessary to perform new jobs. I am optimistic that such programs will help shape a new

economy in which the jobs performed by humans are much more desirable and fulfilling than the jobs that will be subject to automation. To achieve this vision, regulation will likely be necessary to protect the jobs that people will always find desirable, such as surgeons, pilots, and work vehicle operators, from automation should robot technology advance enough to perform them. With my STS thesis, I seek to evaluate these preconceived opinions (and hopes for the future) through research and analysis. Since I consider this pursuit extremely compelling and relevant, I have chosen it for my STS topic, despite the obvious fact that it does not relate to my technical topic. The motivation for pursuing this STS topic is comprised of both my own interest in the subject matter and my ethical qualms about the (unmitigated) effects of job automation and subsequent worker dislocation. The motivation behind my technical topic is scientific interest in spacecraft and desire to gain experience in satellite design.

#### *II. Technical Topic:*

Since my technical topic does not relate to my STS topic, I will omit the detailed description of my 1U Amateur Radio CubeSat project. I have shared my co-authored Mission Proposal with Professor Gorman in order to complete the technical requirement of this Prospectus. An overview of my capstone project is provided in the Introduction and Conclusion sections of this Thesis Prospectus.

## *III.* STS Topic:

Automation is defined as "the technique of making an apparatus, a process, or a system operate automatically" (ISA). Many industries, namely manufacturing, transportation, utilities, defense, and facility operations, have adopted technological innovations that constitute automation (ISA). I plan to focus my thesis on programmable automation technology, which according to a technical memorandum from the now-defunct United States Office of Technological Assessment (OTA), "weds computer and data-communications capabilities to conventional machine abilities, increases the amount of process control possible by machines and makes possible the use of single pieces of equipment and systems for multiple applications" (1983, p.4). Programmable automation stands out from traditionally-understood job automation not only because its development is relatively recent, but also because it employs computer and communications technology, performing not just physical work, but also information processing (OTA, 1984, p.3).

Given my coursework in mechatronics last semester, I expect my research into programmable automation technology to be both interesting and accessible to me, despite its highly technical nature. My aim is to research which formerly human-performed jobs have already been automated, which jobs are likely to be automated in the coming years, and gain a working understanding of programmable automation technology. I plan to consult memorandums from the OTA archive, as well as relevant, and hopefully more recent, periodicals and journals about manufacturing engineering and automation technology.

Clearly, the shift toward automation has already impacted and will continue to impact the labor market and thereby the economy. My goal is to conduct research that will answer the following research questions: 'What are the implications of programmable automation of jobs on employment?', 'What specifically about the structure of the economy is changing, and how quickly is this transition occurring?', and 'Are enough new jobs being created at a rate equal to or greater than the rate of job loss due to automation?'

The ethics of job automation are complicated, which is why I intend to use a case study approach, and to draw on relevant ethical theory, to analyze the ethical ramifications of worker dislocation. A dislocated worker is defined as someone who "has been laid off or received a lay-off notice from a job" (NCSL, 2018). As robots are installed to fill human-performed jobs, the people who get laid off from those jobs become dislocated workers. The transition to a new economy in which humans no longer perform jobs they view as undesirable and/or unfulfilling, and instead acquire the newly-created jobs (in programming, technology development, maintenance, etc.) that automation technology will require, constitutes an extremely complicated socio-technical system. Given the importance of transparency and accountability if this particular socio-technical system is to be phased in properly, an adaptive management approach may prove useful to me in my research and ethical analysis. I suspect that my analysis will reveal a need for government action in order to mitigate the unsavory outcomes of job automation and worker dislocation. I will study and evaluate the following potential policy remedies: reinstatement of the Office of Technological Assessment, expanded unemployment benefits (for workers laid off as a result of automation), government-subsidized worker retraining programs, and Universal Basic Income. The main point of evaluation for these policies will be how well they either prevent or ease the effects of structural unemployment, which is defined as the "unemployment resulting from wage rigidity and job rationing. Workers are unemployed not because they are actively searching for the jobs that best suit their individual skills but because there is a fundamental mismatch between the number of people who want to work and the number of jobs that are available" (Diamond, 2013, p.36). Should I come across other relevant policy options in my research, I will assess those as well.

## *IV.* Conclusion

My goal in completing my capstone project and technical thesis is to gain experience in spacecraft design and project management, sharpen my technical skills, and satisfy my interest in space exploration and space mission engineering. As a member of the Power, Thermal, and Environment functional team, I will apply and build on my knowledge of heat transfer and energy. Although the 1U Amateur Radio CubeSat will be small in size and rather limited in scope (due to the specification that it must function within the bounds of an amateur radio license), working on this project will be a rewarding design challenge that will provide myself and other UVA students with valuable and marketable hands-on experience in satellite design and operation.

For my STS thesis, my goal is to learn more about the technology that drives the automation of jobs, and use that knowledge to gain an understanding of how this shift has affected and will continue to affect the labor market. Once I have a working understanding of those concepts, my goal is to conduct ethical and political analyses, and come to a conclusion about what policy prescriptions should be applied to most effectively and efficiently mitigate worker dislocation. Job automation is a hefty topic with many facets, but I feel responsible as a mechanical engineering student to evaluate, through research and analysis, my current opinions about its breadth, ramifications, and potential remedies.

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